

# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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**Engelberg Center for Health Care Reform  
The Brookings Institution • Washington, DC  
May 9, 2013**

# **INNOVATIVE TECHNOLOGIES AND NONPRESCRIPTION MEDICATIONS: ADDRESSING UNDERTREATED DISEASES AND CONDITIONS THROUGH TECHNOLOGY ENABLED SELF-CARE**

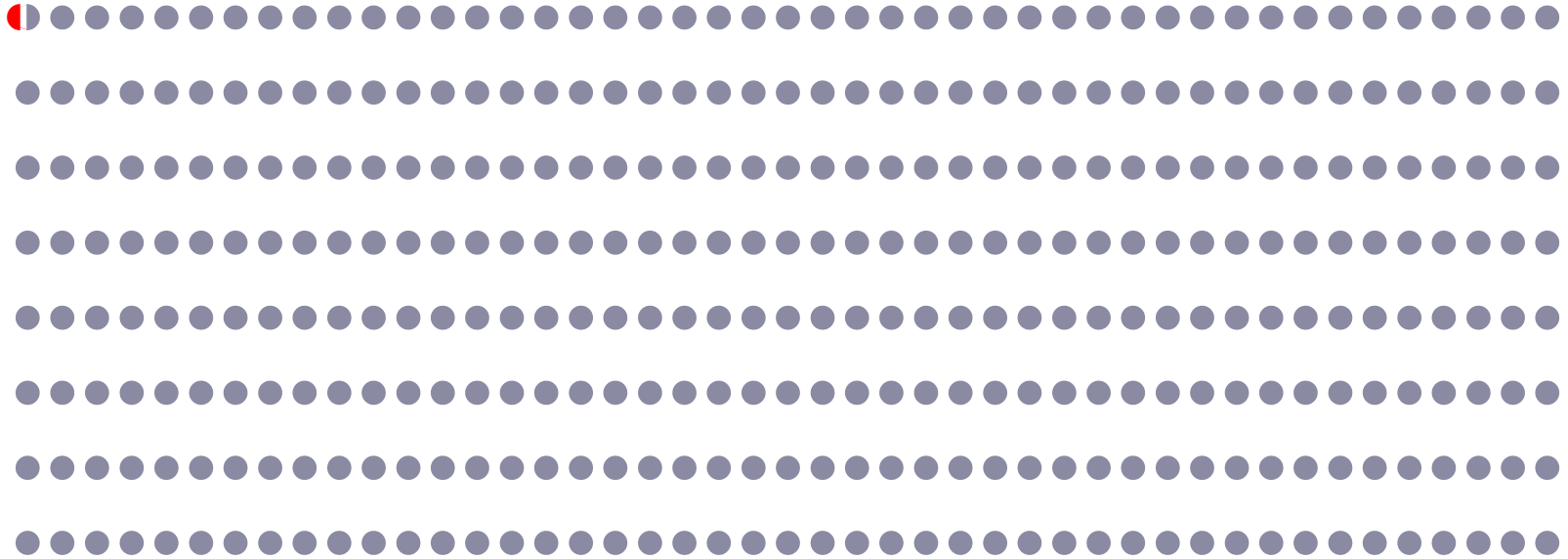
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**R William Soller PhD**

Professor, University of California San Francisco School of Pharmacy  
Executive Director, Center for Self Care  
[sollerphd.com](http://sollerphd.com)

Brookings Institute, Washington, DC  
May 9, 2013

# A Self-care Perspective



# Types of Self-care Activities

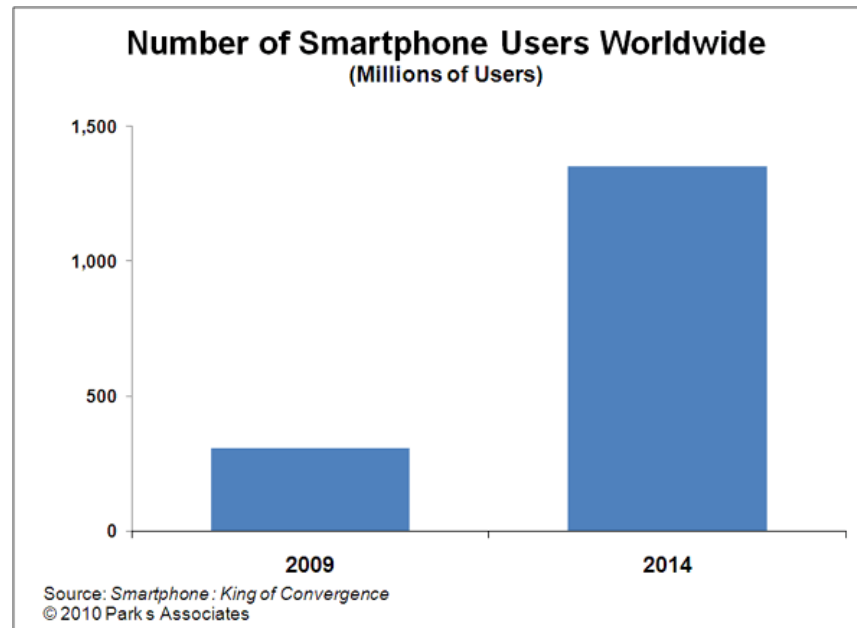
## ***BETWEEN doctor visits***

- Blood glucose monitoring
- Weight control: CHF, health and wellness
- Self-injection: Coumadin to Lovonox transition
- Self-injection: Insulin
- Self-injection: epinephrine for emergent allergic reactions
- Fertility and pregnancy testing
- Self-management of complex medication regimens



# Technology – Embedded in our Lives Now

- Business & Entertainment
- A Parallel Universe
- Mass Market Availability



# And more recently...mHealth\* Apps



## Potential benefits of mHealth:

- Self-monitoring and education
- Self-diagnosis
- Self-selection of products
- Increase therapeutic adherence
- Improve clinical outcomes
- Reduce hospital readmissions
- Improve economic outcomes
- Achieve patient satisfaction

*Fits the current policy paradigm for MTM services, ACOs etc.*

# Certification & Regulation



- Operability Standards
- Privacy Standards
- Security Standards
- Content Standards
- Under development

[http://cdn1.hubspot.com/hub/219577/  
HACP\\_Standards\\_FINAL\\_2.pdf](http://cdn1.hubspot.com/hub/219577/HACP_Standards_FINAL_2.pdf)

# *In the proximate future...*

## *mHealth Apps as the physician extender*

### **Self-care *BETWEEN* doctor visits**

- Blood glucose monitoring
- Weight control
- Self-injection: Insulin
- Self-management of complex medication regimens
- Management of hypoglycemic episodes



**David Jones, M.D.**

**1 Main Street**

**New Territory, Sugar Land, Texas**

Name: Sandra Lieu Date: 5-9-2013

Address: 9203 Hxy 6 South City: Houston, Texas



*Primus Care Disease  
Management App for Diabetes*

*David Jones MD*

DDNI

**Approved by FDA**

***Backed by a Medical Coding and Billing Protocol***

***...with incentives (e.g., no co-pays on certain meds or glucose meter sticks)***

# OTC, Quo Vadis?

# **Nonprescription Medicines**

## ***... in the Era of Consumer Telehealth***

### **Outline**

- **Current Approaches to:**
  - Undertreatment
  - Evidence Base for Nonprescription Availability
  - Benefit/Risk Assessment
- **Three Scenarios**
- **Summary**

# Undertreatment and Self Care

- **Undertreatment: (Def)**

- Treatment that is inadequate or inappropriate \*

- **Examples**

- |                   |                         |
|-------------------|-------------------------|
| • Nicorette       | Smoking cessation       |
| • Oxytrol         | Overactive bladder      |
| • Plan B          | Emergency contraception |
| • Nizoral         | Dandruff                |
| • Topical Lamisil | Onychomycosis           |

- **Overtreatment**

# Evidence Base & Process for Approval

- **Safety and Effectiveness**

- Track Record of Parent API

## Triad of Studies

1. Label Comprehension Studies
2. Self-selection Studies
3. In-use Effectiveness Studies (“Actual Use Studies”)



# Evidence Base & Process for Approval

- **FDA Benefit/Risk Process for Drug Approval**

- **Food and Drug Administration:**

- Structured Approach to Benefit-Risk Assessment in Drug Regulatory Decision-Making: Draft PDUFA V Implementation Plan - February 2013. Fiscal Years 2013-2017

- **Health Policy & Research Sector**

- Soller, R. W., Chan, P. V, & Shaheen, C. (2011). OTC considerations for expanding access to nonprescription medicines: A critical synthesis of questions from the Food and Drug Administration to its advisory committees on Rx-to-OTC switch. *SelfCare*, 2(September), 117–138.
- Soller, R., & Shaheen, C. (2012). The Switch Considerations List: “Considerations for Rx-to-OTC Switch” Refined by a Critical Synthesis of FDA Comments on Expanded Access for Naloxone. *SelfCare*, 3(November), 121–137.

# **Nonprescription Medicines**

## ***... in the Era of Consumer Telehealth***

### **Outline**

- **Current Approaches to:**
  - Undertreatment
  - Evidence Base for Nonprescription Availability
  - Benefit/Risk Assessment
- **Three Scenarios**
- **Implications**

# Future Scenarios

## Considerations

- Pharmacy work flow
- Cost of Technology
- Cost Shifting
- Type of Technology
- Accessibility
- Efficiency
- Channels of Distribution
- Language
- Monitoring
- Value

## Approaches

- Shift intake counseling
- Cloud-based Platform
- To the public/some premium
- Users know the technology
- Paper version/community clinic
- Plug and Play
- Few restrictions
- Easy adaption
- Postmarketing surveillance
- Impact re OTC Drug Facts Label

# Future Scenarios

- **Scenario 1: Certain Existing OTCs**

- **Seamless integration**

- Many OTCs recommended by physicians
- App
  - ***mHealth has potential to optimize functionality of the OTC Drug Facts Label***
    - Adherence tracking
    - Dosage reminders
    - Potential DDI interventions
    - Identification on how to handle emergent side effects

## OTC Examples:

- Aspirin
- Stool softeners
- Antacids
- Acid reducers/PPI
- Oxybutynin

- > No/Little change in evidence based approach
- > App development & testing is cheap and easy

# Future Scenarios

- **Scenario 2: Novel Conditions of Nonprescription Drug Use for Chronic Conditions**

- **Public Health focus is:**

- The shifting of risk management to a telehealth nonprescription setting, in order to address:
  - Undertreatment (awareness and access)
  - Overtreatment (better-controlled diagnosis)

- **Major IT Emphasis:**

- Self-diagnosis and health condition profiling
- Compliance with Physician Visits
- Adherence to Medication
- Connectivity: Tracking & Reporting

**Examples:**

- Lipid Lowering
- BPH
- Migraine
- Anticoagulation
- Among others



### 3. How to take FLOMAX RELIEF

Taking this medicine

- Take one capsule each day
- Take it at the same time each day, after a meal
- Swallow the capsule whole with water
- Do not crush, chew, or open the capsules

Make a note of the date you start taking FLOMAX RELIEF.

After 2 weeks

***Breaks the Usual Paradigm of Self-Care  
with Nonprescription Medicines***

Within 6 weeks

You should see your doctor within 6 weeks of starting treatment to confirm that your symptoms are due to BPH.

Every 12 months

You should see your doctor every 12 months to check your prostate. See the doctor sooner if your symptoms change or get worse.

- No e-based registry
- No required reporting of consultation outcomes
- Specificity and sensitivity of counseling unknown



## 2. Before you take FLOMAX RELIEF

FLOMAX RELIEF should be used only by men who are 45 to 75 years of age.

Do not take the

- You are allergic to any of the other ingredients of this medicine (listed in Section 6 below)
- You are taking certain medicines prescribed by your doctor (see “Taking other medicines” below)
- You have problems with your heart, liver, or kidneys
- You faint or get dizzy or weak when you sit or stand up suddenly
- You have had your symptoms for less than three months
- You have pain when you urinate, or your urine was cloudy or bloody, at sometime in the last three months
- You have a fever due to an infection of your kidneys or bladder (urinary tract infection)
- You have leaking of your urine which you are unable to control (incontinence)

***Reducible to a Structured Survey***

- You think you have diabetes and it is not properly controlled
- You have had prostate surgery
- You have recently had blurred or cloudy vision and have not been examined by your doctor or optician
- You are about to undergo eye surgery for cataract or glaucoma (see Eye surgery below)

Do not take FLOMAX RELIEF if any of the above apply to you, without first consulting your doctor. If you are not sure, talk to your doctor or pharmacist before taking these capsules.

are taking or have recently taken any other medicines. This includes medicines that you can buy without a prescription, including herbal medicines. This is because FLOMAX RELIEF can affect the way that some other medicines work and some other medicines can affect the way that FLOMAX RELIEF works.

In particular, tell your pharmacist or doctor if you are taking:

- Medicines to lower your blood pressure such as verapamil and diltiazem
- Medicines to treat HIV such as ritonavir or indinavir
- Medicines to treat a fungal infection such as ketoconazole or itraconazole
- Other alpha-blockers such as doxazosin, indoramin, prazosin, or alfuzosin
- Erythromycin, an antibiotic used to treat infections

May 9, 2013

		Yes	No	20 Uncertain
1	Are you allergic to eye drops? (Click here to learn more)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
2	Are you currently taking any eye drops?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3	Do you have any eye problems?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4	Do you have any eye problems?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
5	Do you have any eye problems?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6	Do you faint or feel dizzy when standing up?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
7	Have you had any eye exams in the last 12 months?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
8	Do you have any eye problems, at some point in your life?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
9	Do you have any eye problems (urinary tract infection)?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
10	Do you have any eye problems (urinary incontinence)?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
11	Do you think you have any eye problems?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
12	Have you had any eye exams in the last 12 months?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
13	Have you recently had blurred or cloudy vision and have not been examined by an eye doctor?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
14	Are you about to undergo eye surgery for cataract or glaucoma?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

- **Computer-based algorithm**

- Structured survey – self-diagnosis
  - Sensitivity and specificity
- Online survey accessible:
  - At home, on smartphone
  - In person survey: In store

- **Passing survey criteria yields:**

- Validated barcode, for scanning at store with valid photo ID
- May be printed, or sent to email smartphone

- **Gatekeepers:**

- “Avatar,” Sales Clerk, Tech, or RPh

- **Triaged only *prn* to RPh for consult**

***All Options, with Emphasis on Access***




# Implications

- **Safety and Effectiveness**

- Track Record of Parent API

## Triad of Studies

1. Label Comprehension Studies
2. Self-selection Studies
3. In-use Effectiveness Studies



Content Comprehension & Operability  
(Usability)

Self-diagnosis (validated)

Adherence to more than “use directions”  
on the OTC Drug Facts Label

**Health technology has the potential to optimize the full functionality  
of the OTC Drug Facts Label.**

# Future Scenarios

## Scenario 3: Novel Conditions of Nonprescription Drug Use for **Acute Life-threatening** Conditions

- **Public health focus**

- Impact on Public Health Need

- **Major IT emphasis**

- Selection
- Caretaker (or Witness)
- Channel of Distribution

### Emergency Care:

- Opioid Overdose
- Asthma
- Allergies

# Summary

- Undertreatment is a key driver for OTC drug development.
- Innovative technology has the potential to address under- and overtreatment.
- Consumers are already embedded in the technology of interest.
- Future nonprescription products may be driven by private concerns or the public health concerns.
- Proposed technology approaches can address considerations important to stakeholders.
- Study designs will be modified but in context of the current benefit/risk approach, and triad of studies used now to support switch.
- The proposed technology approach can be built to add significant value to:
  - Improve the functionality of the OTC Drug Facts Label.
  - Tracking and monitoring effectiveness and safety

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# Proposed Mevacor Daily™ Label 2007



# MEVACOR™

Lovastatin 20 mg **Daily**  
CHOLESTEROL REDUCER

**This Product is only for:**



**WOMEN** age 55 and older



**MEN** age 45 and older

If you meet these age requirements,  
read back for more information.

45 TABLETS



# Proposed Mevacor Daily™ Drug Facts Label 2007

## Back of the Box

**MEVACOR™ Daily**

### Before buying:

- You must have tried a healthy diet and exercise to reduce your cholesterol.
- You must have had a fasting cholesterol test and know your cholesterol numbers.
- Your LDL “bad” cholesterol must be 130 to 170.

### Drug Facts

#### Active ingredient (in each tablet)

Lovastatin 20mg.....

