

## Curriculum Vitae

LI CHENG

### EDUCATION

1992 Ph.D. (Political Science) **Princeton University**  
1989 M.A. (Political Science) **Princeton University**  
1987 M.A. (Asian Studies) **University of California, Berkeley**  
1985 B.A. (English Literature) **East China Normal University, Shanghai**

### CURRENT POSITIONS

2023-present **Professor**, Department of Politics and Public Administration, The University of Hong Kong  
2023-present **Director**, Centre on Contemporary China and the World, The University of Hong Kong

### WORK EXPERIENCE

2014-2023 **Director**, John L. Thornton China Center, The Brookings Institution, Washington, D.C.  
2007-2023 **Senior Fellow**, Foreign Policy Studies, The Brookings Institution, Washington, D.C.  
2009-2014 **Director of Research**, John L. Thornton China Center, The Brookings Institution, Washington, D.C.  
2006-2007 **Visiting Fellow**, John L. Thornton China Center, The Brookings Institution, Washington, D.C.  
2005-2006 **Nonresident Senior Fellow**, **The Brookings Institution**, Washington, D.C.  
2003-2006 **William R. Kenan Professor of Government**, Hamilton College, Clinton NY  
2004-2006 **Chair**, Asian Studies Program, Hamilton College, Clinton, NY  
2002-2003 **Fellow**, Woodrow Wilson International Center for Scholars, Washington, DC  
2001-2002 **Acting Chair**, Department of Government, Hamilton College, Clinton, NY  
1999-2003 **Professor**, Department of Government, Hamilton College, Clinton, NY  
1997-1999 **Associate Professor**, Dept. of Government, Hamilton College, Clinton, NY  
1992-1997 **Assistant Professor**, Dept. of Government, Hamilton College, Clinton, NY  
1993-1995 **Fellow**, Institute of Current World Affairs, Hanover, NH  
1991-1992 **Instructor**, Department of Government, Hamilton College, Clinton, NY

### BOARD MEMBERSHIPS AND OTHER AFFILIATIONS

2024-present **Member**, the International Advisory Board of School of Public Policy, the Chinese University of Hong Kong, Shenzhen  
2023-present **Nonresident Senior Fellow**, Foreign Policy Program, The Brookings Institution, Washington, D.C.

**BOARD MEMBERSHIPS AND OTHER AFFILIATIONS (continued)**

- 2023-present **Scholar-in-Residence**, Asia Society Hong Kong Centre, Hong Kong
- 2019-present **Director**, the Board of Directors of the National Committee on U.S.-China Relations
- 2018-present **Distinguished Fellow**, Munk School of Global Affairs and Public Policy, University of Toronto
- 2018-present **Nonresident Affiliated Fellow**, Paul Tsai China Center, Yale University
- 2013-present **Editorial Board Member**, *The China Report* (India)
- 2012-present **Member**, the Nomination Committee of the National Committee on U.S.-China Relations
- 2010-present **Member**, Council on Foreign Relations
- 2002-present **Member**, National Committee on United States-China Relations
- 1995-present **Member**, Institute of Current World Affairs, Washington DC
- 2014-2023 **Member**, the Issues Committee, Committee of 100
- 2006-2023 **Member**, Committee of 100, New York
- 2016-2023 **Adviser**, SupChina Advisory Board (A New York-based media company dedicated to covering all the news about China)
- 2019-2022 **Member**, the Visiting Committee to the Social Science Research Council (SSRC) in the United States
- 2010-2021 **Editorial Board Member**, *21<sup>st</sup> Century International Review*
- 2010-2019 **Editorial Board Member**, *Asia Policy*
- 2012-2018 **Director**, the Board of Directors of the National Committee on U.S.-China Relations
- 2012-2018 **Member**, the Executive Committee of National Committee on U.S.-China Relations
- 2014-2016 **Co-Chair**, the Issues Committee, Committee of 100
- 2012-2015 **Trustee**, Institute of Current World Affairs, Washington D.C.
- 2012-2013 **Chair** of Nomination Committee, Institute of Current World Affairs, Washington D.C.
- 2012-2013 **Senior Adviser**, Teneo.
- 2011-2015 **Co-Chair**, Advisory Committee, China's Rising Leaders Project, the National Bureau of Asian Research
- 2010-2015 **Adviser**, Center on U.S.-China Relations, Asia Society
- 2008-2013 **Director**, Committee of 100, New York
- 2005-2015 **Adviser**, the Academic Advisory Group of the U.S.-China Working Group (USCWG), the United States Congress
- 2005-2015 **Editorial Board Member**, *Journal of Public Management*
- 2001-2016 **Adviser and Commentator**, Hoover Institution's "China Leadership Project," Stanford University, CA
- 2009-2012 **Adviser**, World Bank (Independent Evaluation Group's External Advisory Board)
- 2009-2011 **Vice Chair**, Committee of 100
- 2008-2011 **Member**, the Executive Committee of the Board of Directors of the National Committee on United States-China Relations
- 2007-2009 **Member**, the Issues Committee, Committee of 100
- 2007-2008 **Co-Chair**, the Public Opinion Survey Research Project, Committee of 100

