# THE BROOKINGS INSTITUTION

#### DISASTERS AND DISPLACEMENT: EXPLORING THE CONNECTIONS

Washington, D.C.

Thursday, October 25, 2012

### PARTICIPANTS:

#### **Moderator:**

MATTHIAS SCHMALE Under Secretary General, Programme Services International Federation of Red Cross and Red Crescent Societies

#### Panelists:

ELIZABETH FERRIS,

Senior Fellow and Co-Director, Brookings-LSE Project on Internal Displacement The Brookings Institution

CARRIE SANTOS,

Senior Director, International Policy and External Affairs American Red Cross

ROGER ZETTER,

Professor Emeritus in Refugee Studies, Refugee Studies Centre University of Oxford

\* \* \* \* \*

2

## PROCEEDINGS

MR. SCHMALE: Well I think it's time to start ladies and gentlemen, so let me warmly welcome you to this event here this morning at Brookings to launch in the United States our World Disasters Report 2012.

My name is Matthias Schmale. I am an Under Secretary General working for the International Federation of Red Cross and Red Crescent Societies based in Geneva. It's a particular honor to be here today because this is the 20th time that we are publishing this report. And what is important for us in doing so on an annual basis is that while we as Federational Red Cross Red Crescent publish it, it's an independent report.

We commission independent editors and the editor of this year's report, Roger Zetter whom I'll introduce in a minute, is with us. And the chapters are written up by researchers, experts in the subject matter. And it represents their opinions based on their research and knowledge. It's not a propaganda piece for the Red Cross and we think that over the 20 years of publishing it, that has led to its credibility.

It traditionally has two bits in it. The first one is to focus on a theme and this year's is on forced migration and displacement. And I'll say a word or two on why we chose this theme in a minute. It also has a section of statistics, trying to outline what the developments are in terms of disasters and maybe allow me a word or two about what this year's report says in that respect really two key messages.

We are seeing fewer, or we saw in 2011 fewer disasters, natural and technological disasters. In fact, in terms of natural disasters it was the lowest in a decade we saw. But they're getting more costly to deal with both in terms of the damage they cause if we think if the triple disaster in Japan but also in terms of the response it takes. Logistics is getting more complex, more expensive.

So, there are messages in terms of disasters. That's why it's called the

World Disasters Report. But then the main substance obviously is all round the theme.

And the reason we chose forced migration and displacement include that this has been a

topic on the humanitarian and the Red Cross Red Crescent agenda for a number of

years now. Every four years we have an international conference with governments who

are signatory to the Geneva Conventions. And back in 2007 this was, migration as such

was one of the key issues and dealing with humanitarian concerns or consequences of

migration.

And we had some major commitments with governments on addressing

humanitarian concerns. We brought it back to the agenda in 2011 last year again trying

to impress on governments that there are significant humanitarian concerns around

migration including forced migration. And we thus thought it was timely to make sure this

is an issue that doesn't disappear off the radar screens of governments and other

decision and opinion makers. That we back up, sort of, our claims to governments with

evidence and that is what we think this report has done.

And allow me two quick sort of key messages that stand out for me. One

is the number of people affected. I think most people think still traditionally of refugees.

As you will hear from Roger a bit later the issue of forced migration is far more complex

than just refugees. And in fact we're looking at over 70 million people who are in forced

migration. And another aspect that struck me in reading the report is that 20 of these 70

million or more are in protracted displacement so, a situation that requires longer term

solutions.

But I'm not here to talk to you about the substance. My role here is to

moderate this panel and then the discussion with you. And we are very honored to have

three people join us here this morning. And let me introduce them guickly so that we can

not have to do that later on in the discussion. I think Elizabeth Ferris sitting next to me

4

probably needs no introduction since we are in her house as it were. She's a Senior Fellow in Foreign Policy and Co-Director of the Brookings-LSE Project on Internal Displacement as many of you will know.

You will know that her work covers a wide range of issues in fact related to forced migration, human rights, humanitarian action. She's been in this business for a long time. I think one of your most recent jobs has been with the World Council of Churches for a number of years; Geneva based looking at various issues also around migration but humanitarian and development in general. You've had a stint with the Church World Service here, Immigration and Refugee program. You've been a Research Director at the Life and Peace Institute and so on. I think your impressive CV is available but just to give you a taste of that.