#### Purpose

.....Cholesterol reducer ▶

**You must read the entire Drug Facts label inside**

LIFT THIS FLAP

▶ READ LABEL WARNINGS CAREFULLY ▶

LIFT  
▶  
HERE

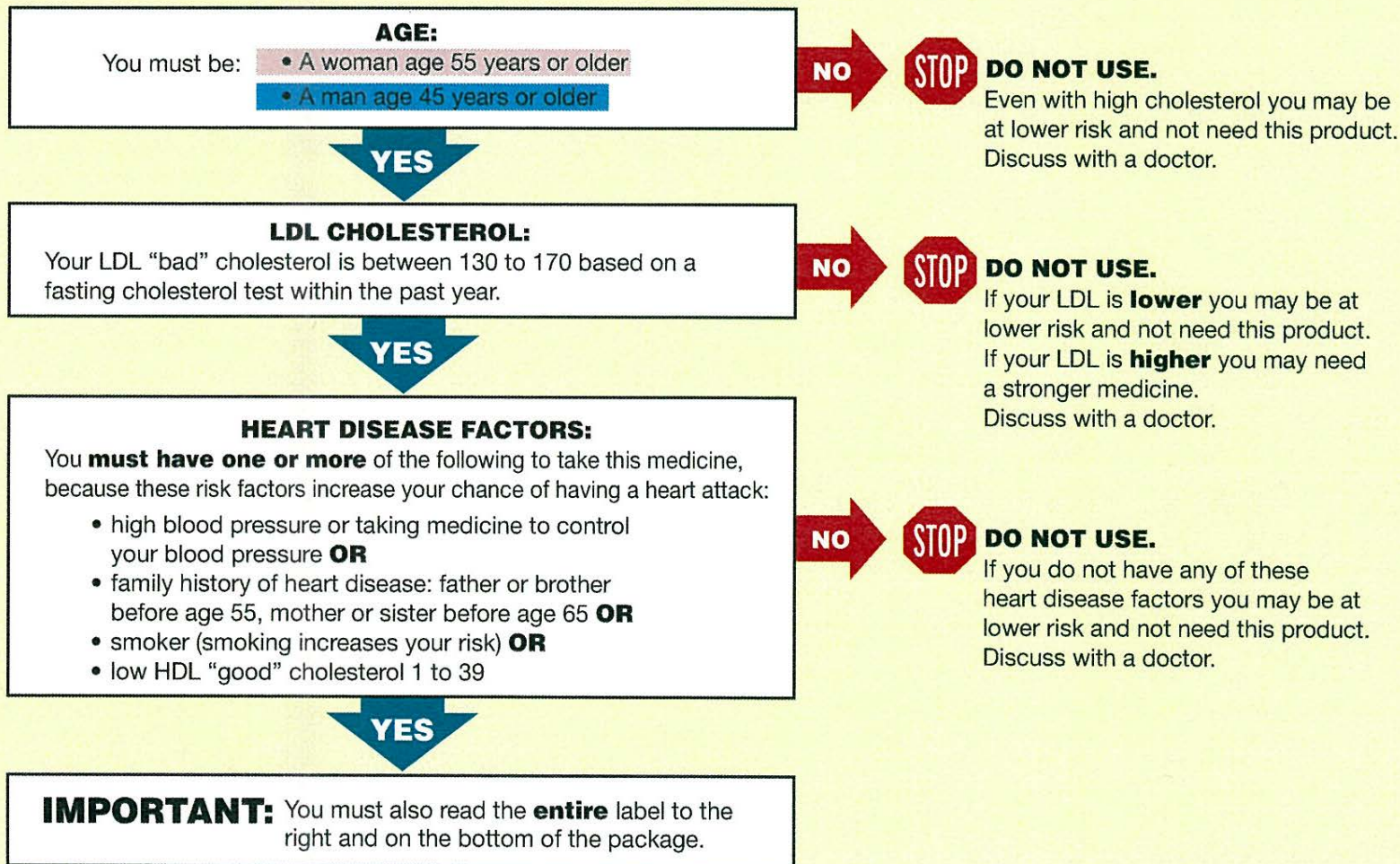


## Drug Facts (continued)

**Use** To help lower cholesterol, which may prevent a first heart attack.

### You must follow the chart below to see if this product is right for you.

This product is **ONLY** for people who meet **ALL OF THE REQUIREMENTS** listed below. If you do not meet **ALL OF THE REQUIREMENTS**, you should not use this product without talking to a doctor.



# Proposed Mevacor Daily™ Drug Facts Label 2007

## Drug Facts (continued)

### Warnings

Do not use if you know you are allergic to lovastatin

#### Ask a doctor before use if you

- are taking prescription cholesterol medicines. Do not substitute. This product is probably not strong enough for you
- have LDL "bad" cholesterol 171 to 400. You are at higher risk for heart disease
- are a woman under age 55 or a man under age 45. You may be at lower risk for heart disease
- are a woman with high HDL "good" cholesterol 60 to 200. You may be at lower risk for heart disease
- have liver disease
- have had heart disease
- have had a stroke
- have diabetes

#### Ask a doctor or pharmacist before use if you are

- unsure of your cholesterol numbers or have not had a fasting cholesterol test within the last year
- taking any of the following, as certain drugs or foods can cause interactions:
  - cholesterol medicines
  - oral antibiotics
  - oral antifungals
  - drugs for irregular heartbeat
  - HIV protease inhibitors
  - cyclosporine (immune suppressant)
  - nefazodone (antidepressant)
  - large quantities of grapefruit juice (more than 1 quart daily)

When using this product, talk to a doctor if there is a change in your health, such as a new prescription medicine or a new medical condition.

Stop use and ask a doctor if you develop any unexplained muscle pain, weakness or tenderness.

This can be a sign of a rare but serious side effect.

If pregnant or breast-feeding, or think you may become pregnant, do not use. This product may cause problems in the unborn child.

Keep out of reach of children. In case of overdose, get medical help or contact a Poison Control Center right away.

### Directions

- this product is only for you if
  - you are a woman 55 years or older or a man 45 years or older and
  - your LDL "bad" cholesterol is between 130 and 170 and
  - you also have one or more of the following heart disease factors which increase your chance of a heart attack:
    - high blood pressure or taking medicine to control your blood pressure or
    - family history of heart disease: father or brother before age 55, mother or sister before age 65 or
    - smoker (smoking increases your risk) or
    - low HDL "good" cholesterol 1 to 39

▼ READ LABEL WARNINGS CAREFULLY ▼

▼  
LIFT  
▼  
HERE





# Using Innovative Technologies as a Condition of Safe Use

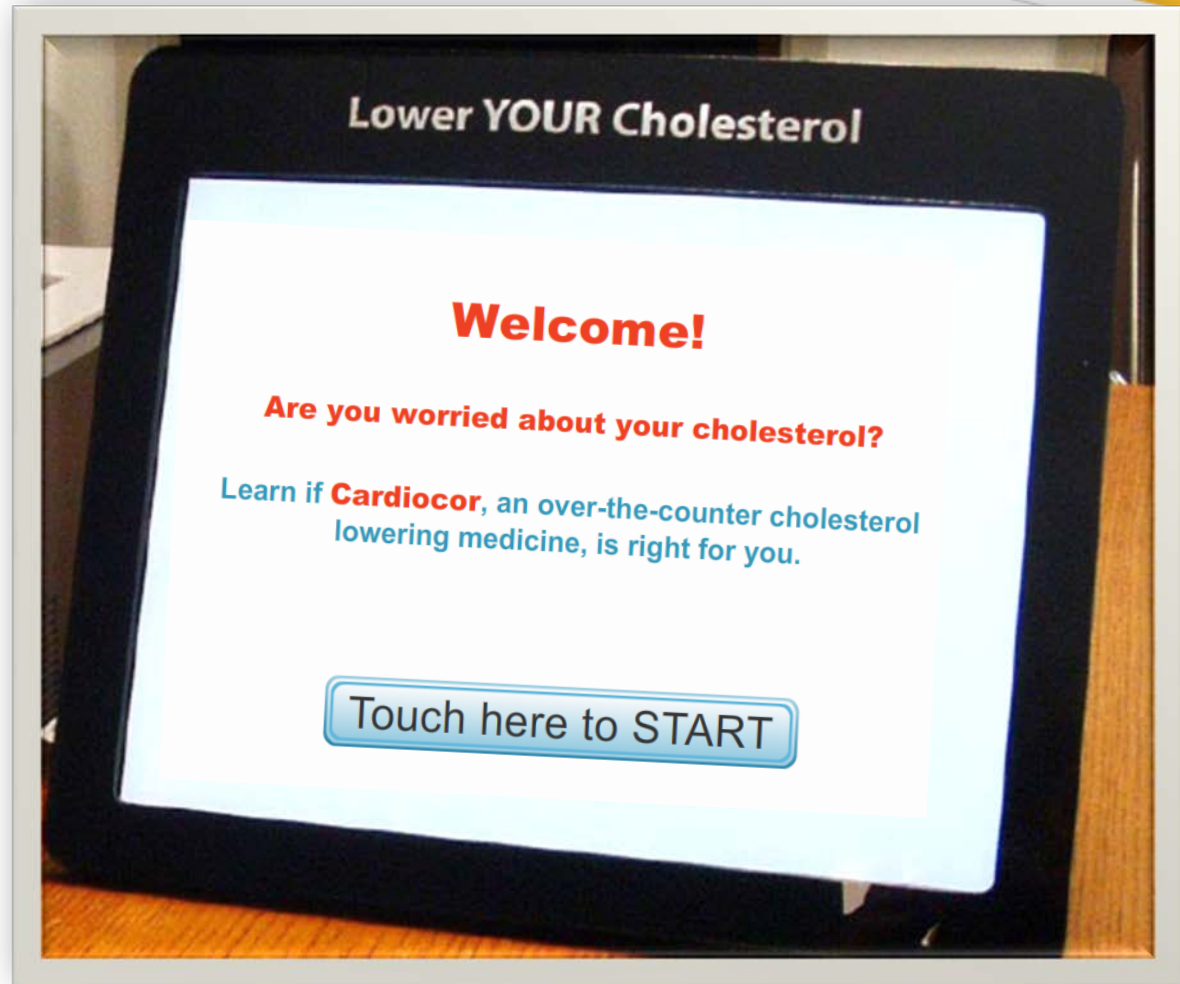
The Brookings Institution  
Washington, DC  
Thursday, May 9, 2013

Erin Oliver, MS, MBA, RAC  
Senior Director, Regulatory Affairs  
Global Regulatory and Quality

# Can Technology Aid Consumer Self-Selection?

GSK developed a prototype electronic aid to self-selection using:

- a simulated electronic kiosk
- a model drug named “Cardiopor” – an OTC statin for cholesterol reduction
- 9 step self selection algorithm based on the Mevacor- Daily™ Drug Facts label



**Are you**

Female

Male



54 or younger



55 or older

**Have you had a fasting cholesterol test in the past 12 months?**

Yes



No

Not Sure

## What is your LDL ("bad" cholesterol) score?



**High - more than 170 mg/dL**



**Borderline high - between 130 and 170 mg/dL**



**Normal - less than 130 mg/DL**



**I don't know my score**

**Have you tried diet and exercise to  
reduce your cholesterol?**

Yes

No



**Pick all of the heart disease risk factors that apply to you. Then touch "Next".**

☐

**I have high blood pressure**

☐

**I take medicine to control my high blood pressure**

☐

**I currently smoke**

☐

**My "good"(HDL) cholesterol is 39 or less**

☐

**Heart disease runs in my family**

- Father or brother before age 55 **OR**
- Mother or sister before age 65

Click here:  
**What is heart disease?**

☐

**None of the above**

**Next**



**BACK**





**Heart disease is not just one disease. It is all conditions that keep your heart or circulation from working normally. Examples of heart disease include:**



- Chest pain - angina
- Irregular heartbeat - arrhythmia
- Narrowing of the arteries - coronary artery disease or atherosclerosis
- Enlarged heart - cardiomyopathies
- Inflammation of the heart's lining – endocarditis or pericarditis
- Aorta or vascular disease
- Heart valve disease
- Heart attack or heart failure

**A person who has or has had any of the conditions listed above has heart disease.**

Back

## I have or have had...

Pick the statement(s) that apply to you.  
Then touch "Next"

☐

Liver disease

☐

Heart attack or chest pain (angina)

☐

A stroke

☐

Diabetes

☒

None of the above

Next



**Pick the medicines you take or foods you eat.  
Then touch "Next"**

- ☐ **Prescription cholesterol medications**
- ☐ **Oral antibiotics**
- ☐ **Oral anti-fungals**
- ☐ **Drugs for irregular heartbeat**
- ☐ **HIV medicines**
- ☐ **Organ transplant medications** (For example - cyclosporine)
- ☐ **Nefazodone** (medicine in the antidepressant Serzone)
- ☐ **Large quantities of grapefruit juice** (more than four 8oz glasses daily)
- ☒ **None of the above**



BACK



Next

# **Cardiocr is right for you!**

**Cardiocr can help lower your cholesterol  
and reduce your risk of first heart attack.**

**Read and follow all directions on the Cardiocr label.**

Reprint

**Are you**

Female

Male



# How old are you?

44 or younger



45 or older

**Have you had a fasting cholesterol test in the past 12 months?**

Yes

No

Not Sure



## What is your LDL ("bad" cholesterol) score?



**High - more than 170 mg/dL**



**Borderline high - between 130 and 170 mg/dL**



**Normal - less than 130 mg/DL**



**I don't know my score**



**Have you tried diet and exercise to reduce your cholesterol?**

Yes



No

**Pick all of the heart disease risk factors that apply to you. Then touch "Next".**

- ☒ ☐ **I have high blood pressure**
- ☒ ☐ **I take medicine to control my high blood pressure**
- ☒ ☐ **I currently smoke**
- ☐ **My "good"(HDL) cholesterol is 39 or less**
- ☐ **Heart disease runs in my family**
  - Father or brother before age 55 **OR**
  - Mother or sister before age 65
- ☐ **None of the above**

Click here:  
**What is heart  
disease?**

**Next**

**BACK**



## I have or have had...

Pick the statement(s) that apply to you.  
Then touch "Next"

☐

Liver disease

☒

Heart attack or chest pain (angina)

☐

A stroke

☐

Diabetes

☐

None of the above

Next

BACK



**Pick the medicines you take or foods you eat.  
Then touch "Next"**

- ☐ **Prescription cholesterol medications**
- ☐ **Oral antibiotics**
- ☐ **Oral anti-fungals**
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- ☐ **Large quantities of grapefruit juice** (more than four 8oz glasses daily)
- ☒ **None of the above**



BACK



Next

## Cardior is **NOT** right for you.

### Cardior is right for:

Men 45 or older **OR** Women 55 or older; not pregnant, breastfeeding, or thinking about getting pregnant who

- ...have had fasting cholesterol test in past 12 months
- ...have LDL cholesterol between 130 and 170
- ...have tried to reduce their cholesterol with diet and exercise
- ...have one or more heart disease risk factors
- ...do not suffer from certain conditions
- ...are not currently taking any medicines or foods that could interact with Cardior.

To learn more about **Cardior** and how to best manage **your** cholesterol, talk with your doctor

Reprint

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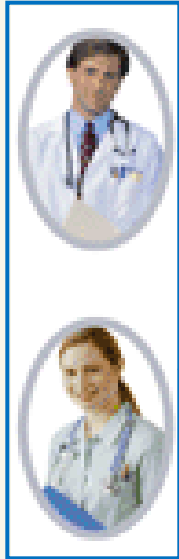


A product of Constant Care, LLC

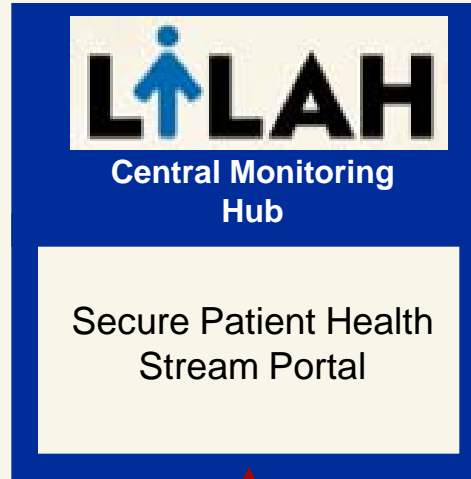
# Why Lilah, Why now...

- ❑ Developed from a need identified in our own operations
- ❑ Identified multiple needs in other healthcare arenas related to identification and monitoring of health-related conditions
  - Create a product to **empower individuals** to live independently
  - Create a tool to assist healthcare providers in **early identification and response** to change in patients' conditions
  - Create an **exception-based** mechanism to track and trend critical data elements related to chronic conditions
  - Create an **information “hub”** to allow for ease of data sharing
  - **Bridge the gap** in on-going healthcare needs that exists between physician visits

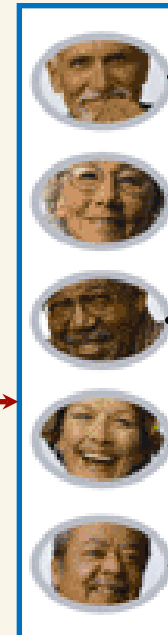




***Safety Net For  
Individuals  
Requiring  
Assistance  
Including On-  
Demand Video  
Chat***



***Providing  
Immediate Feed  
Back to  
Individuals***



### **Home Monitoring**

- Weight
- Pulse Ox
- Temp
- Blood Pressure
- Blood Glucose
- Peak Flow


### **Customizable Health Questionnaire**



# Possible Role in Conditions of Safe Use

- ❑ Address barriers to self-diagnosis due to lack of discrete personal health data.
- ❑ Centralized access to individuals' health data
- ❑ Evidenced-based tools to aid in determining when to self-manage and when to seek profession help. Sources include: AHA, JNC7, AMDA
- ❑ Trend personal health information over time to determine if interventions are working
- ❑ Correlate lifestyle choices and medication adherence to fluctuations in personal health data

# Establishing Thresholds



HelpSign Out

Daryl Doise  
Your role: Medical Administrator, Member

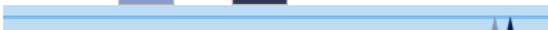
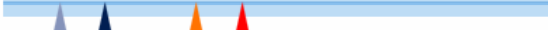
HomeMy AccountUsersPatient Input BatchFacilitiesPatientsReports

My Patients

Lannie Richardson

Manage patient.