## BOARD MEMBERSHIPS AND OTHER AFFILIATIONS (continued)

- 2005-2011 **Director**, the Board of Directors of the National Committee on United States-China Relations
- 2005-2008 **Adviser**, the Public Intellectual Program Advisory Committee of The National Committee on United States-China Relations
- 2005-2008 **Adviser**, the Advisory Committee for the China Future Initiative, the Center for Strategic and International Studies (CSIS) and the Institute for International Economics (IIE)
- 2005-2008 **Adviser**, International Media Division, World Security Institute, Washington, D.C.
- 2005-2008 **Guest Professor**, the School of Management, Harbin Institute of Technology, Harbin, China
- 2005-2007 **Member**, Task Force on U.S. Policy toward China, Council on Foreign Relations
- 2004-2010 **Board Member**, *Washington Observer*, Washington D.C.
- 2004-2010 **Member**, the Advisory Board of *China Vitae*, Washington D.C.
- 2003-2010 **Trustee**, Institute of Current World Affairs, Hanover, NH
- 2003-2004 **Secretary**, Institute of Current World Affairs, Hanover, NH
- 2002-2008 **Member**, The U.S. National Committee of the Council for Security Cooperation in the Asia Pacific.
- 2002-2008 **Council Member**, Institute for International Research, The Hopkins-Nanjing Center
- 2001-2004 **Adviser**, School of International Relations and Public Affairs, Fudan University, Shanghai

## PUBLICATIONS

### BOOKS

- 2024 *Zhongchan Shanghai: Zhongguo shi xiandaihua yu xin jieceng de jueqi (Middle Class Shanghai: Chinese-Style Modernization and the Rise of a New Class)*. (Shanghai: CITIC Publishing House; and Hong Kong: Joint Publishing Co., Ltd).
- 2024 *Zhongmei guanxi: Bianju zhong de liyi jiaohui dian (China-U.S. Relations: Converging Visions in a Shifting Global Landscape)*. (Hong Kong: Joint Publishing Co., Ltd, and Beijing: Foreign Languages Press).
- 2021 *Middle Class Shanghai: Reshaping U.S.-China Engagement* (Washington DC: The Brookings Institution Press).
- 2017 *The Power of Ideas: The Rising Influence of Thinkers and Think Tanks in China* (Singapore: World Scientific Press).
- 2016 *Chinese Politics in the Xi Era: Reassessing Collective Leadership* (Washington DC: The Brookings Institution Press).
- 2014 *China's Political Development: Chinese and American Perspectives* (co-edited). (Washington DC: The Brookings Institution Press).

Books (Conitnued)

- 2013 *Zhongguo yancao de zhengzhi bantu: Yancao hangye yu kongyan yundong boyi (China's Tobacco Industry and Anti-smoking Campaign: Politics and Policies)*. (New York: The Mirror Books)
- 2012 *The Political Mapping of China's Tobacco Industry and Anti-Smoking Campaign* (Washington D.C.: John L. Thornton China Center Monograph Series)
- 2012 *Tongwang Zhongnanhai zhilu – Zhonggong shibada zhiqian gaoceng lingdao qunti (The Road to Zhongnanhai: High-Level Leadership Groups on the Eve of the 18<sup>th</sup> Party Congress)*. (New York: The Mirror Books)
- 2010 *China's Emerging Middle Class: Beyond Economic Transformation* (ed.) (Washington DC: The Brookings Institution Press).
- 2008 *China's Changing Political Landscape: Prospects for Democracy* (ed.) (Washington DC: The Brookings Institution Press).
- 2005 *Bridging Minds across the Pacific: U.S.-China Educational Exchanges 1978-2003* (ed.) (Lanham, Maryland: Lexington Books).
- 2001 *China's Leaders: The New Generation* (Lanham, Maryland: Rowman & Littlefield Publishers).
- 1997 *Rediscovering China: Dynamics and Dilemmas of Reform* (Lanham, Maryland: Rowman & Littlefield Publishers).

WORK IN PROGRESS (Book Projects)

*Xi Jinping's Protégés: Rising Elite Groups in the Chinese Leadership* (book manuscript, to be completed by fall 2025).

*Xi Jinping: Between Two Worlds* (book manuscript, to be completed by Spring 2026, the contract has been signed with the University of California Press).

BOOK CHAPTERS

- 2024 "China's Communist Party-State: The Structure and Dynamics of Power" in William A. Joseph (ed.), *Politics in China*, 4th and enlarged edition, (New York: Oxford University Press), pp. 209-245.
- 2022 "Reform and Opening Up: 1979-2016: From Engagement to Decoupling?" Yawei Liu and Michael Cerny (eds.), *Finding Firmer Ground: The Role of Higher Education in U.S.-China Relations* (New York: Bouden House, pp. 37-47).