Next to Beth is Carrie Santos from the American Red Cross where she is responsible for expanding IHL dissemination programs and strengthening the engagement of the American Red Cross with the rest of the Red Cross Red Crescent movement. Again Carrie has lots of relevant and impressive experience including 10 years with the US State Department, Bureau for Population Refugees and Migration and has a PhD in Political Science from the University of California in Berkeley which I didn't know until I read your CV.

And then last but not least next to Carrie is Roger Zetter who is this year's editor of the World Disasters Report. And we picked him because he is a known expert on the topic and he can write and edit obviously. He's an Emeritus Professor of Refugee Studies from the University of Oxford where his last position till last year was Director of the Refugee Studies Centre. He's been a founding editor of the Journal of Refugee Studies from '98 to 2001. Holds a Doctorate from the Institute of Development Studies and again numerous studies and publications related to this theme.

So, I hope I've whetted your appetite in listening to these three as they

will present the report and discuss specific aspects of it. So what we will is we will start

with Roger who will give us a 15 minute also summary of the report. I've heard him do it.

It's impressive how he can summarize a 250 page report in 15 minutes. That will be

followed by Beth who will specifically look at development forced migration for a couple of

minutes. And the finally Carrie will look at this in terms of how the American Red Cross

responds to displacement and forced migration in the context of disaster response. And

then we'll open it up to you for questions, discussions and hopefully some answers and

views.

Roger.

MR. ZETTER: Matthias thank you very much for that introduction. Good

morning everybody and delighted to see so many of you here. Many thanks also to Beth

and colleagues at Brookings for facilitating the US launch.

As Matthias my job, my challenge today is to summarize 250 pages in

about 15 minutes. I just tried to do the mental arithmetic. It's probably about 20 or 30

pages a minute or something like that. So, I'll try not to talk too fast but really focus on

the headlines of the report.

It's certainly been a fantastic privilege to edit the report and not

surprisingly quite a challenge as well. And I think one of the things it really attracted the

project to me and Matthias mentioned this in the introduction is really the kind of editorial

freedom that I had. It is a report as it were for the International Federation of Red Cross

Red Crescent. It's not by them. And I think the kind of independence that I've had and

the many authors that I worked with I think adds very measurably really to the credibility

and the kind of integrity of the report.

Matthias has said something about the genesis of the report in terms of

the Red Cross movement but I think sort of broadening really that introduction, I think the

6

significance of the report themed on forced migration displacement can't be

overestimated. I mean as we speak in Syria, Mali a really violent crisis particularly in

Syria involving hundreds of thousands of refugees and IDPS. I just checked last night on

the UNHCR website and very roughly it looks as if there are about another 10,000

refugees a week coming from Syria at the moment.

And alongside these contemporary crises of course is the protracted

displacement of millions of people in the Horn of Africa, Columbia, Democratic Republic

of Congo. And then another dimension, I think, is to think of the growing specter of land

grabbing and land leasing in Africa and parts of Latin America which is forcibly removing

local farmers, local communities.

And then again one can think of climate change where again I've been

doing quite a lot of work. A slow onset disaster which undermines livelihoods and

depending on the estimates you read is going to force millions of people into, vulnerable

people, into moving, migrating in the coming decades. That's a slow onset disaster but

one can think of the rapid disasters that are still getting on for 380,000 displaced from the

Haiti earthquake living in essentially temporary shelter. And even just looking at the

television last night and today as you'll know Hurricane Sandy in Jamaica, one of the

headline features of that disaster is displacement.

So, I think the evidence demonstrates that a Disasters Report focused

on forced displacement is urgent, it's timely and I think what we've tried to do is to

present some pretty significant humanitarian challenges. But also to represent, I think, a

very powerful voice for humanitarian actors.

The kind of examples I've talked about I think compel attention because

obviously from one perspective they signify destroyed livelihoods, human rights abuses,

7

increasing vulnerability, loss of homelands, loss of histories, fractured cultures and communities. And obviously the human cost of forced migration is central, if you like, to the central theme of the report. And it's a challenge I think that requires new thinking and decisive action. And the Disasters Report elaborates that.