ProfileThresholdPharmacy & PhysicianMedical HistoryHealth QuestionnairePrescriptionPhoto

Threshold Type, Parts (Unit)	Threshold
<b>Blood Oxygen</b> Oxygen Saturation(%)	Severe Low 97 Low 98  If less than Severe Low Alert Right Away If less than Low 3 Times Within 5 days.
<b>Blood Pressure,Blood Oxygen</b> Pulse(bpm)	Severe Low 40 Low 60 High 100 Severe High 120  If less than Severe Low Alert Right Away If less than Low 2 Times Within 4 days. If greater than High 2 Times Within 4 days. If greater than Severe High Alert Right Away

SaveCancel

Add/Remove MeasurementSchedule EventsThreshold Transaction History

Demonstration of patient taking their pulse  
and O2 saturation with their trended results

# Caregiver Notifications

## Lilah Patient Alert

alerts@mylilah.com

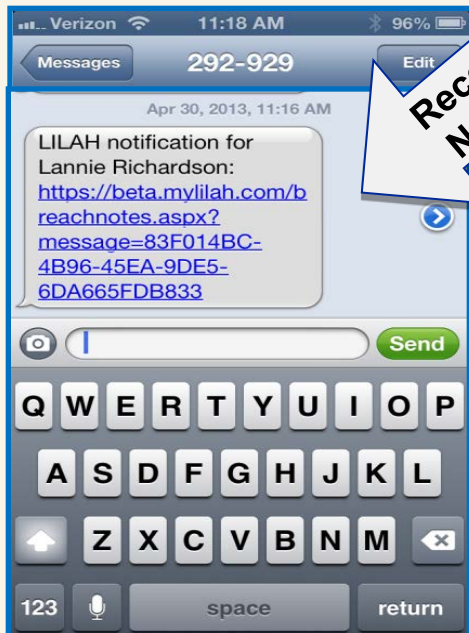
Sent: Tue 4/30/2013 11:16 AM

To: Jodi Funderburk

LILAH notification for Lannie Richardson: <https://beta.mylilah.com/breachnotes.aspx?message=4FF38598-AB14-4E9F-B835-34BA77101663>

Receive Email Notification

Receive Text Notification

A screenshot of the LILAH web application interface. The header features the 'LILAH' logo with the tagline 'Living Independent Life at Home' and a 'Sign In' link. Below the header is a 'Home' button. The main content area is titled 'Notes for Lannie Richardson' and contains a text entry field with the following text: 'LILAH notification for Lannie Richardson: Oxygen Saturation reading crossed extreme low threshold setting of 90.00 %. Reading is 89. https://beta.mylilah.com/breachnotes.aspx?message=7AAC18D9-D6D9-451F-9852-787D2C68FB17'. Below this text is a large empty text area for additional notes. At the bottom left is a 'Submit' button.

Make a note in Patient Record on the Go

# Caregiver Portal Notifications

The screenshot displays the LILAH Caregiver Portal interface. At the top, the LILAH logo is on the left, and the user's name 'Daryl Doise' and role 'Medical Administrator, Member' are on the right. A navigation bar contains links for Home, My Account, Users, Patient Input Batch, Facilities, Patients, and Reports. A 'My Patients' button is visible below the navigation bar. The main content area shows a 'Patient Dashboard' with tabs for All Patients, Today, Breaches, Notifications, Help Requests, Unit Notifications, and Flags. A filter dropdown is set to 'All Patients'. A patient card for LANNIE RICHARDSON from SOUTH LOUISIANA is displayed. A large blue arrow points to the patient card with the text 'Patient Reading is out of preferred range'. On the right side, a 'Patient Health Stream' section is highlighted with a red box, showing a notification for Lannie Richardson: 'Checked Blood Oxygen', 'Oxygen Saturation - 89 %', 'Pulse - 98 bpm', and a note from Wednesday at 5/1/2013 3:20:05 PM. Below this is a 'Watched Patients' section. The footer contains the copyright notice '© 2011 Copyright by LILAH' and a small icon of a person.

**LILAH**  
Living Independent Life at Home

Help Sign Out

Daryl Doise  
Your role: Medical Administrator, Member

Home My Account Users Patient Input Batch Facilities Patients Reports

My Patients

All Patients Today Breaches Notifications Help Requests Unit Notifications Flags

**Patient Dashboard**

Filter by group.  
Central Louisiana  
North Louisiana  
All Patients Filter

**LANNIE RICHARDSON**  
SOUTH LOUISIANA

**Patient Health Stream**

**Lannie Richardson**  
Checked Blood Oxygen  
**Oxygen Saturation - 89 %**  
Pulse - 98 bpm  
Note  
Wednesday at 5/1/2013 3:20:05 PM

Watched Patients

© 2011 Copyright by LILAH

# Demonstration of individual responding to custom health questionnaire

# Caregiver Notifications

## Lilah Patient Alert

alerts@mylilah.com

Sent: Fri 5/3/2013 11:28 AM

To: Jodi Funderburk

Lilah Notification for Lannie Richardson:CHF is high flagged





# Portal - Health Questionnaire Flag



Living Independent Life at Home

Daryl Doise  
Your role: Medical Administrator, Member

[Home](#) [My Account](#) [Users](#) [Patient Input Batch](#) [Facilities](#) [Patients](#) [Reports](#)

My Patients

All Patients Today Breaches Notifications Help Requests Unit Notifications Flags


### Health Questionnaire Notifications

Filter by Patient, Health Questionnaire and/or date.

Flagged ☐ Search by Patient ☐ Search by Health Questionnaire ☐

Date	Health Questionnaires	Patient Name	Flag Level	Review
5/2/2013 4:11:59 PM	CHF	Lannie Richardson	High	<a href="#">Details</a> 

# Questionnaire Response Notes



HelpSign Out

Daryl Doise  
Your role: Medical Administrator, Member

HomeMy AccountUsersPatient Input BatchFacilitiesPatientsReports

My Patients

### Review Health Questionnaire

Review Health Questionnaire

Lannie Richardson

CHF - 5/2/2013 4:11:59 PM

Question: Are you having any shortness of breath?

Answer: Yes

Notes: Used rescue inhaler and PRN oxygen - SOB resolved

High

Question: Is there more swelling in your legs than usual?

Answer: No swelling

Notes:

Lannie Richardson

CHF


Escalate: High

Reviewed:

SubmitCancel

# Demonstration of help request initiated by individual

# Help Request Notifications



**LILAH**  
Living Independent Life at Home

[Help](#) [Sign Out](#)

**Daryl Doise**  
Your role: Medical Administrator Member


[Home](#) [My Account](#) [Users](#) [Patient Input Batch](#) [Facilities](#) [Patients](#) [Reports](#)

[My Patients](#)

[All Patients](#) [Today](#) [Breaches](#) [Notifications](#) [Help Requests \(1\)](#) [Unit Notifications](#) [Flags](#)

### Assistance Requests

Your patients that have requested assistance.



Assistance Requested  
7 minutes ago  
7 minutes ago  
**Lannie Richardson**  
Phone: 3184456470 | Mobile

## Lilah Patient Alert

alerts@mylilah.com

Sent: Tue 4/30/2013 12:07 PM

To: Jodi Funderburk

---


Patient Lannie Richardson requested assistance.

Verizon 12:20 PM 87%

Messages 292-929 Edit

Apr 30, 2013, 12:07 PM

Patient Lannie Richardson requested assistance.

 Text Message [Send](#)

# Face To Face

Firefox Video Chat | Lilah Home Health...

https://beta.mylilah.com/PA/VideoChat.aspx?patientid=a12ff74a-904c-4576-ab72-ef6bbbc835cb

Search Save Video Screenshot Translate Similar Facebook

**LILAH**  
Living Independent Life at Home

Help Sign Out

Daryl Doise  
Your role: Medical Administrator, Member

Home My Account Users Patient Input Batch Facilities Patients Reports

My Patients

**Video Chat**

Please click on Request chat button to initiate the chat.

Video Chat Window

Notes Section

Save Note

View All Notes

Request Chat Close

© 2011 Copyright by LILAH

# Barriers Encountered Along the Way

- ☐ User Skill Set
- ☐ Literacy Issues
- ☐ Connectivity Issues
- ☐ Patient Engagement

# In Development

- ❑ Possible partnerships/integration
  - Laboratory Results
  - In-Home Medication Adherence Solutions
- ❑ Multi-user model kiosk (or mobile) expanding applications to facility based settings, work sites, pharmacies, and schools (currently pending FDA clearance)
- ❑ Biometric devices including, high resolution camera, stethoscope, and otoscope
- ❑ Android App for Smart Phones and Tablets
- ❑ Multi-Lingual Voice Integration

# Thank you .....





# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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**Engelberg Center for Health Care Reform  
The Brookings Institution • Washington, DC  
May 9, 2013**

# ROCK HEALTH+

The Brookings Institution

May 9, 2013

# ABOUT US

**Rock Health is a thought leader for innovation in digital health**

We've created an ecosystem of [passionate](#) individuals who seek to meaningfully [change healthcare](#) through scalable technology



## **Accelerator**

1600+ APPLICATIONS



## **Research**

400,000+ REPORT VIEWS



## **Events**

5,000+ ATTENDEES

2011

# HISTORY

Launched at SXSW

March 2011

V1: 15 companies

June-November 2011



2012

V2: 13 companies

January-May 2012



V3: 7 companies (Boston)

June-August 2012



V4: 14 companies

October 2012-February 2013



2013

# UNIQUE POSITION

Broad portfolio of 49 startups plus database of 1,600+ applicants spanning every aspect of digital health

**Preventive health  
and wellness**

**Care management  
(acute and chronic)**

**Devices and  
diagnostics**

**Payer and provider  
administration**

**Data, information  
and analytics**

**Telemedicine**

Deep partnerships with innovation groups at companies representing multiple aspects of the healthcare system

**Genentech**



**QUALCOMM**LIFE  
a qualcomm company



**UNITEDHEALTH GROUP\***

**ROCK  
HEALTH**



**NEA**



Formal relationships with leading venture firms, plus database and contacts with 165 digital health investors

Access to clinical expertise and key opinion leaders at the country's leading medical institutions

**We sit at the center of innovation in healthcare**

# THOUGHT LEADERSHIP

FASTCOMPANY

“ At Rock Health, they’re re-imagining what health care can be.

400,000

Rock Report views

Featured in leading press outlets

THE WALL STREET JOURNAL

The  
Economist

Forbes

CNN

Inc.

CNBC

TechCrunch

VentureBeat

GIGAom



13,000+  
followers



3,000+  
likes



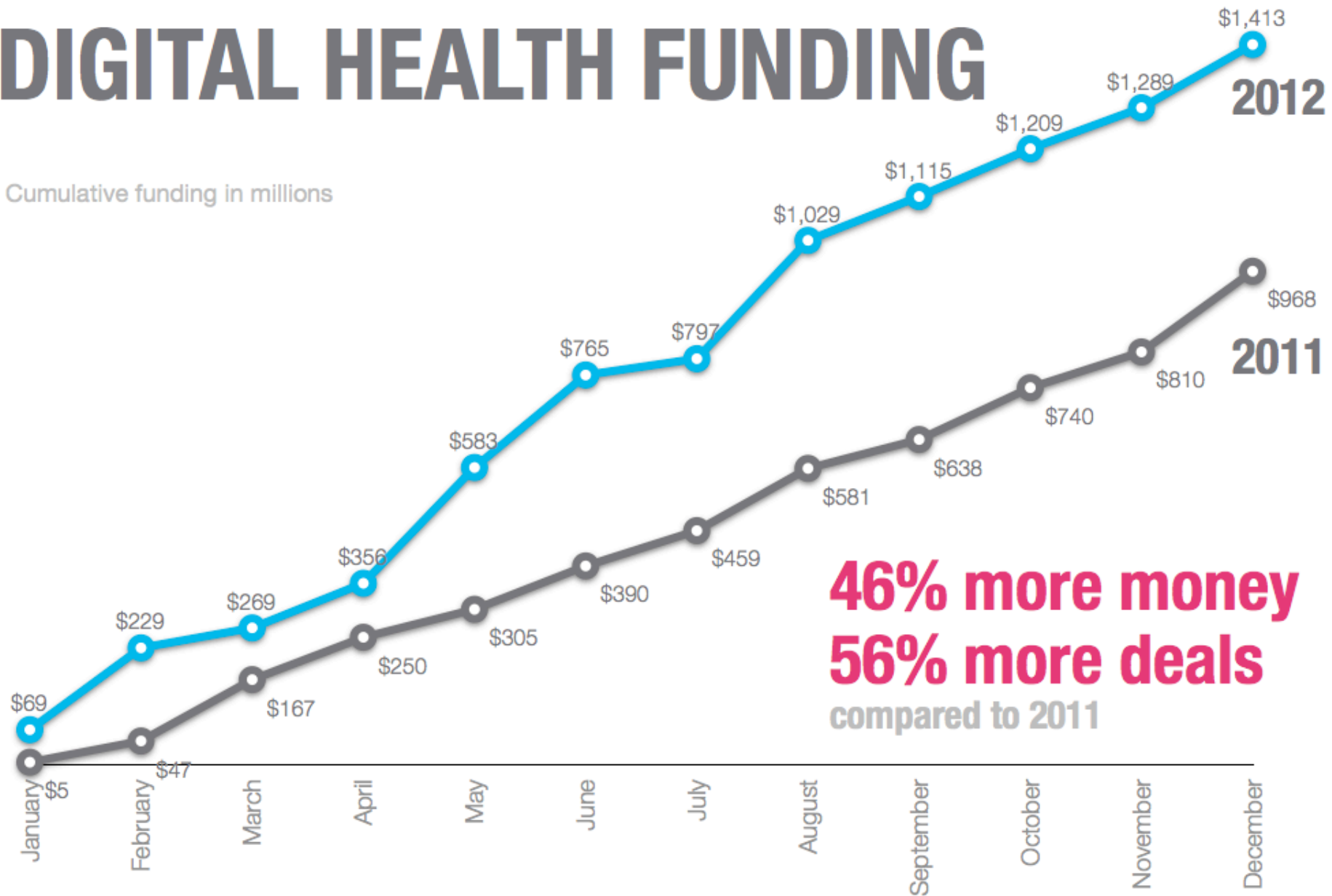
10,000+  
subscribers



25,000+  
visits/month

# DIGITAL HEALTH FUNDING

Cumulative funding in millions



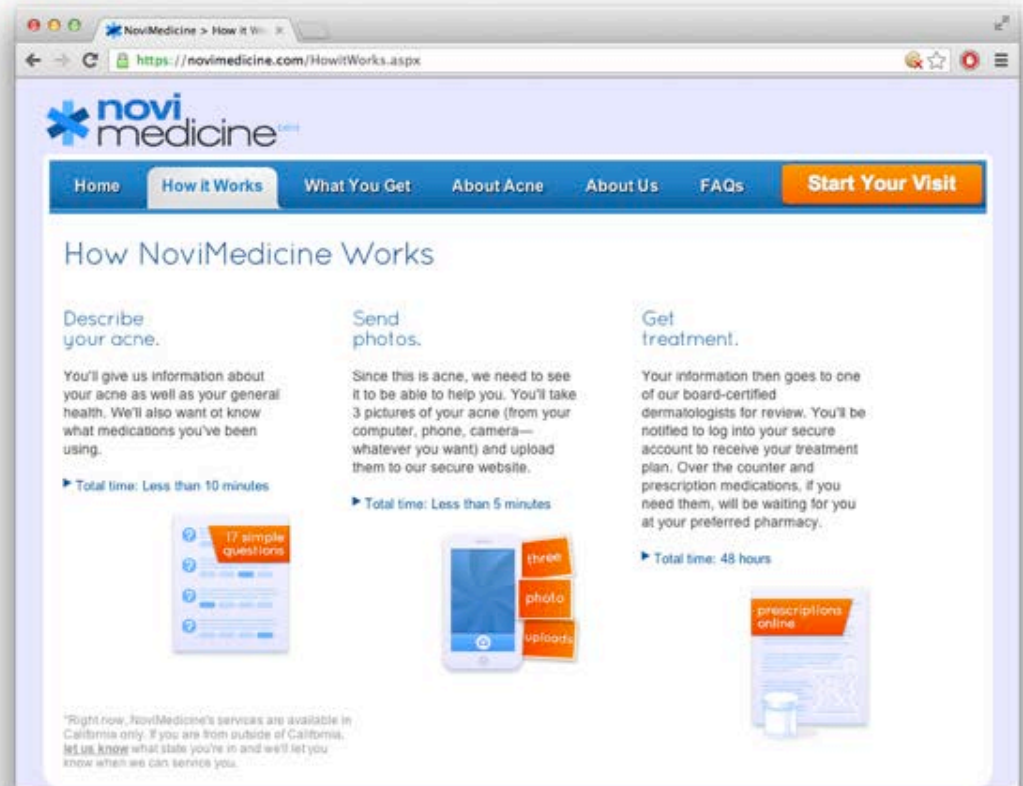
Note: only includes companies that received \$2M+ in venture funding













# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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**Engelberg Center for Health Care Reform  
The Brookings Institution • Washington, DC  
May 9, 2013**

# Learnings Pertinent to FDA's Nonprescription Safe Use Regulatory Expansion Initiative

Farmacia Electronica, Inc.

Donald P. Reitberg, PharmD

[dreitberg@prodigy.net](mailto:dreitberg@prodigy.net)

May 9, 2013

## How I Came to Be Here Today; Evolution of the Methods:

Started professional career with academic training at



July 1974 - June 1977

B.S. Pharmacy

- Clinical Pharmacy Training Program already well developed in 1977.
- Program is dedicated to remedy sub-optimal utilization of pharmacists in the health care system.
- Behind-the-counter (BTC) distribution has been of continuing interest to pharmacists; this approach could be assisted by computer algorithmic technologies today.
- The profession has evolved to Drug Therapy Management and Collaborative Physician-Pharmacist agreements, but not to behind-the-counter distribution.
- General Accounting Office Reports (1995, 2009) raise many issues regarding safe-use BTC-OTC distribution, so our computer technologies must apply to both traditional OTC or BTC availability.