BOOK CHAPTERS (continued)

- 2022 “Intraparty Elite Politics: Reign and Resilience in the Xi Jinping Era.” In Jacques deLisle and Guobin Yang (eds.), *The Party Leads All: The Evolving Role of the Chinese Communist Party*. (Washington D.C.: Brookings Institution Press, 71-108).
- 2021 “China’s Millennials: Navigating Socioeconomic Diversity and Disparity in a Digital Era.” In Li Chunling, *China’s Youth: Increasing Diversity amid Lasting Inequality*. (Washington D.C.: Brookings Institution Press, 1-37).
- 2021 “Biden’s China Strategy: Coalition-driven Competition or Cold-War-style Confrontation?” In Mohammed Al-Ali and Ahmad Al-Astad (eds), *The First 100 Days of the Biden Presidency*. (Abu Dhabi, UAE: Trends Publications, 57-75).
- 2021 “Xi Jinping’s ‘Progress’: Domestic Moves toward a Global China.” In Tarun Chhabra, Rush Doshi, Ryan Hass, and Emilie Kimball (eds), *The Global China: Assessing China’s Growing Role in the World* (Washington D.C.: Brookings Institution Press, 2021), pp. 41-52.
- 2020 “Avoiding Three Traps in Confronting China’s Party-State.” In Ryan Hass, Ryan McElveen, and Robert Williams (eds), *The Future U.S. Policy toward China: Recommendations for the Biden Administration*. (Monograph jointly published by John L. Thornton China Center and Yale Law School Paul Tsai China Center), pp. 8-14.
- 2020 “Lessons from China’s Economic Reopening.” In John R. Allen and Darrell M. West (eds), *Reopening the World: How to Save Lives and Livelihood*. (Brookings Institution e-book), pp. 53-58, (joint article, primary author).
- 2019 “Xi Jinping’s Power and Political Risks.” in William A. Joseph (ed.), *Politics in China* (New York: Oxford University Press), 3rd and enlarged edition, 201-236.
- 2018 “Tobacco Governance: Elite Politics, Subnational Stakeholders, and Historical Contexts,” in Mathew Kohrman, ed. *Poisonous Pandas: Chinese Cigarette Manufacturing in Critical Historical Perspectives*. (Palo Alto, CA.: Stanford University Press, 2018), pp. 179-204.
- 2017 “Political Elites in China: A Dynamic Balance between Integration and Differentiation,” in Heinrich Best and John Higley, eds. *The Palgrave Handbook of Political Elites*. (London, United Kingdom: Palgrave Macmillan), pp. 295-314.
- 2015 “Introduction: Bringing Ethics Back into Chinese Discourse” In He Huaihong, *Moral Decay or Ethical Awakening? Social Ethics in a Changing China*. (Washington DC: Brookings Institution Press, xv-xl).
- 2015 “China’s Domestic Dynamics: Implications for India and the United States.” *The Second Modi-Obama Summit: Building the India-U.S. Partnership*, Strobe Talbott (ed.). *Brookings*, pp. 19-21.
- 2015 “China’s Future Challenges.” *Global Prospects for 2018-23*. (Tokyo: Hitachi Research Institute Publication), pp. 21-24.

BOOK CHAPTERS (continued)

- 2014 “China’s Robust Scholarship in Political Science and the Dilemma of Political Reform (Preface,” in Kenneth Lieberthal and Cheng Li (eds), ***China’s Political Development: Chinese and American Perspectives*** (Washington DC: Brookings Institution Press, joint article), pp. ix-xiii.
- 2014 “The Local Factor in China’s Intra-Party Democracy,” in Kate Xiao Zhou, Shelley Rigger, and Lynn T. White III (eds.) ***Democratization in China, Korea, and Southeast Asia? Local and National Perspectives***. (London: Routledge), pp. 87-109.
- 2014 “China’s Communist Party-State: The Structure and Dynamics of Power.” in William A. Joseph (ed.), *Politics in China* (New York: Oxford University Press), 2<sup>nd</sup> and enlarged edition, pp. 192-223.
- 2013 “Zhongguo zhengzhi xuejie de huoli he zhengzhi gaige de kunjing” (The vitality of Chinese political science versus the predicament of China’s political reform), in Yu Keping, Kenneth Lieberthal, and others (eds.), *Zhongguo de zhengzhi fazhan: Zhongmei xuezhe de shijiao* (China’s political development: From the View of American and Chinese Scholars), joint article, Beijing: Social Sciences Academic Press, pp. 31-38 (joint article).
- 2013 “*Ping Jing Yuejin’s lunwen*” (Commentary on Jing Yuejin’s chapter) in Yu Keping, Kenneth Lieberthal, and others (eds.), *Zhongguo de zhengzhi fazhan” Zhongmei xuezhe de shijiao* (China’s political development: From the View of American and Chinese Scholars). Beijing: Social Sciences Academic Press, pp. 339-346.
- 2013 “Top-Level Reform or Bottom-Up Revolution?” in Andrew J. Nathan, Larry Diamond, and Marc F. Plattner, (eds.), ***Will China Democratize?*** Baltimore, MD: The Johns Hopkins University Press, 2013, pp. 51-58.
- 2013 “China in Revolution and War,” in Martin Indyk, Tanvi Madan, and Thomas Wright, (eds.), *A Presidential Briefing Book: Policy Recommendation for President Obama’s Second Term* (Washington DC: Brookings Institution Press, 2013).
- 2012 “Fighting for a Constitutional China: Public Enlightenment and Legal Professionalism.” In He Weifang, ***In the Name of Justice: Striving for the Rule of Law in China*** (Washington DC: The Brookings Institution Press, xvii-xlix).
- 2012 “Interest Group Politics in China: A Paradox of Fear and Hope.” In Kenneth Lieberthal and Cheng Li, (eds), ***China’s Political Development: Chinese and American Perspectives*** (Washington DC: The Brookings Press).
- 2012 “China’s Team of Rivals,” (reprint) in Zhiqun Zhu (ed.), 14<sup>th</sup> edition, ***Global Studies: China*** (New York: McGraw-Hill Higher Education), pp. 121-124.
- 2011 “Shaping China’s Foreign Policy: The Paradoxical Role of Foreign-Educated Returnees,” in Allen Carlson and Ren Xiao (eds), ***The New Frontiers of Chinese Foreign Policy*** (Lanham, Maryland: Lexington Books), pp. 41-62.