# How I Came to Be Here Today; Evolution of the Methods: Academia, Post-graduate Training



July 1979 - June 1981

**Doctor of Pharmacy (Pharm.D.)**

July 1981 - March 1983

**Post-doctoral Research and Clinical Fellowship:**

Clinical Pharmacokinetics, Clinical Pharmacology, and Clinical Trials Management  
Millard Fillmore Hospital Clinical Pharmacokinetics Laboratory

- Learn that mathematical models and computer algorithms are powerful tools and can be continuously updated to improve predictability of outcomes in sub-populations.
- Learn that many patients taking serious drugs for serious diseases experience only placebo or no beneficial effects; there is a need for objective and practical tools to help these patients avoid unnecessary exposures to treatment.



# How I Came to Be Here Today (Industry, July 1981-present):

**Pfizer Pharmaceuticals, Inc. (prescription drug development)**

Associate Director

New Drug Development



**Glenbrook Laboratories (OTC drug development and support of existing products)**

**Division of Sterling Drug, Inc.**

Director of Clinical Research

STERLING DRUG

**Whitehall-Robins Healthcare (OTC drug development and support of existing products)**

**Division of American Home Products**

Associate Director Clinical Research



**SmithKline Beecham Consumer (OTC drug development and support of existing products)**

**Healthcare**

Worldwide Director of Clinical Operations



**Novartis Consumer Health, Inc. (OTC drug development, support of existing products, served on CHPA**

**Scientific Affairs Committee)**

Vice President of Scientific Affairs, North America



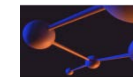
**Opt-e-scrip, Inc. (n of 1 RCT test kits to measure efficacy/safety)**

Founder, Chief Scientific Officer



**Strategic Pharmaceutical Services, LLC (Consulting: clinical pharmacology, pharmacokinetics, Rx-to-OTC switches, regulatory affairs)**

President (currently active)



**Farmacia Electronica, Inc. (Algorithmic Computer Safe-Use Technologies)**

Vice President (currently active)

- Uncomplicated switches are becoming scarce; more serious symptomatic diseases, more toxic drugs, and asymptomatic chronic conditions are under development by industry for self-care.
- FDA responds with Non-prescription Safe-Use Regulatory Expansion Initiative to help assure proper self-selection and self-care without increased & inappropriate population drug exposure.

# Farmacia Electronica, Inc. Background

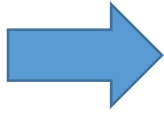
- Founded in 2009 to create educational systems that help consumers and the health care system minimize risks and enhance the effectiveness of medications.
- Majority of founders are from an ethnic minority. A major focus, therefore, is on technological solutions to assure adequate knowledge and product selection by patients and consumers, regardless of ethnicity or level of education.
- The company developed an initial product and filed for patent protection in February 2011.
- Applications under development are designed to assure that consumers can properly select and use medications, and treatment outcomes can be monitored and improved.

## Assumptions Regarding Technologically Assisted Education and Monitoring of Drug Use

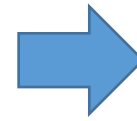
- Innovative technologies should be designed to foster an equal opportunity for all consumers, regardless of ethnicity or educational level.
- The technology should obtain consumer feedback and offer individualized re-education to assure proper understanding.
- The technology should continuously improve itself and thereby improve medical outcomes.

# How Does Drug-Facts-Label Interactive/ Algorithmic Cloud-based Technology Work?

Consumer uses device to get info about drug for self-selection



1. Enters site and selects ethnicity, language
2. Selects a safe-use candidate OTC drug
3. Talks to a support-person only if needed



Can add mandatory pharmacist-BTC or physician-collaborative approaches



4. Iterative algorithmic distribution system educates consumer
5. System verifies consumer knowledge by asking questions
  - Verification is done using a rule-based iterative system in the backend
  - If refill, safety and effectiveness outcomes are monitored

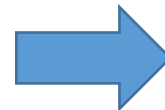
6a. Questions are answered verifying eligibility for initial treatment or refill

→ User gets a coupon or other code documenting eligibility

6b. Questions are answered suggesting possible non-eligibility

→ User is denied purchase and alternative actions are suggested, e.g. physician visit

7. Consumer picks drug from shelf and hands it to cashier with coupon/ code



8. Cashier verifies coupon/ code and if valid, allows consumer to purchase drug

# Farmacia Electronica

## Technologies Under Development

- Designed to work with any electronic communication device including cell phones in areas where computer technology is not available.
- Assures comprehension of risk-to-benefit relationship, proper product selection and usage by repeated education/questioning in consumer's language and literacy level using any available communication device:
  - Consumer can obtain a verification (bar) code as a condition for purchase or obtain cell phone or other credits as an incentive to take their medications when it is essential to public health (e.g. tuberculosis).
  - Devices as simple as automated cell phone voice (interactive voice reponse-IVR) or text messages can be used to educate and monitor adherence to regimens, side effects and success of treatment.

# Farmacia Electronica

## Technologies Under Development

- For every refill, the technology monitors each consumer's persistently correct self-selection, their adequate comprehension of labeling, and their efficacy and safety experiences.
- Cloud computer technology can individually track each consumer's experiences regardless of point-of-purchase.
- Technology continuously compiles and statistically assesses population self-selection of drugs, comprehension of proper product usage, and reported efficacy/safety data.
- Continuous improvement of educational content and questions asked are based on collected consumer data.

# Advantages of This Approach

- Conserves scarce healthcare resources.
- Using the technology, consumers can take OTC products off the shelf as they always have and self-educate as per the drug facts label.
- In some cases medication may only be purchased if questions have been answered correctly and a bar code or number is presented to the clerk using existing barcode/coupon technology.
- The technology can continuously collect data specific to each consumer from multiple locations and devices using a cloud computer platform.
- Technology can monitor population efficacy and safety.

# More Advantages of This Approach

- The system re-educates for refills and collects outcomes data.
- The system can be adapted to multiple interfaces: kiosk, telephone (IVR), browser web page, mobile, smart dispensing device, etc.
- The technology is designed to empower all consumers regardless of ethnicity and education with the ability to provide feedback on their comprehension of drug risks, benefits, and proper usage, and to report actual product usage and outcomes.
- Based on data received, the communication methods are continuously improved to overcome the disadvantages of ethnicity, educational-level and socio-economic background.



# Potential Impact on Stakeholders

## **The benefits to key stakeholders are as follows:**

- Consumers can safely gain access to needed medical products and be given incentives (for example, cell phone minutes) for properly taking their medications, (e.g.-tuberculosis).
- Government agencies can support documentable and continuously improving risk management of health care products with greater involvement of minority and educationally compromised populations.
- Private and government healthcare payers can experience reduced overall costs due to automated health care information, distribution and collection.
- Industry can gain market share, decreased litigation risk and possible market exclusivity.
- Providers can reduce medical labor costs via automation and reduce adverse events by assuring appropriate drug selection and use.
- The healthcare system in general can experience cost reductions and more effective use of scarce resources.

# Current/Ongoing Programs

- Farmacia Electronica, Inc. is currently pursuing a New Drug Application in collaboration with the US Food and Drug Administration.
- The technology platform under evaluation is designed to assure and document achievement of essential consumer self-selection requirements for a non-prescription drug.
- The technology educates and tests knowledge of the Drug Facts Label and can use existing retail consumer product bar code and coupon technology to assure proper self-selection.
- The company also developed a technology platform for Medication Guides (REMS) prescription products.
- The company is working on systems for use in rural areas and developing countries.

# Example: Use of Cell Phones and Texting in Areas with Limited Computer Infrastructure

## Please refer to:

<http://www.theatlantic.com/health/archive/2013/04/medicine-by-text-message-learning-from-the-developing-world/274656/>

- Health communication systems designed for rural, developing countries -- where hospitals are often understaffed and transportation is inadequate -- are being adapted to improve care in U.S. cities.
- Price Waterhouse Cooper estimates that electronic interventions in the U.S. could save \$10,000 per diabetic patient per year. The U.S. currently spends \$218 billion on diabetes every year.

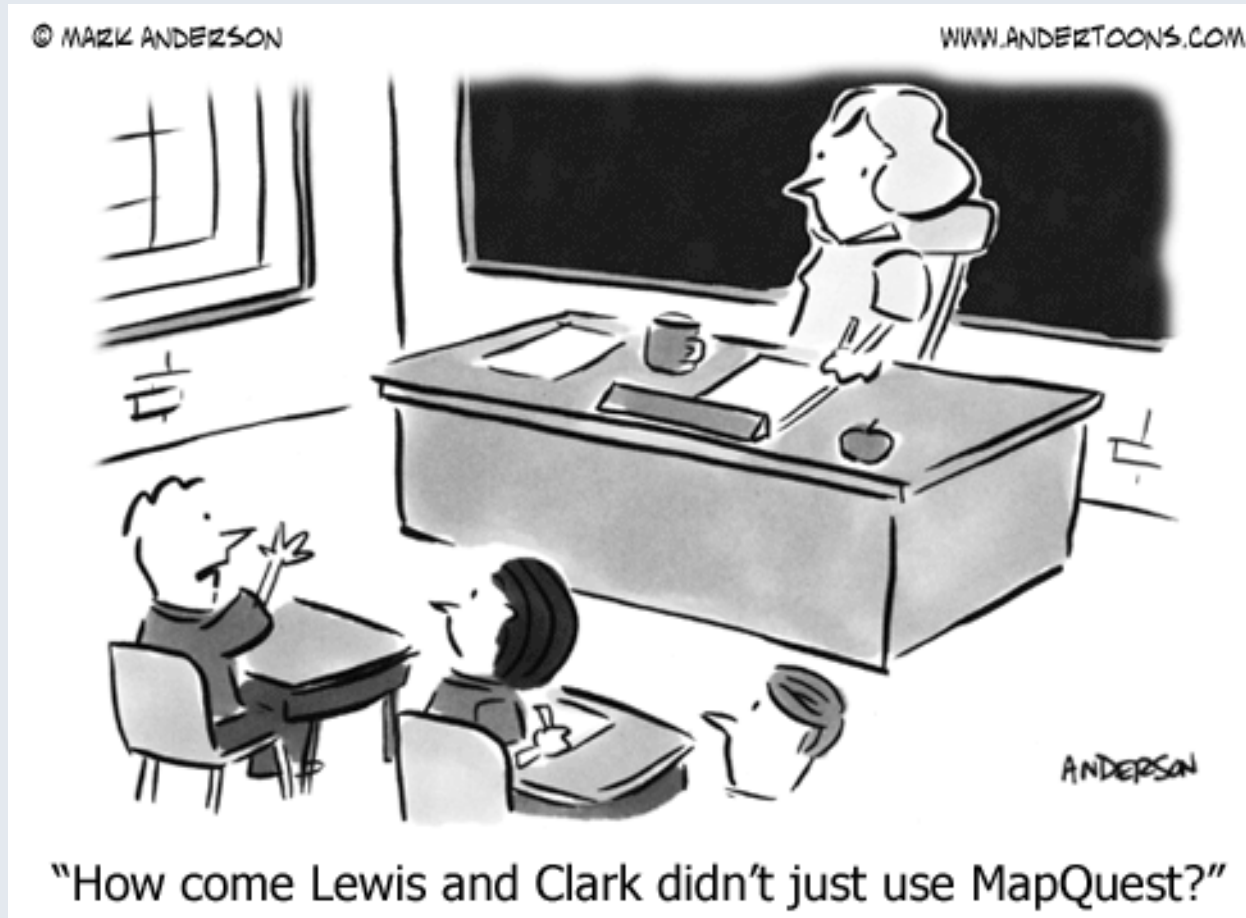
# Example: Remedy of a Serious, Unaddressed Public Health Need

**Please refer to:**

<http://web.mit.edu/newsoffice/2008/tb-cellphone-tt0604.html>

- Tuberculosis (TB) kills an estimated two million people every year, and treating the disease requires a strict six-month regimen of antibiotics. If patients abandon treatment early, the TB bacteria survive and can become resistant to antibiotics.
- A novel compliance approach was field-tested in Nicaragua. It combined a paper urine drug testing strip with a simple text message reporting system to ensure drug compliance, and rewarded cell phone minutes in exchange for taking the drugs properly.
- An analogous US technology-enhanced approach could reduce treatment failures and drug resistance by offering incentives to take medications as prescribed.

The potential benefits for all stakeholders in the healthcare system can be profound indeed as we evolve in our relationship with computer technologies.



# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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**Engelberg Center for Health Care Reform  
The Brookings Institution • Washington, DC  
May 9, 2013**



# NSURE: Perspectives on Consumer Use of Technology to Assist Decision-Making and Self-Care with OTC Products

Julie L. Aker, President & CEO

**Concentrics Research**

The Brookings Institution

Washington, DC

9 May 2013

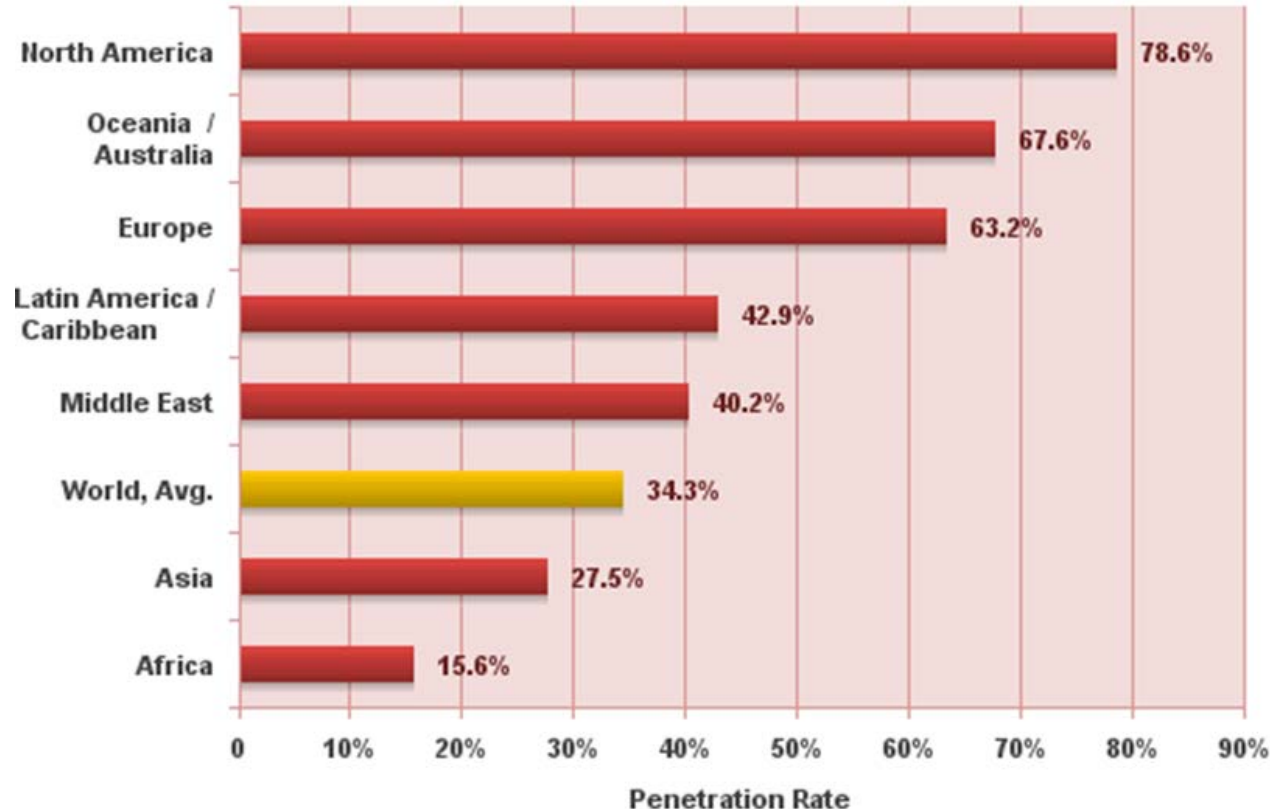
# How do consumers make decisions about OTC Medications?

Modes of Awareness/Decision-Making	Real-Life	<i>Technology Assist</i>
1. <b>Awareness &amp; Relevancy</b>	Advertising Friends/Family	<i>Advertising Education</i>
2. <b>Motivation</b> to seek the product	Go to the store or check online	<i>Education (Benefit/Risk, “call to action”)</i>
3. Read label/evaluate	Yes	<i>Paraphrase, examples</i>
4. <b>Decision: Is it right for me? (SD/SS)</b>	Yes	<i>Assisted decision, dx test</i>
5. Decision: Do I want to purchase it?	Yes	[Value decision]
6. Decision: Do I want to use it?	Yes	<i>Education and assistance</i>
7. <b>Decision: Will I choose to comply with the label? (Use)</b>	Yes	<i>Tracking/tools/progress</i>
8. Decision: Will I be <b>motivated</b> to continue over time (self-management)?	Yes	<i>Tracking/tools/progress</i>



# Who has access to the internet?

**World Internet Penetration Rates  
by Geographic Regions - 2012 Q2**



Source: Internet World Stats - [www.internetworldstats.com/stats.htm](http://www.internetworldstats.com/stats.htm)  
Penetration Rates are based on a world population of 7,017,846,922  
and 2,405,518,376 estimated Internet users on June 30, 2012.  
Copyright © 2012, Miniwatts Marketing Group

# How do consumers use technology now?

---

- 55% of U.S. consumers have a Smartphone (comScore Reports 2013)
- Use of Smartphones by demographic
  - Asians 67%, Hispanics 57%, African Americans 54%, Caucasians 45%
  - Women/Men = ~ 50%(Nielsen May 2012)
- How are Smartphones used?
  - 70% to text, 41% to use browsers, 41% to download apps
  - Fastest trend is increase in social networking
  - Fastest growing segment is comprised of ages 55+
  - 1 in 3 people use a Smartphone to download health info
    - More common for those who are sicker, caregivers or have had a change in health(Health Populi 2013)

# How can technology help?

---

- Before use
  - Further labeling information/detail
  - Self-diagnosis/recognition: the condition (indications) including diagnostic information
  - Self-selection: the indications AND contraindications AND conditional warnings
- During use
  - Self-management
    - Complying with dosing
    - Complying with warnings
  - On-going self-management
    - Motivation to continue
    - Interaction with physician or HCP

# Pooled Results: 3 Studies Evaluating Technology-Assisted Decision-Making

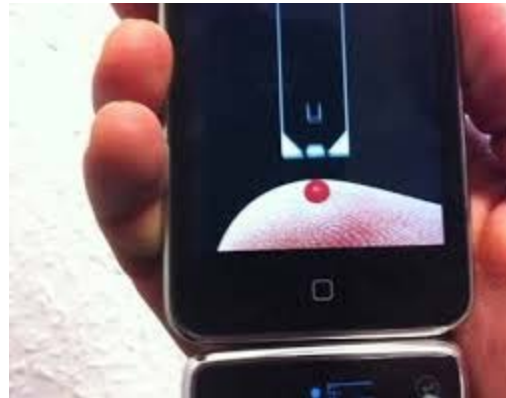
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- 3 small pilot studies = 281 subjects
- 3 different types of technology
- *"It was simple and easy to use."*
  - 94% - 100% (all literacy levels)
- *"I understood how to use it."*
  - 86-92% (all literacy levels)
- *"It helped me make decisions about this product."*
  - 86% - 100% correct decisions (with technology when data is known)
  - 62%-73% correct decisions (with technology when data is not known)
  - Generally 20%-40% increases in correct decision-making compared to no tool
- Time to make decision
  - ~80-132 seconds

# Access = Flexibility

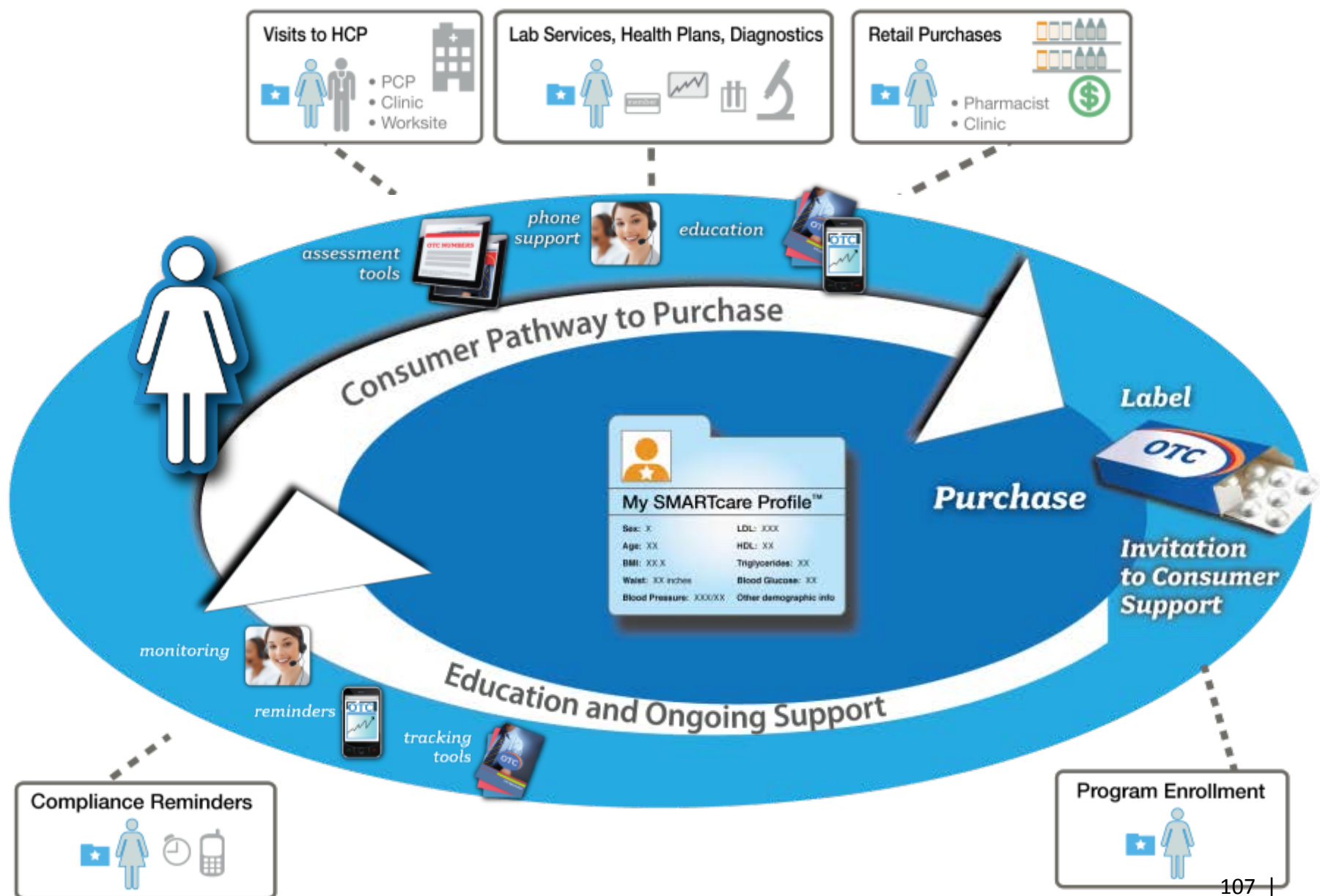


# Remote Diagnostic tools are in use now

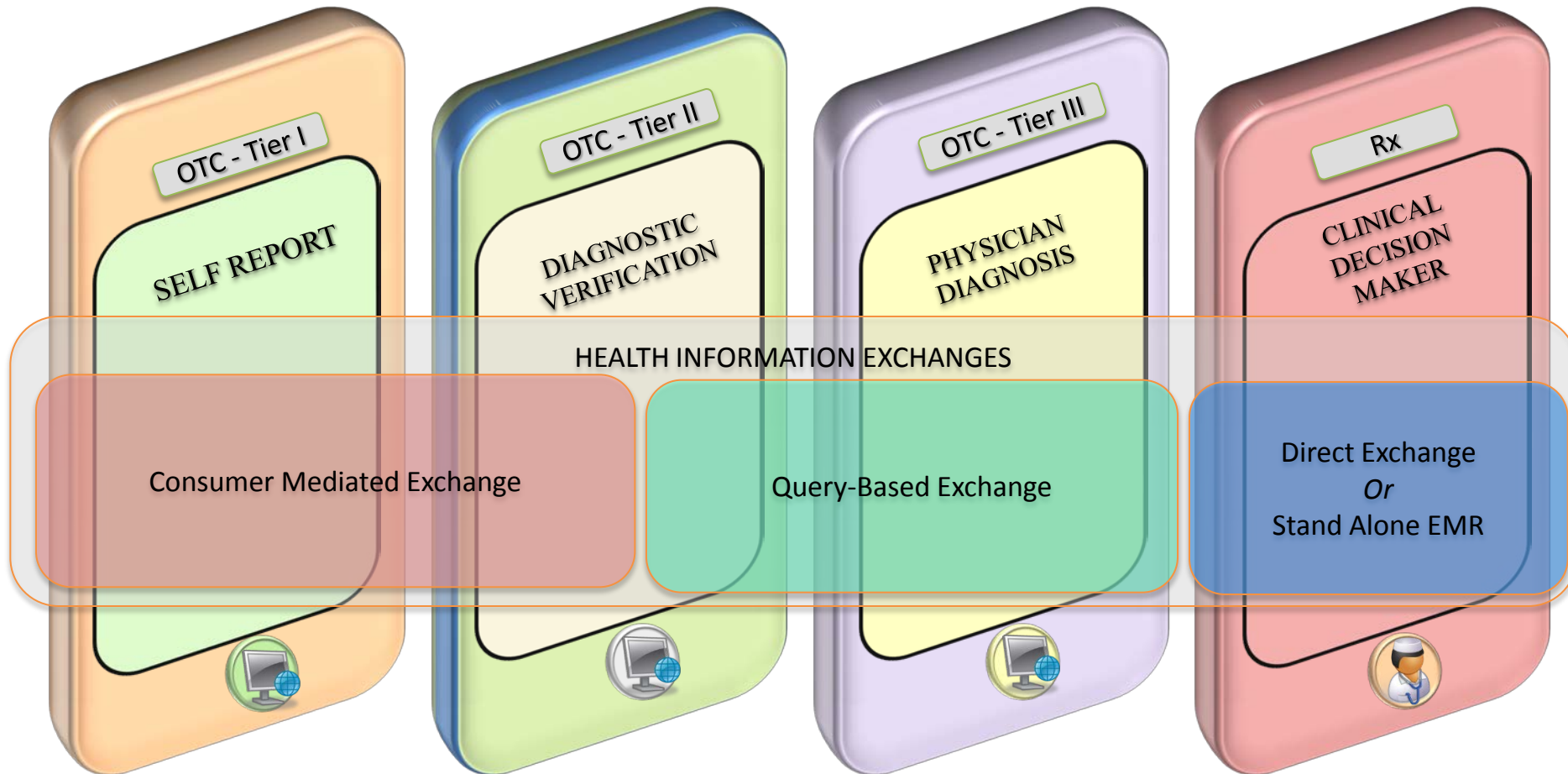




# Example: Product-specific Decision-Support and Management System



# Example: Cross-product Continuum of Information Exchange





# Example: Consumer-driven Health Information Exchange



# Summary

---

- The technology exists today and there are many options
  - To obtain information for decision-making and self-care
  - To exchange information
  - To track progress
  - To interact with HCPs
- Access = flexibility
- Consumers expect a technology-facilitated world which includes healthcare
  - Technology can be used by consumers of varying demographics and literacy
  - Technology can facilitate correct decision-making and long-term compliance

# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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**Engelberg Center for Health Care Reform  
The Brookings Institution • Washington, DC  
May 9, 2013**

# **NSURE: Perspectives on the Role of Technologies as a Condition of Safe Use**

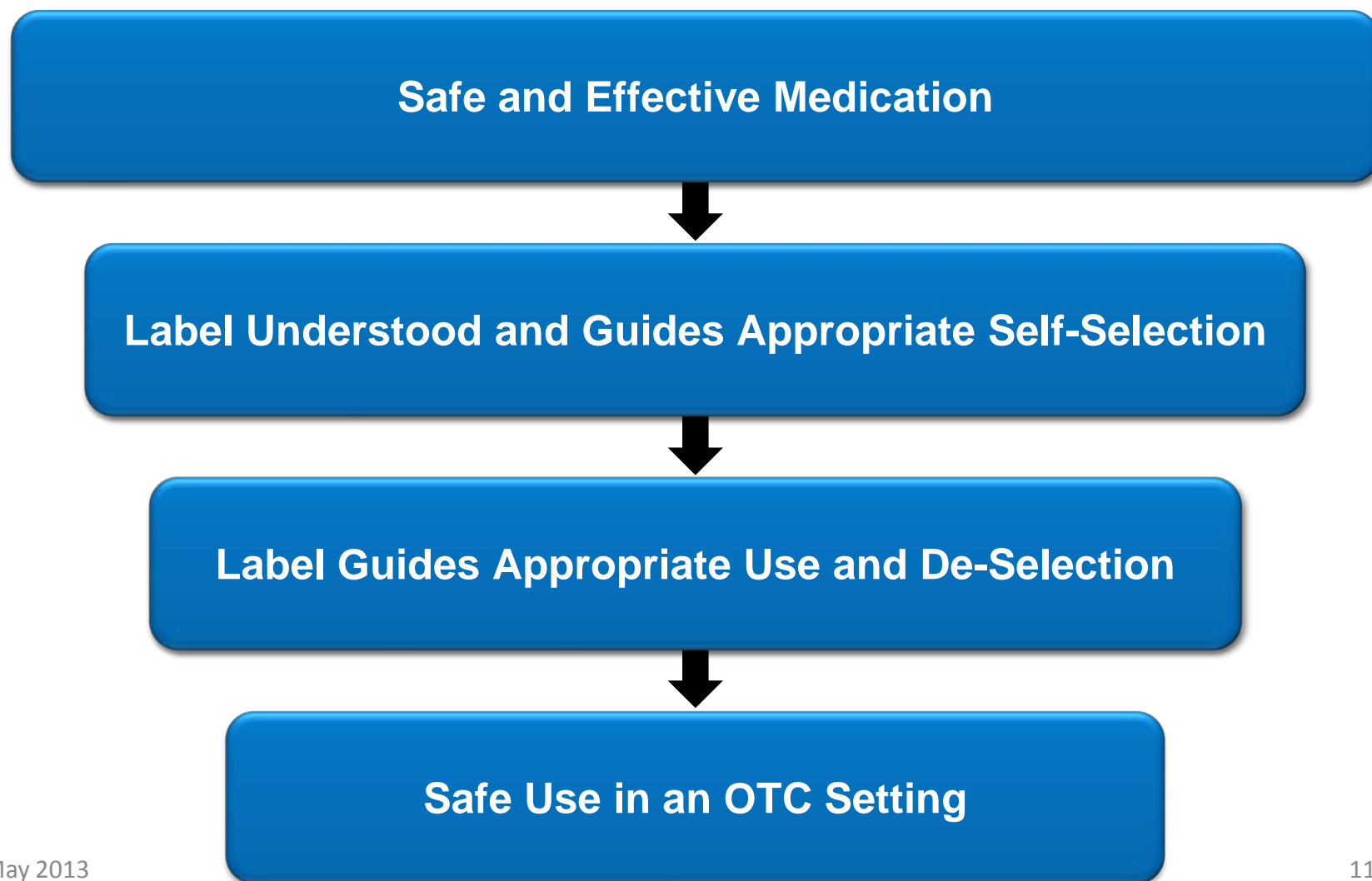
Edwin Hemwall, PhD  
**Merck Consumer Care**

The Brookings Institution  
Washington, DC  
**9 May 2013**

# **Improving Access to Medicines Through Technology Aided Nonprescription Labeling**

- Layered approach to OTC labeling safeguards
- Consumer preferences and attitudes
- Product development and testing options
- Points to consider

# Self-Management Labeling Safeguards

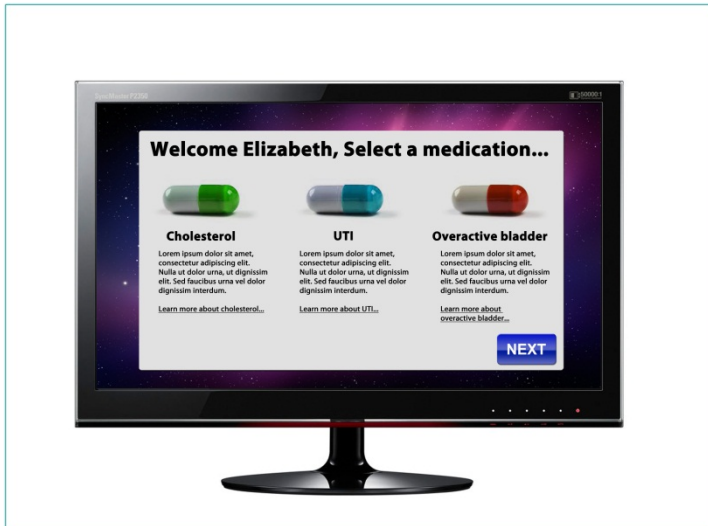


# NSURE: Product Development Options

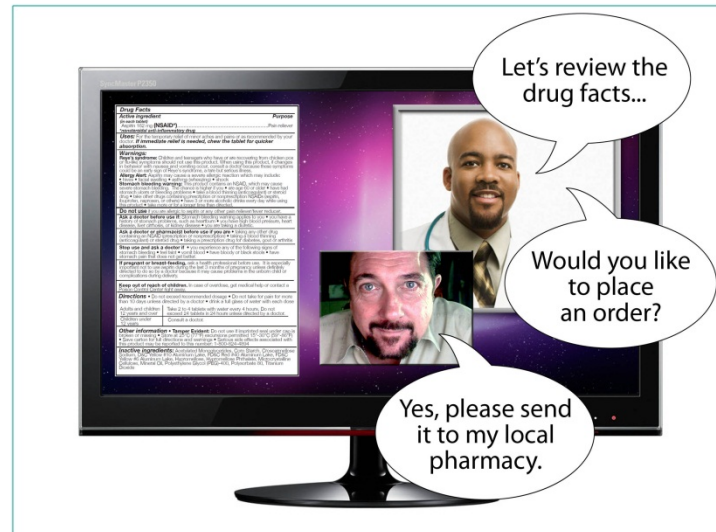
## *Where can technology help?*

- Label understanding beyond Drug Facts alone
- Consumer Self-Selection or qualification
  - Recognition of medical condition and potential to benefit
  - Eligibility to use
  - Diagnostic test
- Driving correct ongoing use
  - Emergent worsening of symptoms or a new condition
  - Monitoring response/effect
  - Recognition of adverse reactions
  - Re-establishing eligibility for continued use