BOOK CHAPTERS (continued)

- 2011 “The China Paradox and American Misperceptions” in Douglas G. Spelman, ed., *The United States and China: Mutual Public Perceptions*. (Washington DC: The Wilson Center Publication), pp. 26-37.
- 2011 “Introduction: A Champion of Chinese Optimism and Exceptionalism.” In Hu Angang, *China in 2020: A New Type of Superpower* (Washington DC: The Brookings Institution Press), pp. xv-xl.
- 2010 “How China Choose its Top Leaders: The Dual Succession of Xi and Li in 2012,” in Sangchul You (ed), *China Trend 2010-2011* (Seoul: Institute of China Studies), pp. 76-86.
- 2010 “Liuxue guiguo xuezhe zai Zhongguo gaodeng jiaoyu de zuoyong fenxi,” (An analysis of foreign-educated returnees in China’s higher education), in Wang Huiyao (ed), *Jianyan Zhongguo: Haiwai gaocengci liuxue rencai kan Zhongguo* (Suggestions for China by Overseas Educated Scholars). (Beijing: Dongfang Press), pp. 86-110.
- 2010 “China’s Communist Party-State: The Structure and Dynamics of Power,” in William A. Joseph (ed), *Politics in China* (New York: Oxford University Press), pp. 165-191.
- 2009 “Leadership: Future Scenarios” in Linsun Cheng (ed.) *Berkshire Encyclopedia of China: Modern and Historic Views of the World's Newest and Oldest Global Power* (Gt Barrington, MA: Berkshire Publishing), pp. 1282-1285.
- 2009 “Leadership: Ethnic Minority” in Linsun Cheng (ed.) *Berkshire Encyclopedia of China: Modern and Historic Views of the World's Newest and Oldest Global Power* (Gt Barrington, MA: Berkshire Publishing), pp. 1279-1281.
- 2009 “China’s Political Trajectory: Internal Contradictions and Inner-Party Democracy.” In Eva Paus, Jon Western, and Penelope Prime, (eds) *The Global Giant: Is China Changing the Rules of the Game?* (New York, NY: Palgrave Macmillan), pp. 51-71.
- 2009 “Introduction: Making Democracy Safe for China” in Yu Keping, *Democracy Is a Good Thing: Essays on Politics, Society and Culture in Contemporary China* (Washington DC: The Brookings Institution Press), pp. xvii-xxxi.
- 2009 “Rang minzhu zaofu Zhongguo – Ping Yu Keping de minzhu sixiang” (Making democracy safe for China: Comments on Yu Keping’s democratic ideas), in Yan Jian (comp.), *Rang minzhu zaofu Zhongguo: Yu Keping fangtanlu* (Making Democracy Benefit China: Dialogue with Professor Yu Keping). (Beijing: Central Compilation and Translation Press), pp. 209-225.
- 2008 “China’s Fifth Generation” in Kenneth B. Pyle (ed), *Emerging Leaders in East Asia: The Next Generation of Political Leadership in China, Japan, South Korea and Taiwan* (Seattle, Wa.: National Bureau of Asian Research Press), pp. 15-53.

BOOK CHAPTERS (continued)