# NSURE: Qualification Options



Web



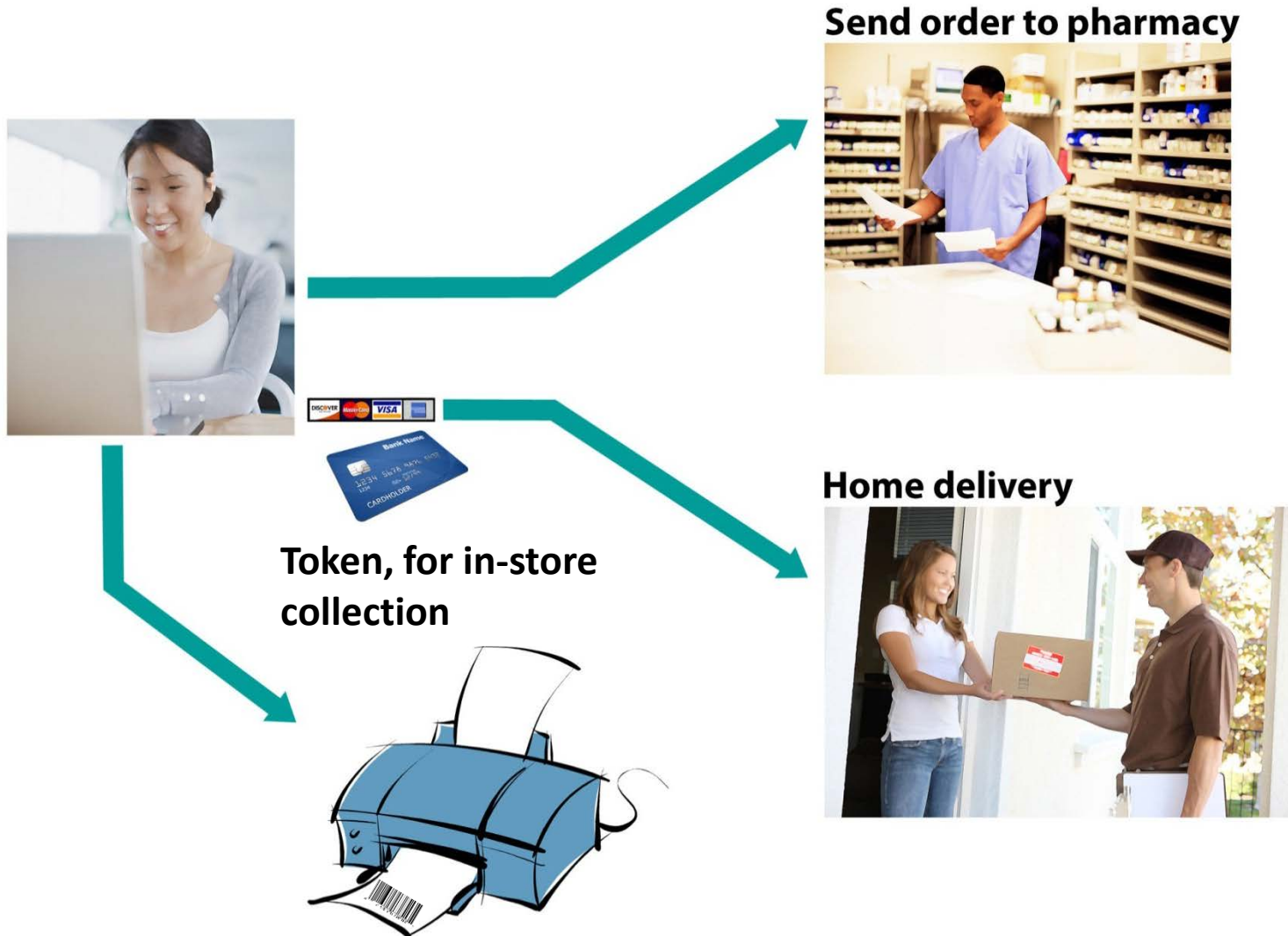
Livechat or videochat



800 number



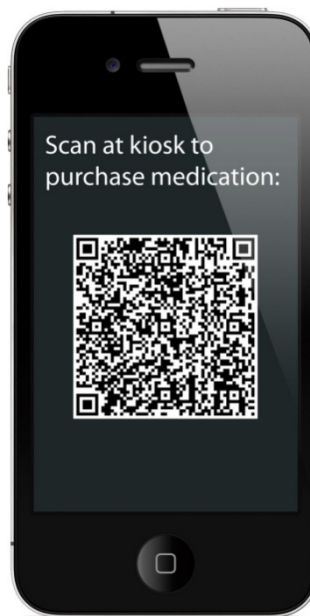
# NSURE: Delivery Options



# NSURE: Identification Options



Text code or  
MMS barcode  
to cellphone



Text code or  
MMS barcode  
to iPhone



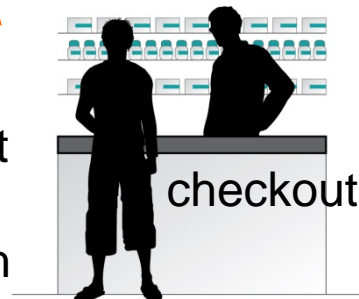
Print at home

# NSURE: Purchase Options



Speedbuy:  
scan at entrance  
collect at checkout

Auto-payment with  
iPhone

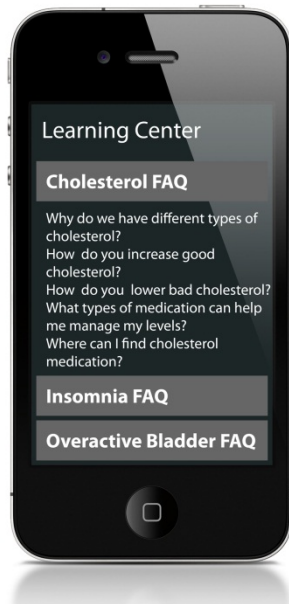


Take token to  
pharmacist or  
checkout

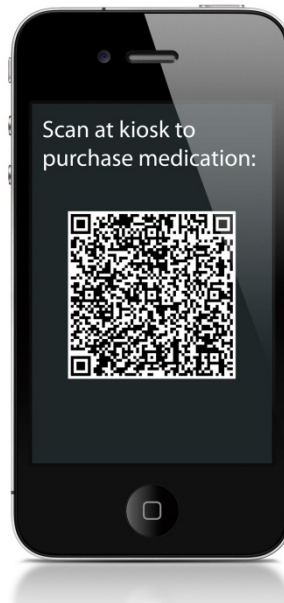


Scan token at  
vending machine

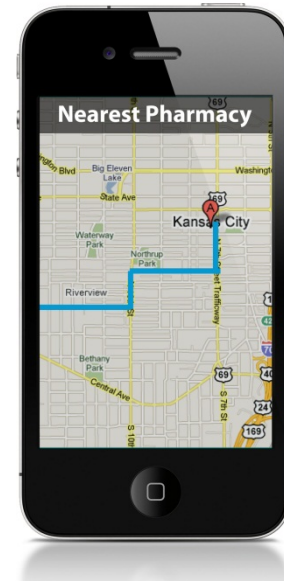
# Additional services



Health advice  
Medical records  
Compliance reminders






































auto-payment



navigation

# Key Findings From Consumers

	Registration	Qualification	Drug Facts	Order Med.	Pick Up Med.	Follow Up	Other Info
Website							
Telephone							
Web Chat							
Smart Phone							
Kiosk-Vending							

# NSURE: Product Development

*How can we prove that the system works as intended?*

- User testing and software validation
- Test consumer behavior employing NSURE system prototypes with standard approaches
  - Label Comp/Self-Selection/Actual Use
  - Simulate or use real home and retail settings
- Multiple studies with iterative process

# NSURE: Points to Consider

- Technology continues to evolve but we have the basic tools now
- Potential to send more engaged patients to physicians and other HCPs
- Pharmacists need not be gatekeeper
  - Reduces concerns about time, cost, training, liability
  - Continue to perform valuable advisory role
- Sponsors need incentive to invest
  - Hatch-Waxman exclusivity hinges upon conduct of clinical study necessary for NDA approval

# **NSURE: Perspectives on the Role of Technologies as a Condition of Safe Use**

## **Questions/Discussion**

The Brookings Institution  
Washington, DC  
**9 May 2013**



# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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**Engelberg Center for Health Care Reform  
The Brookings Institution • Washington, DC  
May 9, 2013**

# EVALUATION OF NEW TECHNOLOGIES TO ENHANCE ACCESS BY CONSUMERS TO NONPRESCRIPTION DRUGS

ERIC P. BRASS M.D., PH.D.

PROFESSOR OF MEDICINE, DAVID GEFFEN  
SCHOOL OF MEDICINE AT UCLA

DIRECTOR, HARBOR-UCLA CENTER FOR  
CLINICAL PHARMACOLOGY



# CONTEXT FOR THE EVALUATION OF NEW TECHNOLOGIES

- Expansion of appropriate access to nonprescription drugs good for individual consumer, good for public health, good for public policy
- Technology a potential asset in expanding access
  - Self-diagnosis
  - Self-selection
  - Longitudinal self-management
- What is the model: Self-care vs adjunctive to physician-directed care

# EVALUATION OF NEW TECHNOLOGIES FOR INCREASING NONPRESCRIPTION ACCESS

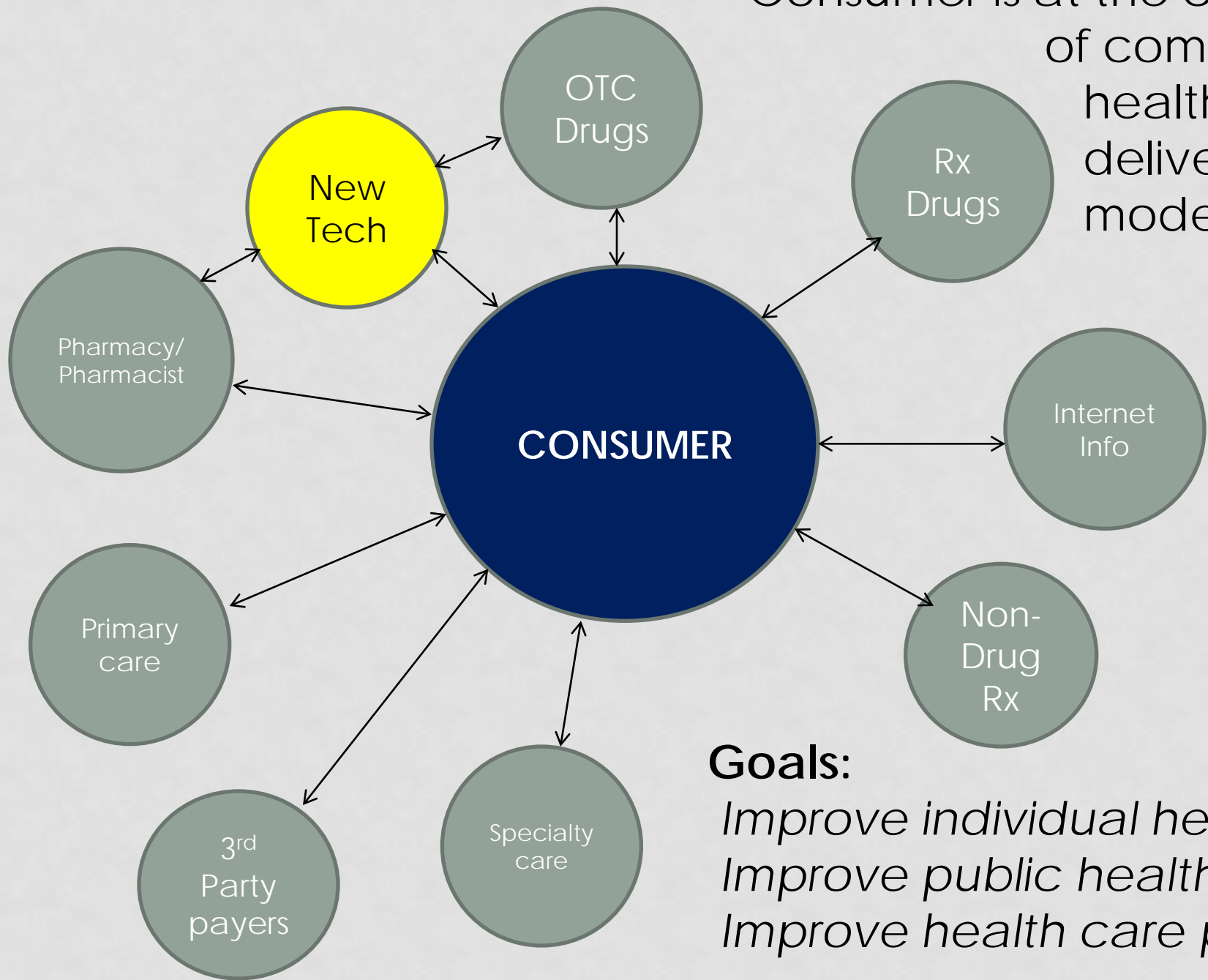
**However, technology not an automatic net benefit**

- Potential barrier vs traditional OTC
  - Experience with nicotine replacement BTC vs OTC
  - Data driven assessment of justification for barrier
    - Incremental risk reduction or incremental benefit of technology vs decrease access associated with its use
    - Likely require new practices and approaches based on existing consumer research methodologies
- Understand fault modes and their clinical consequences
  - Technological
  - Behavioral
  - Research methodologies – existing, including human factors testing, plus extended field experience

# EVALUATION OF NEW TECHNOLOGIES FOR INCREASING NONPRESCRIPTION ACCESS

- Unintended consequences
  - Cost shifts
- Ability to use by “typical” US consumer a design constraint?
  - Segments of population represent a challenge to wide technology implementation: low literacy, elderly, disabled
  - OK if technology designed recognizing that only some consumers will benefit if no adverse impact on others?

Consumer is at the center  
of complex  
healthcare  
delivery  
model



**Goals:**

*Improve individual health*  
*Improve public health*  
*Improve health care policy*

# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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**Engelberg Center for Health Care Reform  
The Brookings Institution • Washington, DC  
May 9, 2013**



Expansion of Nonprescription Medications:  
Technology as an Extension of Current Practices  
May 9, 2013

Mark Gelbert, PhD, JD, Senior Vice President  
Global R&D and Switch, Pfizer Consumer Healthcare

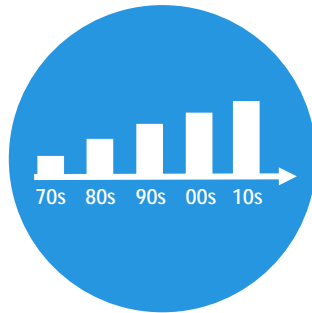


## Overview: Expansion of Consumer Healthcare

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Non-prescription medicines provide public and individual health benefits



Expansion of OTC medicines has been progressing for decades



Technology plays an important role in guiding consumers



Today's projected application of technology does not require new regulation

# Consumer Ability to Self-Diagnose, Appropriately Select and Use Safely Will Drive Expansion of Non-Prescription Medicines

Well-established, proven  
methodologies

Label comprehension

Self-selection

Actual use

# Today's Consumers Are Well-Informed, More Engaged & Actively Manage Health On Their Terms

**80%**

**Of internet users search for health information online<sup>2</sup>**

**69%**

**Seek 3-4 different sources before making important health decisions<sup>1</sup>**

**55%**

**Turn to a virtual experience rather than visit a doctor<sup>1</sup>**

**50%**

**Of smartphone users use them to get health information<sup>3</sup>**



**DIAGNOSIS**



**TREATMENT SELECTION**



**SAFE USE**

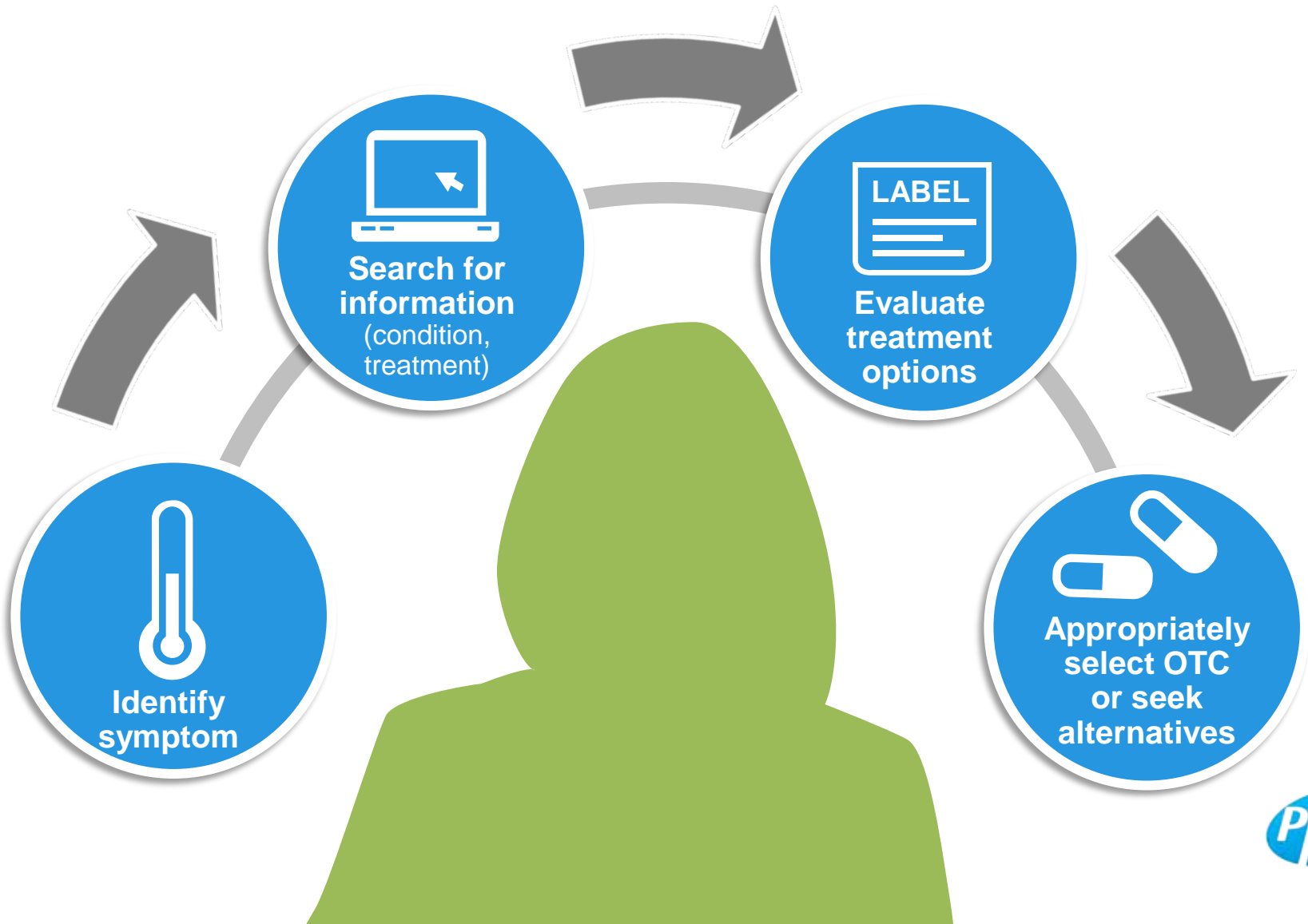


<sup>1</sup>Yankelovich Health & Wellness Segmentation Study 2009

<sup>2</sup>Pew Internet Project as referenced by Henley Centre HeadlightVision and Yankelovich. "The Futures Company "2011/12 Health and Wellness MONITOR: How to Sell 'Health.'" 2011

<sup>3</sup>Pew Research Center. Mobile Health 2012.

## Consumer Journey – Symptom to Treatment



## Technology Plays an Important Role In The Self-Care Journey

*Currently Available Tools Fall Within the Existing Regulatory Framework*

---

Expanding OTC treatable conditions benefits consumers, society and the healthcare system

Consumer knowledge and behavior should always drive OTC approval

Use of technology is an important part of the self-care journey

No new regulatory framework is needed





# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

Doris Peter, PhD  
Associate Director  
Consumer Reports Health Ratings Center  
[dpeter@consumer.org](mailto:dpeter@consumer.org)

May 9, 2013



# Consumers want to know about their treatment options

Almost all consumers (91%) want to know about their treatment options (and not just the one recommended by a provider).

## *Questions:*

How will multiple treatment options be presented?

How will non-drug treatment options be presented?

Communicating with Patients on Health Care Evidence, 2012, <http://iom.edu/~media/Files/Perspectives-Files/2012/Discussion-Papers/VSRT-Evidence.pdf>

# Consumers want to know about risks

- Virtually all consumers (96%) want to know about the risks associated with each treatment option.
- For patients receiving prescriptions for a new drug, 83% had a discussion about the possible side effects with the doctor.

## ***Questions:***

How will the risks and benefits of each treatment option be represented?

How will the tools and technologies be tested for their safety and effectiveness for important clinically-relevant and patient-oriented outcomes?

Communicating with Patients on Health Care Evidence, 2012, <http://iom.edu/~media/Files/Perspectives-Files/2012/Discussion-Papers/VSRT-Evidence.pdf>

Consumer Reports Prescription Drug Tracking Poll, 2012. Available upon request.

# Consumers want to know about costs

- Consumers spend an average of \$750 out of pocket per year for drugs they take regularly.
- In the past year, half of consumers (50%) economized on healthcare by cutting corners on a doctor visit, on a medical procedure or test, or on a medicine, or, by seeking out discounts.
- More than half of consumers who spent in excess of \$50 per month on medicine asked their doctor about switching drugs in order to save money.
- Recent research by Consumer Reports has found wide variation in the cost (difference of \$750 between the lowest-cost retailer and the highest) of five prescription drugs that had recently become available in generic form.
- Almost all consumers (90%) want (their provider to help them) to understand the costs of each treatment option.

**Questions:** How will comparative costs of treatment options be presented? How will a consumer shop around for the best price?

Consumer Reports Prescription Drug Tracking Poll, 2012. Available upon request.

<http://www.consumerreports.org/cro/magazine/2013/05/same-generic-drug-many-prices/index.htm#>

Communicating with Patients on Health Care Evidence, 2012.

<http://iom.edu/~media/Files/Perspectives-Files/2012/Discussion-Papers/VSRT-Evidence.pdf>

# Consumers do not want ineffective care

- Consumers rank medical evidence higher (71% deem it as “very important”) than provider opinion (61%) and personal preferences (57%) in importance in determining treatment choice.
- Issues with current OTC meds:
  - Overuse/prolonged use: proton pump inhibitors
  - Potential misdiagnosis: overactive bladder
  - Risks outweigh benefits: orlistat (Alli/Xenical)

**Question:** Will the self-care model exacerbate the propagation of ineffective and/or overused treatments? As exemplified by the American Board of Medicine’s Choosing Wisely project, most professional medical societies recognize the need to bring attention to procedures, tests and drugs that are ineffective and/or overused.

Communicating with Patients on Health Care Evidence, 2012; <http://iom.edu/~media/Files/Perspectives-Files/2012/Discussion-Papers/VSRT-Evidence.pdf>  
<http://www.consumerreports.org/cro/2012/08/how-to-deal-with-heartburn/index.htm>  
<http://news.consumerreports.org/health/2013/01/should-you-use-botox-or-oxytrol-patch-otc-for-bladder-problems-we-say-maybe-not.html>  
<http://www.consumerreports.org/cro/2012/04/weight-loss-drugs-alli-and-xenical-orlistat/index.htm>  
<http://www.choosingwisely.org/>

# Consumers are concerned with the influence of industry

- Two-thirds of consumers we surveyed (65%) *agreed completely* or *somewhat* that pharmaceutical companies have too much influence on the drugs that doctors prescribe.
- Nine in 10 (89%) consumers expressed concern with the practices commonly used by pharmaceutical companies in dealing with physicians.

## ***Questions:***

How will the conflicts of interest of the technology owners/investors be disclosed and managed?

Who will determine what the diagnostic criteria are and what the appropriate treatments are?

Consumer Reports Prescription Drug Tracking Poll, 2012. Available upon request.

# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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May 9, 2013**

# Integrating Technologies as a Condition of Safe Use into Nonprescription Health Care Delivery Systems

**James A. Owen, BS Pharm, PharmD,  
BCPS**

**Associate Vice President, Practice &  
Science Affairs**

**American Pharmacists Association  
(APhA)**



**American Pharmacists Association<sup>®</sup>**  
Improving medication use. Advancing patient care.

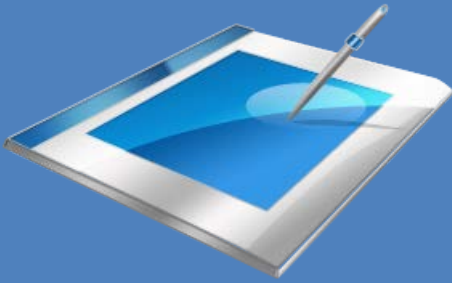
# APhA Perspective

- APhA supports the Food and Drug Administration's (FDA's) efforts to revise the drug classification paradigms for prescription and nonprescription medications to allow **greater access to certain medications under conditions of safe use** while maintaining patients' relationships with their pharmacists and other health care providers.
- APhA sees the new paradigm as an opportunity to:
  - **Expand patient access** and improve public health
  - **Bring back and refer patients** into the health care system
  - **Communicate and collaborate** with medicine

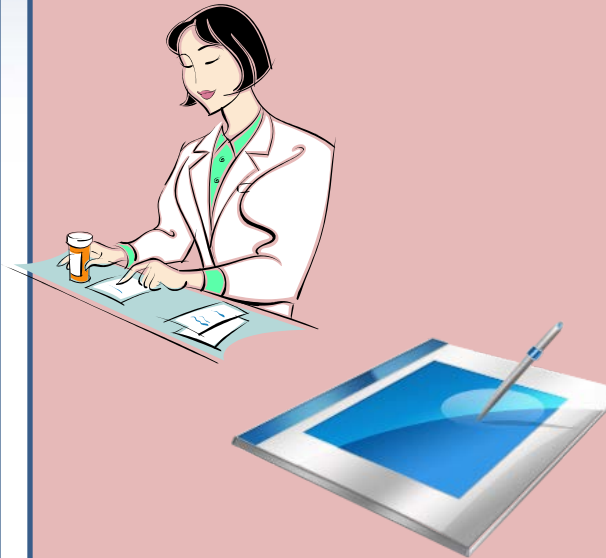


# Types of Solutions for NSURE

*(Nonprescription Safe Use Regulatory Expansion)*



**Innovative  
Technology**



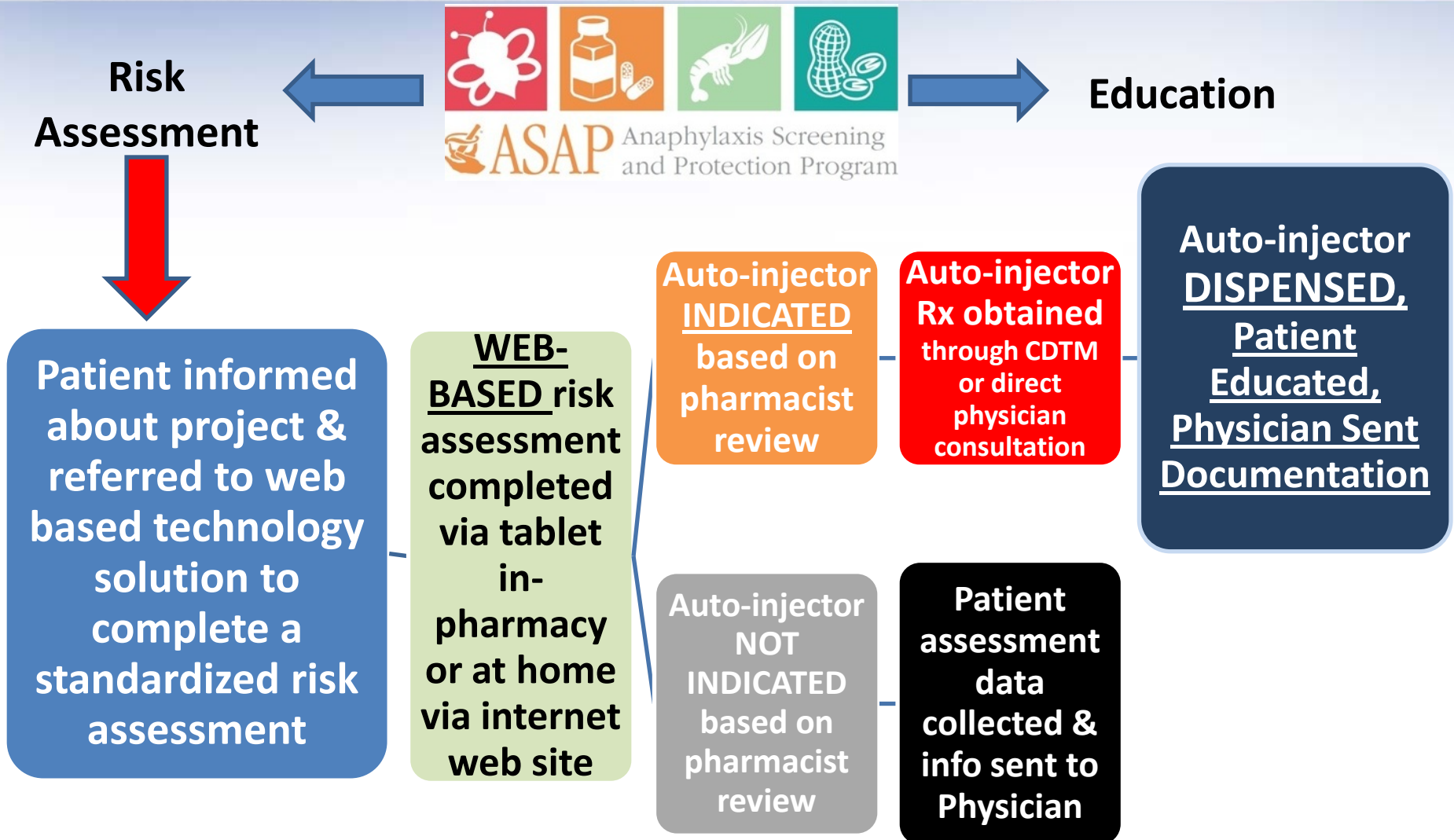
**Innovative  
Technology  
&  
Pharmacist**



**Pharmacist**

# Example “NSURE-Like” Example Pilot Program – Epinephrine Auto Injectors

(APhA Anaphylaxis Screening & Prevention Program - ASAP)



# Lessons Learned: “NSURE-Like” Epinephrine Auto Injectors Pilot

(APhA Anaphylaxis Screening & Prevention Program - ASAP)

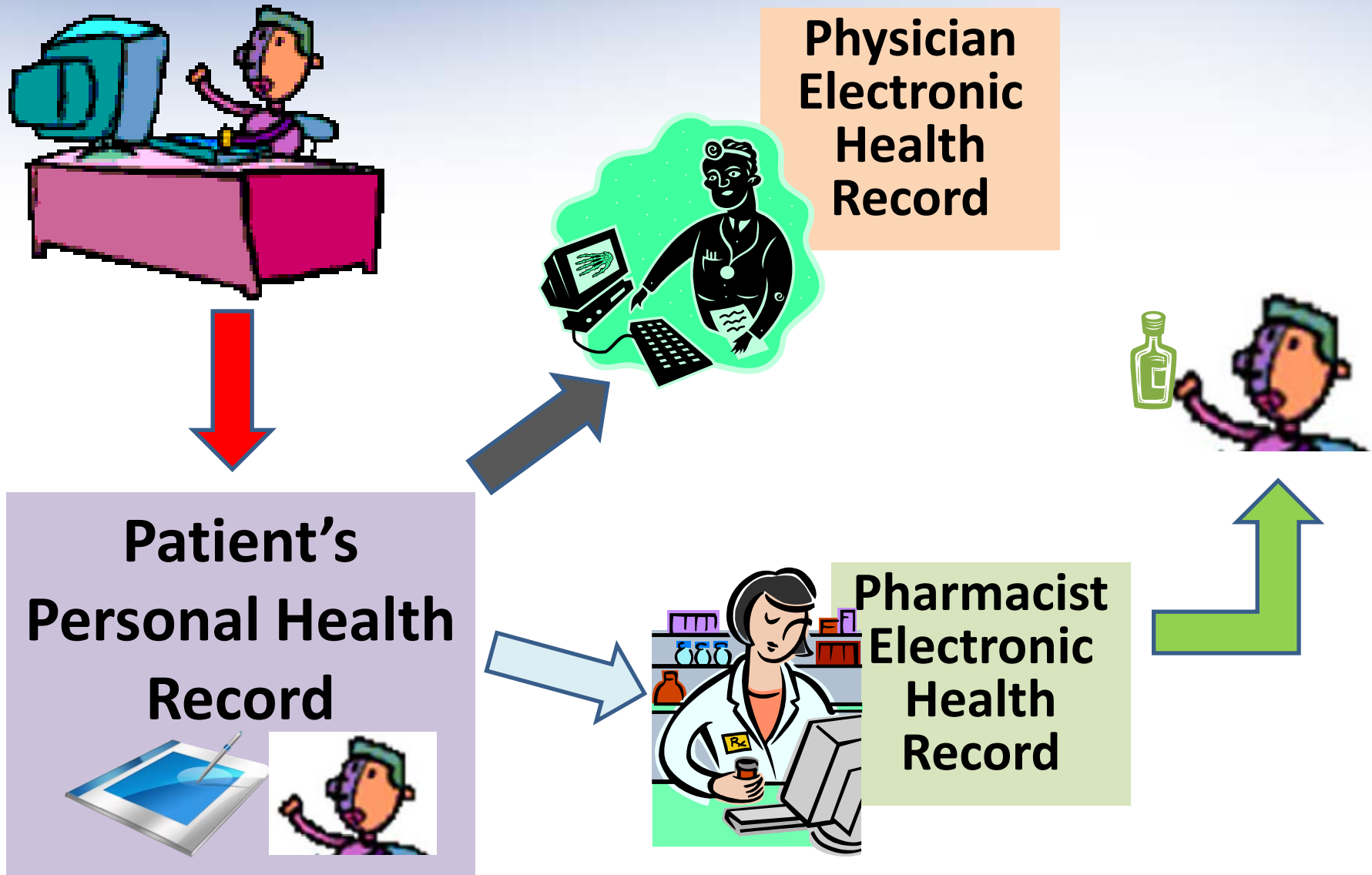
- **Certain aspects of the potential new paradigm being tested in pilot are limited due to technology limitations**
- **Technology Limitations:**
  - No effective current interoperability of systems – web-based technology to pharmacy systems or EHR/PHR
  - Exchanging information currently requires receiving secure faxes and pharmacy faxing info to physicians or calling physicians
  - Systems are disjointed – rely on different technologies not based on standards – no easy way to share information between providers
  - Lack of capabilities to perform automated information exchange
  - Automated triggers can help to identify patients at risk and increase access to needed therapy – systems to do this are not readily available in marketplace
  - Use of EHR systems to enable connections to Health Information Exchanges is not mainstream in pharmacy practice at present