- 2008 “China’s Telecom Industry on the Move: Domestic Competition, Global Ambition, and Leadership Transition,” in Amit Singh Sisodiya and N. Janardhan Rao, (eds) *Global Telecommunications* (Icfai’s Professional Reference Book). (India: Icfai University Press), pp. 58-88.
- 2007 “After Hu, Who? The Rising Stars of China’s Fifth Generation Leaders,” in W. John Hoffmann and Michael Enright (eds), *China in the Future* (New York: John Wiley & Son), pp. 213-65.
- 2007 “The New Military Elite: Generational Profile and Contradictory Trends,” in David M. Finkelstein and Kristen Gunness (eds), *Swimming in a New Sea: Civil-Military Issues in Today’s China* (Armonk, New York: M.E. Sharpe), pp. 48-73.
- 2006 “The Sixteenth Central Committee of the Chinese Communist Party: Emerging Patterns of Power-Sharing,” in Lowell Dittmer and Guoli Liu, eds. *China’s Deep Reform: Domestic Politics in Transition*. (Lanham, MD: Rowman & Littlefield), joint article, primary author, pp. 81-118.
- 2006 “Deciphering Hu’s Leadership and Defining China’s New Elite Politics,” in John Wong and Hongyi Lai, eds. *China Into the Hu-Wen Era: Policy Initiatives and Challenges*. (Singapore: University of Singapore Press and World Scientific Press), pp. 61-90.
- 2005 “The ‘New Deal:’ Politics and Policies of the Hu Administration,” in T. Y. Wang, ed. *China After the 16th Party Congress: Prospects and Challenges*. (Willowdale, Ontario, Canada: de Sitter Publications), pp. 7-24.
- 2004 “Political Localism Versus Institutional Restraints: Elite Recruitment in the Jiang Era,” in Barry Naughton and Dali L. Yang, eds. *Holding China Together: Diversity and National Integration in the Post Deng Era*, (Cambridge: Cambridge University Press), pp. 29-69.
- 2003 “The New Political Elite and the New Trend in Factional Politics,” in François Godement, ed., *China’s New Politics*, Les cahiers d’asie No. 3, (Paris: Centre Asie ifri/IFRI), pp. 55-89.
- 2002 “Poised to Take the Helm: Rising Stars and the Transition to the Fourth Generation,” in David M. Finkelstein and Maryanne Kivlehan (eds), *China’s Leadership Transition: Prospects and Implications* (Armonk, New York: M.E. Sharpe), pp. 21-44.
- 2002 “Zhonggong di shiliujie zhongyang weiyuanhui renshi goucheng jiqi quanli junheng,” (The Sixteenth Central Committee of the Chinese Communist Party: Paths to membership and balance of power), in Ding Shufan, ed. *Hu Jintao shidai de tiaozhan (Challenges for the Hu Jintao Era)*, (Taipei: Xinxinwen Publishing House), pp. 16-52.
- 2001 “Diversification of Chinese Entrepreneurs and Cultural Pluralism in the Reform Era,” in Shiping Hua, ed., *Chinese Political Culture 1989-2000* (Armonk, New York: M.E. Sharpe), pp. 219-245.
- 2001 “Promises and Pitfalls of Reform: New Thinking in Post-Deng China,” in Tyrene White, ed. *China Briefing: A Century of Transformation* (New York: M.E. Sharpe), pp. 123-157.

BOOK CHAPTERS (continued)

- 1999 “‘Credentialism’ versus ‘Entrepreneurism:’ The Interplay and Tensions between Technocrats and Entrepreneurs in the Reform Era,” in Chan Kwok Bun, ed. *Chinese Business Networks: State, Economy and Culture* (New York: Prentice Hall), pp. 86-111.
- 1999 “Fourth Generation Leadership in the PRC: Collective Characteristics and Intra-Generational Diversities,” in Robert G. Sutter, ed. *China’s Future: Implications for United States Interests* (Washington, DC: The Federal Research Division, Library of Congress), pp. 13-36.
- 1999 “200 Million Mouths Too Many: China’s Surplus Rural Labor,” in Orville Schell and David Shambaugh, eds. *The China Reader: The Reform Era* (New York: Vintage Books), pp. 362-373.
- 1998 “Politics and Government,” in Brian Hook, ed. *Shanghai and the Yangtze Delta: A City Reborn* (Cambridge, England: Oxford University Press), pp. 30-73 (joint article, secondary author).
- 1998 “Internal Migration in China: Current Status and Future Prospects,” in Borge Bakken, ed. *Migration in China* (Copenhagen, Denmark: Nordic Institute of Asian Studies Press), pp. 17-65.
- 1997 “Problems of State-Owned Enterprises in China,” in *Spotlight on China: Governance, Humanity, and Values* (New York: The American Forum for Global Education Publication).
- 1994 “Sharing the Burden of Government: Reducing Borders Between Government, Business and NGOs,” in *Governing in an Environment of Increased Regional Economic Cooperation* (Ontario, Canada: Institute on Governance), pp. 29-41.
- 1993 “China Coast Identities: Regional, National, and Global,” in Samuel Kim and Lowell Dittmer, eds. *China’s Quest for National Identities* (Ithaca, NY: Cornell Univ. Press), pp. 154-193 (joint article, secondary author).
- 1990 “Diversification Among Mainland Chinese Intellectuals,” in King-yuh Chang, ed. *Mainland China After the Thirteenth Party Congress* (Boulder, Colorado: Westview Press), pp. 447-73.

ARTICLES IN REFEREED JOURNALS

- 2025 “China’s Elite Politics in Xi’s Third Term.” *China Journal*, (forthcoming).
- 2022 “Zhide guanzhu de Zhongguo zhiku” (Important Chinese think tanks). Guojia chuangxin fazhan zhanlue (National Innovation and Development Strategy), No. 4 (Winter).
- 2021 “Daguo hezuo gong ke shuzi honggou” (Cooperation between major powers to overcome the digital divide). *New Media*, No. 12 (December 2021), pp. 70-71.
- 2020 “Will China’s E-commerce Reshape a Reopening World?” *The Cairo Review of Global Affairs*, No. 34 (June 10), (joint article, primary author).
- 2019 “Rule of the Rigid Compromiser.” *The Cairo Review of Global Affairs*, No. 33 (Spring, 2019): 127-137, (joint article, primary author).
- 2015 “China Rebalancing: A Debate.” The Evolution of China’s Capital Market Special Issue. *The Journal* (Vol. 3): 11-29.