# **Lessons Learned: “NSURE-Like” Epinephrine Auto Injectors Pilot**

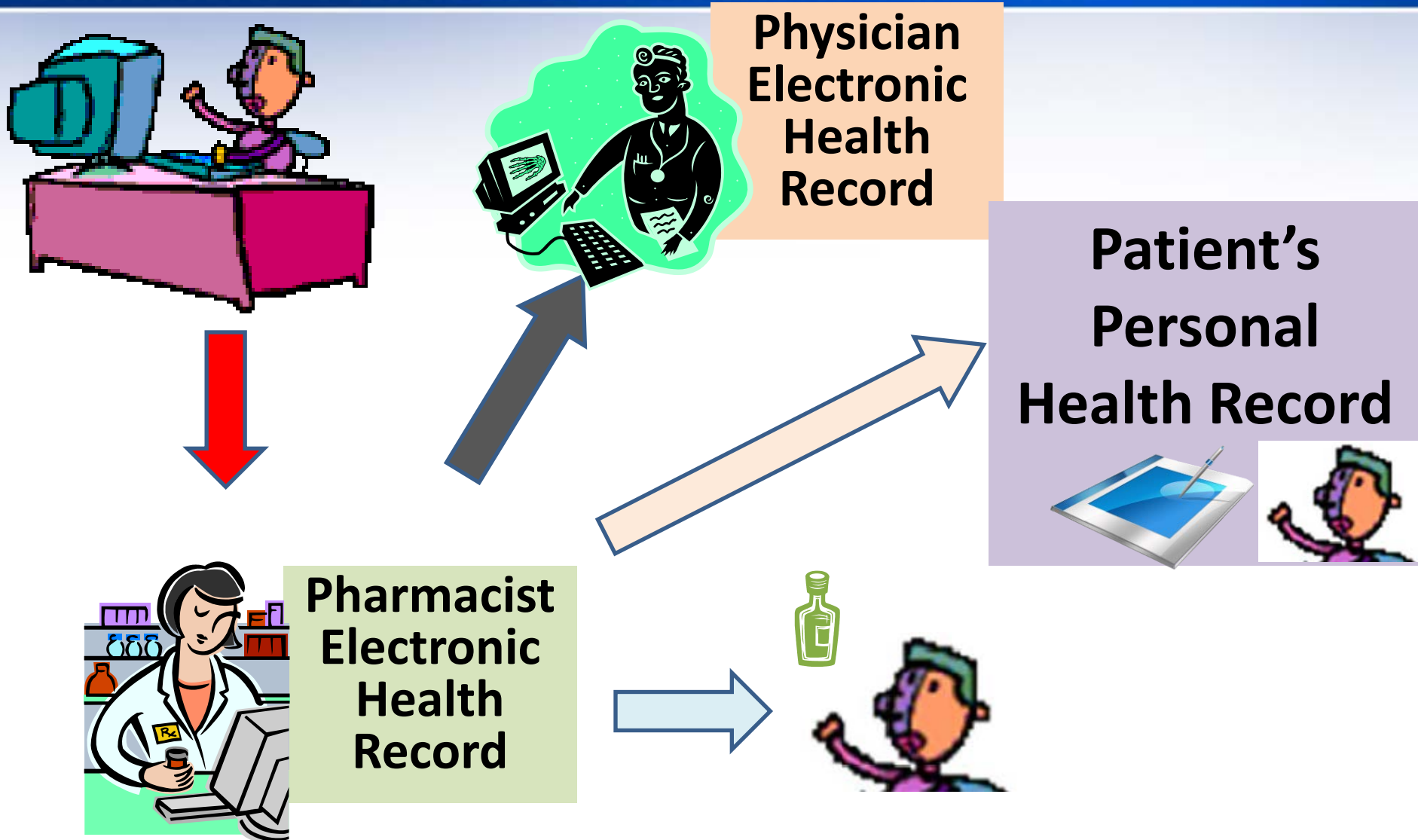
**(APhA Anaphylaxis Screening & Prevention Program -ASAP)**

- **What can be achieved through the use of technology combined with the pharmacist based on the ASAP pilot program?**
  - Increased access for patients to needed therapy
  - Reduced burden to both the patient and the pharmacist
  - Increased consistency of assessment of patients
  - Increased efficiency
  - Increased simplicity using a web based solution via tablet or home computer (kiosks or other types of technology specific to individual products are not required to implement a technology solution)

# Future Technology Workflow Scenario Option to Facilitate NSURE

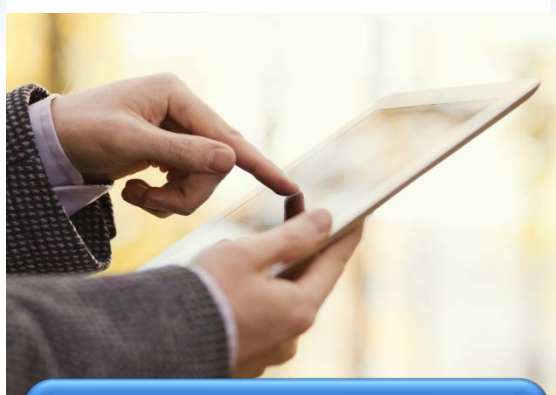


# Future Technology Workflow Scenario Option to Facilitate NSURE





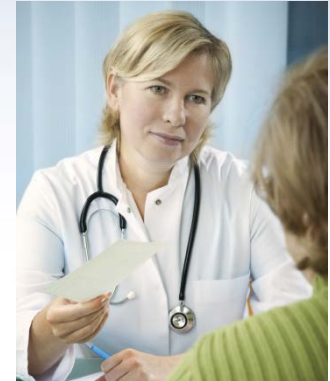
# Optimization of Technology to Support NSURE Using Standards



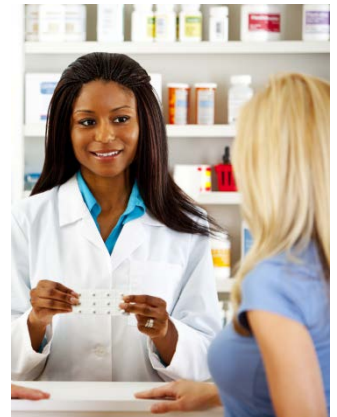
Patient interfaces with  
**Innovative Technology  
Solutions**

INFORMATION

PHR



**PHYSICIAN  
EHR**



**PHARMACIST  
EHR**

# Key Provisions for Technology Solutions, Pharmacists & NSURE

- Uniform and standardized processes must be implemented to ensure consistent care for patients
- Integration into workflow is critical for efficiency and effectiveness
- Processes must be standardized and be based upon on consensus-driven, best-practice algorithms developed through evidence-based medicine to provide the best care for patients
- Technology solutions must support efficient and effective documentation, communication and exchange of information using standards based health information technology infrastructure and systems
- Billing mechanisms must be standardized and in place for a viable business model to be effectively implemented



# Contact Information

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# **Innovative Technologies and Nonprescription Medications: Addressing Undertreated Diseases and Conditions through Technology Enabled Self-Care**

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# Session III: Integrating Technologies as a Condition of Safe Use into Nonprescription Health Care Delivery Systems

Shelly Spiro

Executive Director, Pharmacy e-HIT Collaborative

# Collaborative Overview



## Founding Organizations

- 9 Professional Pharmacy Associations
- Represents over 250K members in all practice settings

## Members

- AACP-ACCP-ACPE-AMCP-APhA-ASCP-ASHP-NASPA-NCPA

## Associate Members

- Surescripts – NCPDP – RelayHealth – Mirixa – ScriptPro – IQWare- OutcomesMTM

# Vision- Mission



## Vision

- The US healthcare system is supported by meaningful use of Health Information Technology (HIT) and the integration of pharmacists for the provision of quality patient care

## Mission

- To advocate and educate key stakeholders regarding the meaningful use of health information technology and the inclusion of pharmacists within a technology-enabled integrated health care system

# Goals



## Access

- Ensure HIT supports pharmacists in health care service delivery

## Connectivity

- Achieve integration of pharmacists and pharmacies into health information exchanges

## Quality

- Advocate pharmacist recognition in HIT programs and policies

# Pharmacy e-HIT



Pharmacy e-Health Information  
Technology Collaborative

Member Login

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Objectives

Membership

News Releases ▾

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Collaborative Outreach

## Contact Us

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Alexandria, VA 22314  
ph: 571-312-2904  
fax: 571-312-2904  
alt: 703-599-5051  
[shelly@pharmacyhit.org](mailto:shelly@pharmacyhit.org)

[Contact Form](#)

## Member Organizations

- ▶ Academy of Managed Care Pharmacy
- ▶ Accreditation Council for Pharmaceutical Education
- ▶ American Association of Colleges of Pharmacy



Webinar - The Roadmap for Pharmacy  
Health Information Technology Integration  
in U.S. Health Care

• [View the slides](#)



Pharmacy HIT  
**PharmacyHIT**

[shellyspro](#) Fitch Ratings: EHR  
Incentive Pay Boosted  
Hospitals' Bottom Lines  
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on Plan To Create Registry of  
Patient Registries  
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# The Roadmap for Pharmacy Health Information Technology Integration in U.S. Health Care





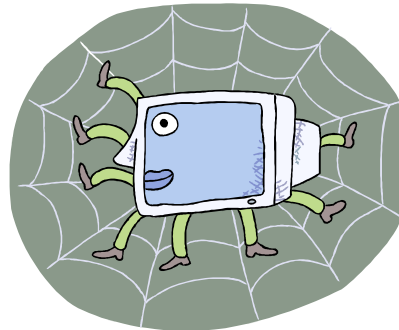
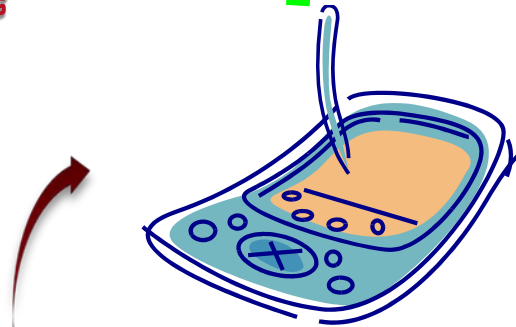
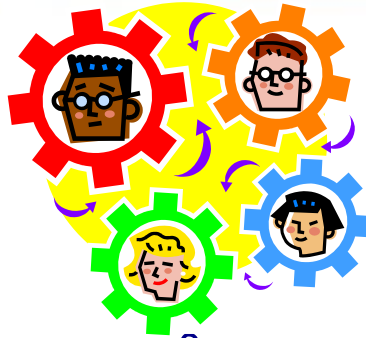
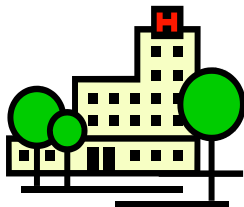
# Medication Information Flow



MU -EHR  
Physician Office



Hospitals  
Clinics - LTPAC



Pharmacy

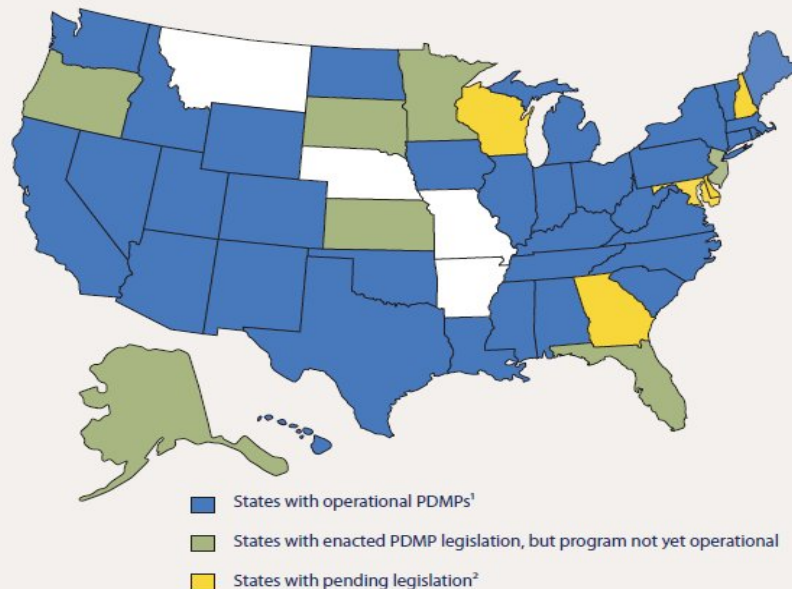


# PDMP and HIE



## PDMP and Medication History

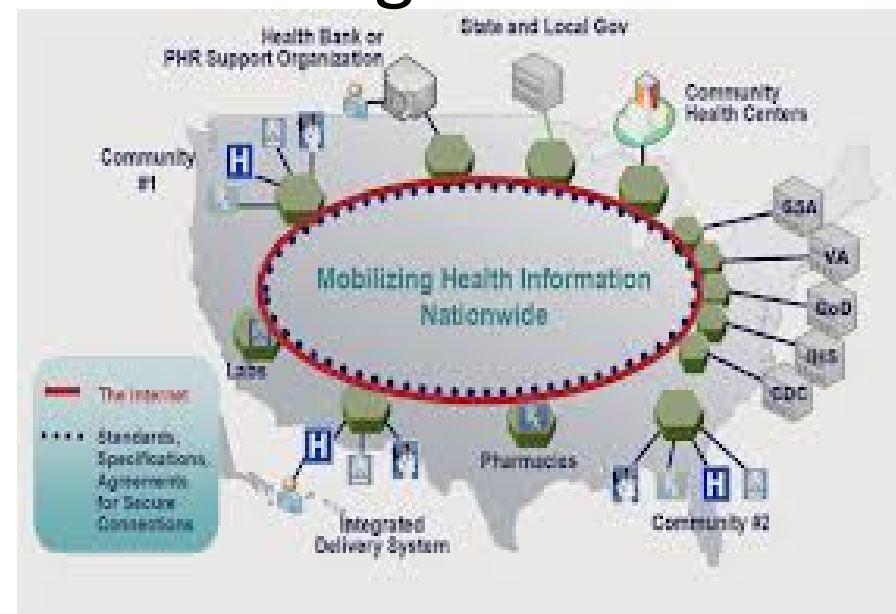
**Figure 1: Status of State Prescription Drug Monitoring Programs**



Source:

<http://knowledgecenter.csg.org/drupal/system/files/PDMPmap.jpg>

## Health Information Exchanges



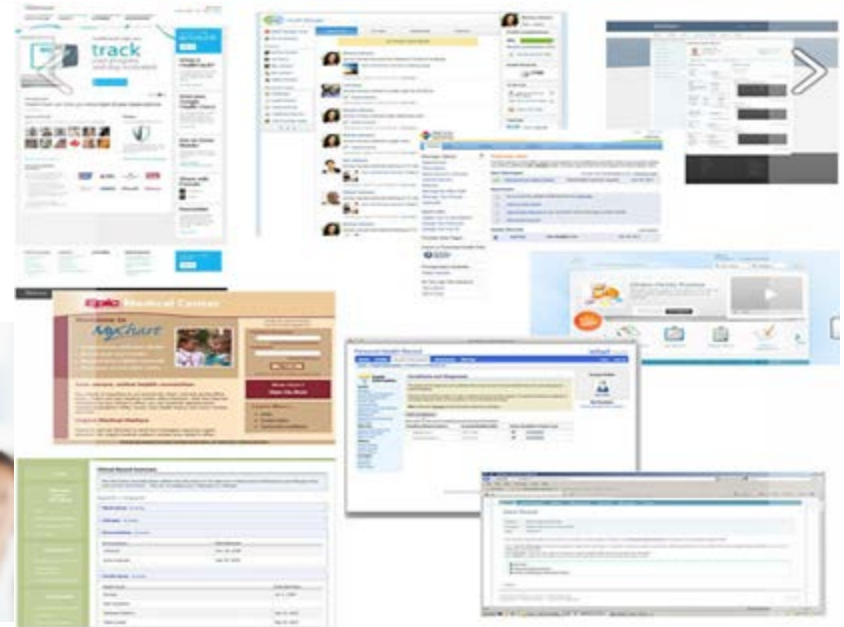
Source:

<http://www.google.com/url?sa=i&source=images&cd=&cad=rja&docid=luPQmSHciitYjM&tbnid=AJVvyBiPUk05nM:&ved=OCACqjB0wAA&url=http%3A%2F%2Fwiki.siframework.org%2FRelated%2BEfforts&ei=t9iDUdjUMK7A4AOd0YDYCg&psig=AFQjCNFwoCEPHAU1TZw1w5AM56kAaSlNq&ust=1367681591849214>

# Patient Engagement



- ePHR
- Blue Button



## 9 Popular Personal Health Record Tools

Source:

<http://www.informationweek.com/healthcare/patient/9-popular-personal-health-record-tools/232900297>

# Making Data Useful



# What's Different



- SDO's (NCPDP-HL7)
- Portals or HIEs
- Collect – Document - Exchange
- Continuity of care document (CCD) using cCDA
  - Allergies
  - Medication list
  - Immunization
  - Family history
  - Social history (e.g. smoking)
  - Functional status
- Care Coordination (CMR and AWW)



# Access-Connectivity-Quality



**Pharmacists' clinical services are a critical component of our nation's health care system and can effectively contribute to the meaningful use of EHR.**



# Contact



Slides Prepared by :

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Collaborative

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[www.pharmacyhit.org](http://www.pharmacyhit.org)

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