ARTICLES IN REFEREED JOURNALS (continued)

- 2015 “Tobacco Epidemic: China’s Emerging Health, Economic, and Political Crises.” *World Journal of Tobacco or Health*, Vol. 1, No. 2.
- 2014 “A New Type of Major Power Relationship?” *Georgetown Journal of International Affairs*. Vol. 15, No. 2 (Summer/Fall 2014), (featured interview), pp. 156-162.
- 2013 “The Future Role of the Chinese Middle Class.” *Georgetown Journal of International Affairs*. Vol. 14, No. 2 (Summer/Fall 2013), pp. 51-59, (joint article, primary author).
- 2013 “Huhuan xianzheng Zhongguo: Gongzhong de qimeng yu falü zhiyehua” (Calling for a Constitutional China: Public Enlightenment and Legal Professionalism). *Lingdao zhe* (Leaders), No. 50 (March 2013): 166-180.
- 2013 “Top-Level Reform or Bottom-Up Revolution?” *Journal of Democracy*, Vol. 24, No. 1: (January): 41-48.
- 2013 “Rule of the Princelings.” *The Cairo Review of Global Affairs*, No. 8 (Winter, 2013): 34-47.
- 2012 “The End of the CCP’s Resilient Authoritarianism? A Tripartite Assessment of Shifting Power in China.” *The China Quarterly*, No. 211 (September): 595-623.
- 2012 “Leadership Transition in the CPC: Promising Progress and Potential Problems.” *China: An International Journal*, Vol. 10, No. 2 (August): 23-33.
- 2011 “‘Zhongguo qianjing leguanlun’ he ‘Zhongguo jueqi liwailun’” (“Chinese Optimism” and “Chinese Exceptionalism”). *Xueshujie (Academics in China)*. No. 155 (April): 5-19.
- 2010 “Shaping China’s Foreign Policy: The Paradoxical Role of Foreign-Educated Returnees.” *Asia Policy*, No. 10 (July): 65-85.
- 2009 “The Chinese Communist Party: Recruiting and Controlling the New Elites.” *Journal of Current Chinese Affairs*, Vol. 38, No. 3: 13-33.
- 2008 “Rang minzhu zaofu Zhongguo – Ping Yu Keping de minzhu sixiang” (Making democracy safe for China: Comments on Yu Keping’s democratic ideas). *Xueshujie (Academics in China)*. No. 133 (November/December): 212-220.
- 2008 “China’s Fifth Generation: Is Diversity a Source of Strength or Weakness?” *Asia Policy*, No. 6 (July): 53-93.
- 2007 “China’s New Military Elite.” *China Security*. Vol. 3, No. 4 (Autumn): 62-89 (joint article, primary author).
- 2007 “China in the Year 2020: Three Political Scenarios.” *Asia Policy*, No. 4 (July): 17-29.
- 2006 “Foreign-Educated Returnees in the PRC: Increasing Political Influence with Limited Official Power.” *Journal of International Migration and Integration*. Vol. 7, No. 4 (Fall): 493-516.
- 2005 “The New Bipartisanship within the Chinese Communist Party.” *Orbis*, Vol. 49, No. 3 (Summer): 387-400.
- 2003 “The ‘New Deal:’ Politics and Policies of the Hu Administration,” *Journal of Asian and African Studies*, Vol. 38, Nos. 4-5 (December): 329-346.

ARTICLES IN REFEREED JOURNALS (continued)

- 2003 "Guiguo renyuan zai gaodeng jiaoyu lingyu de diwei ji bianqian," (The Status and mobility of foreign-educated returnees in China's higher education). *Fudan jiaoyu (Fudan University Journal of Education)* Vol. 2, No. 1 (December): 26-38.
- 2003 "Growth and Regional Inequality in China during the Reform Era," *China Economic Review* Vol. 14, pp. 186-200, (joint article).
- 2003 "The Sixteenth Central Committee of the Chinese Communist Party: Hu Gets What?" *Asian Survey* Vol. 43, No. 4 (July/August), pp. 553-597 (joint article, primary author).
- 2003 "Dialogue with the West: The Political Message of Avant-Garde Artists in Shanghai" *Critical Asian Studies* Vol. 35, No. 1 (March), pp. 59-98 (joint article, primary author).
- 2002 *Zhongguo shengji lingdao de xingyou: guojiazhenghe yu difangzizhu*, (Formation of China's provincial leaders: National integration and regional autonomy) *Zhongguo shehui kexue pinglun (Chinese Social Sciences Review)* Vol. 1, No. 2 (Fall), pp. 313-326.
- 2001 "China in 2000: A Year of Strategic Rethinking," *Asian Survey*, Vol. 41, No. 1 (January/February), pp. 71-90.
- 2000 "Jiang Zemin's Successors: The Rise of the Fourth Generation of Leaders in the PRC," *The China Quarterly*, No. 161 (March), pp. 1-40.
- 2000 "China in 1999: Seeking Common Ground at a Time of Tension and Conflict," *Asian Survey*, Vol. 40, No. 1 (January/February), pp. 112-129.
- 1998 "The Fifteenth Central Committee of the Chinese Communist Party: Full-Fledged Technocratic Leadership with Partial Control by Jiang Zemin," *Asian Survey*, Vol. 38, No. 3 (March), pp. 231-264, (joint article, primary author).
- 1997 "City Monsoon: The Cultural Spirit of Beijing and Shanghai," *Journal of Chinese Sociology and Anthropology*, Vol. 29, No. 2 (Guest editor).
- 1996 "Surplus Rural Laborers in China: Current Status and Future Prospects," *Asian Survey*, Vol. 36, No. 11 (November), pp. 1122-1145.
- 1996 "Rediscovering Urban Subcultures: Contrast between Shanghai and Beijing," *The China Journal*, No. 36 (July), pp. 139-153.
- 1994 "University Networks and the Rise of Qinghua Graduates in China's Leadership," *The Australian Journal of Chinese Affairs*, No. 32 (July), pp. 1-32.
- 1993 "The Army in the Succession to Deng Xiaoping: Familiar Fealties and Technocratic Trends," *Asian Survey*, Vol. 33, No. 8 (August), pp. 757-786 (joint article, primary author).
- 1991 "China's Technocratic Movement and the World Economic Herald," *Modern China*, Vol. 17, No. 3 (July), pp. 342-388 (joint article, primary author).
- 1990 "Elite Transformation and Modern Change in Mainland China and Taiwan: Empirical Data and the Theory of Technocracy," *The China Quarterly*, No. 121 (March), pp. 1-35 (joint article, primary author).

ARTICLES IN REFEREED JOURNALS (continued)

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- 2023 "China's new State Council: What analysts might have missed." The Brookings Institution Blog, March 7, 2023, <https://www.brookings.edu/blog/order-from-chaos/2023/03/07/chinas-new-state-council-what-analysts-might-have-missed/> (joint article).

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## **RESEARCH AREAS**

Political Leaders in China  
Political Economy of China  
The Middle Class and Civil Society  
Comparative Bureaucracy (with an emphasis on technocracy)  
Elite Studies (with an emphasis on generational changes of elites)  
China's Domestic and Foreign Policy Decision-Making  
U.S.-China relations  
Globalization, National Identity and Urban Cultural Studies (with a focus on Shanghai)  
Politics of Avant-Garde Art  
International Political Economy (with a focus on the Asia-Pacific region)  
The Chinese S&T Policy and Telecommunication Industry in China  
Public Health and the Tobacco Industry in China  
Shanghai as an International Financial and Shipping Center  
China's Flagship Companies and "Go Global Strategy"  
The Role of the Chinese Think Tanks

## **COURSES TAUGHT**

Elite Politics in China  
Politics in China  
China's Cultural Revolution  
International Relations  
International Political Economy  
Theory of International Relations  
East Asia and the United States  
East Asian International Relations  
U.S.-China Relations  
China's Foreign Policy  
Political Economy of China in the Reform Era  
Comparative Bureaucracy  
Technocracy and Techno-Nationalism

## **HONORS & GRANTS**

2019 "The 40 Most Accomplished People in Foreign Studies in the Reform Era (1978-2018)" selected by the Oriental Media Group and the Center of China and Globalization  
2015 "Top Ten America's China Hands" selected by University of Foreign Affairs, China  
2011-2014 Book Grant, ACE Foundation  
2011-2014 Book Grant, The Smith Richardson Foundation  
2011-2013 China Medical Board  
2006-2007 Visiting Fellowship, the Brookings Institution  
2005 Summer Faculty-Student Research Grant (The Arthur Levitt Center)  
2004-2005 Long-Term Research Grant in Asian Studies (The Freeman Foundation)

- 2002-2005 Grant, Hong Kong Institute of Humanities and Social Sciences, Hong Kong University
- 2002-2003 Residential Fellowship (Woodrow Wilson International Center for Scholars, Washington DC)
- 2002-2003 Grant (United States Institute of Peace, USIP-048-01F)
- 2002-2003 Grant for Professors (The Chiang Ching-Kuo Foundation for International Scholarly Exchange).
- 2003 Summer Faculty-Student Research Grant (The Henry Luce Foundation and the Freeman Foundation)
- 2002 Summer Faculty-Student Research Grant (The Arthur Levitt Center)
- 1999-2000 Post-Doctoral Fellowship (The Chiang Ching-Kuo Foundation for International Scholarly Exchange).
- 1999 The Class of 1963 Faculty Fellowship (This award is presented annually to one senior faculty member at Hamilton College for summer research.)
- 1998 Emerson Summer Research Grant (Emerson Foundation).
- 1993-1995 The Institute of Current World Affairs Fellowship (This fellowship financed full-time study in China to conduct field research and write about political and socio-economic changes in the coastal areas, especially Shanghai.)
- 1993 The Class of 1963 Excellence in Teaching Award (This award is presented annually to one junior faculty member at Hamilton College who has demonstrated extraordinary commitment to and ability in teaching undergraduates.)
- 1990-1991 Charlotte E. Proctor Honorific Fellowship (This fellowship is offered annually by the Princeton University Graduate School in recognition of outstanding performance and professional promise.)
- 1990 Dissertation Research Grant (Peter Lewis Foundation & Center of International Studies, Princeton University).
- 1987 -1991 University Fellowship (Princeton University).
- 1987 Class Valedictorian (Institute of East Asian Studies, University of California, Berkeley).
- 1985 Title of “University Graduate, Summa Cum Laude, Shanghai” (This title is awarded annually to the highest ranking university graduate from all Shanghai universities.)

## PROFESSIONAL ACTIVITIES

- 1993-Present **Media Appearances:** frequent presentations on China for international and national media such as the BBC Today, BBC Channel Four, BBC World, CNN, CNN International, CNN Moneyline, ABC World News With Diane Sawyer, C-SPAN Washington Journal, Piers Morgan Tonight, Voice of America, ABC, NPR, CNBC, National Radio of Canada, CBC, Radio Deutsche Welle, Reuters News Service, AP, Agence France-Presse, National Public Radio, Diane Rehm Show, Charlie Rose Show, News Hour with Jim Lehrer, CNN International’s Christiane Amanpour, and “Foreign Exchange” with Fareed Zakaria and with Daljit Dhaliwal.

1993-Present **Consultant Work:** briefings given on China for U.S. government agencies, including the White House, the Office of the Vice President, the U.S. Department of State, the State Department's Bureau of Intelligence and Research, the National Intelligence Council on Capitol Hill, the National Committee of Chinese Americans (Committee of 100), the U.S. National Committee of the Council for Security Cooperation in the Asia Pacific, the Rand Corporation, US Trade Representative Office, and the Federal Research Division, Library of Congress. Under the auspices of the U.S. Department of State, briefed James Sasser, former U.S. Ambassador to China (1995), Admiral Joseph Prueher, former U.S. Ambassador to China (1999), and Secretary Gary Locke, Ambassaor to China (2011). I served as a consultant for the World Bank (2009-2012) and Duquesne Family Office LLC. (2015-16). I currently serve as a consultant for Greenpoint Group.

1993-Present **Public Lectures:** frequently invited to give public speeches for distinguished organizations, such as the Bilderberg Conference, the Villa d'Este Forum, Washington National Cathedral, the Global ARC Singapore, the Delphi Economic Forum, the World Peace Forum, the China Development Forum, the National Committee of U.S.-China Relations in New York, the Ambassadors' Roundtable in Stamford, Connecticut; the Union League Club and the Foreign Policy Research Institute, Pennsylvania, the Distinguished Speaker Series of the Council on World Affairs in Buffalo, New York; Council on Foreign Relations, New York; Chicago Council on Global Studies, Chicago; Chautauqua Institution, New York; Aspen Ideas Festival, Aspen Institute, Colorado; Asia Society, New York; China Institute, New York; the World Internet Conference, Wuzheng, China; the Williamsburg Conference, Wye River, Maryland; the East-West Center, and the Asia-Pacific Center for Security Studies, Honolulu; Lowy Institute for International Policy, Sydney; the National Press Club; the Woodrow Wilson Center, the Carter Center, the United States-China Business Council, the Center for Strategic and International Studies, the Carnegie Endowment for International Peace, the Heritage Foundation, the Cosmos Club and the Metropolitan Club in Washington, DC as well as educational institutions such as Columbia, Princeton, Harvard, Yale, University of Pennsylvania, Johns Hopkins University, Chicago, Stanford, Rice, Duke, Toronto, Ohio State, Zhejiang Industry and Commerce University, UBC, Tsinghua University, Peking University, Renmin University, East China Normal University, Tufts, Michigan, Brandeis, Syracuse, Cornell, George Washington University, Georgetown University, Northwestern University, University of Wshington in St. Louis, Washington University at Seattle, University of Denver, American University, UBC, and University of Maryland.

1990-Present **Grant and Manuscript Review:** regularly serve as a referee for the Committee on Scholarly Communication with China, American Council

of Learned Societies, Woodrow Wilson International Center for Scholars, University of Michigan Press, University of California Press, Oxford University Press, Harvard Business School Press, *Comparative Politics*, *World Politics*, *American Political Science Review*, *The China Journal*, *The China Quarterly*, *Asian Survey*, *Journal of Chinese Sociology and Anthropology*, *Journal of Asian Studies*, *Pacific Affairs*, *Issues & Studies*, and *China Information*.

1988-Present

**Administrative Work:** served as a committee member or chair of several committees and councils: at Princeton University – the Committee of Governance, the Board of Trustees’ Committee on Honorary Degrees, and the Council of the Princeton University Community; at Brookings – Director of Research, John L. Thornton China Center; Hamilton College – the Asian Studies Committee, and the Alumni Council. Served as Acting Chair of the Department of Government at Hamilton College in 2001-2002 and served as Chair of Asian Studies Program at Hamilton College in 2004-2006.