But it's not just the crisis experience I think and the vulnerability of forcibly displaced people that motivates the report. Because I think one of the core precepts of the International Federation and again very strongly I hope embedded in the report is the notion of really the remarkable resilience and the capacities and resources and the dignity of people. And I think above all the kind of human spirit of people captured in this incredible cover photograph which I think is really a kind of sort of account or intuitive representation of the challenges, if you like, of forced displacement. But also the kind of resilience of people in response to those situations with obviously the support of the humanitarian community.

The report covers a very wide range of issues, policies, priorities and recommendations. There are seven chapters which I've headlined here. There are 38 boxes and I've just taken a sample of half a dozen or so there, 250 pages of main text. So my task today really is to try and just to highlight some of the key themes and some of the highlights of each chapter.

Matthias unfortunately has stolen my sort of key punch lines but I'll reiterate them nonetheless because I think they do merit a little bit of discussion. The key point I think is that we take a much broader perspective of forced migration displacement than just the classic refugee convention, the 10 million plus or minus refugees under the mandate the High Commission for Refugees.

Inevitably the numbers are always going to be contested and given a lot of caveats which are in the report. What we suggest is that there are in 2011 nearly 73

8

million people were forcibly displaced and the headline figure here, it's sort of neatly but perhaps unfortunately resonates with a one in 100 of the world's citizens very roughly. But perhaps a more kind of dramatic or salient representation of that figure is that's roughly the size of the population of Turkey or the size of the population of the Democratic Republic of Congo if you were to aggregate so to speak the global number.

But as the slide shows a growing number of people are forced to migrate by a range of drivers. The refugee population and then in addition to that those displaced under the mandate of Anwar, and conflicts, violence, political upheaval, we're very familiar with that. That accounts for about 43 million people in 2011.

Set alongside that is the figure of about 15 million for disaster displaced and as Matthias has said in the last year that was actually a rather lower figure than the year before where you had six million displaced in the Pakistan floods and obviously well over a million in the Haiti earthquake.

Something that we felt was very important to introduce in the report was another category if you like or another label of forced migrants and that's the 15 million people displaced by development each year. The building of dams over an infrastructure, land grabbing and so on and so forth. The figure is actually very hard to derive and Beth is going to say more about that because she actually wrote chapter five on which these data are based. So the scope is much wider than the kind of conventional understanding of forced displacement but I think it's significant nonetheless that we've got that scope.

Turning now to some of the headlines from each of the chapters, one of the, I suppose, critical challenges for the humanitarian community is that the nature of forced displacement, the drivers, the processes are increasingly complex. And they're complex, I think, for two or three absolutely vital reasons. First of all, it's clear that forced

9

migration particularly in relation to conflict is increasingly unpredictable and that has important implication for preparedness. Who, for example, would have thought that Iran and the Tunisian market, what was it back in October 2010, would lead to the so-called Arab spring, the Arab uprisings and ultimately now a very violent civil war in Syria for example? And it's the nature of the unpredictability of these crises which I think is a particular challenge for the humanitarian community

I think another characteristic is that although we can sort of simplify it and say well there are conflict refugees, there are disaster refugees, there are drought affected refugees or displaced people, forcibly displaced people, the reality is that there are multiple and overlapping causes of displacement. One can think of the Horn of Africa where obviously years of protracted violence and political fragility make households very vulnerable and precipitate forced migration, sometimes very locally, sometimes across borders. But that situation was compounded in 2010, 2011 particularly by food insecurity and drought and it's really the coming together of long term conflict with perhaps a shorter or rapid onset disaster of drought which then precipitated another wave of forced migration.

Another, I think, critical challenge and Matthias has already headlined this is the really the very large number of people who are in prolonged or protracted displacement. We estimate this to be at least 20 million. Probably the figure is higher but the nature of that protracted displacement of lives in limbo so to speak of people with perhaps no clear future but integrating or settling perhaps in displacement situations in cities of Columbia for example, of IDPs, or refugees, long term refugees in Kenya. The nature of the uncertainty of their lives and how the humanitarian community addresses those conditions of uncertainty where there are no clear political solutions to that protractedness I think is a major challenge.

And then perhaps the greatest resistance or the greatest challenge really

is the resistance of politicians and I think many citizens in the countries of the global

north, the industrialized world to supporting people being forced to flee their homes. And

the report I think suggests quite powerfully that this is a major barrier to providing better

humanitarian and longer term support for very highly vulnerable populations.

The second chapter is about vulnerability and protection. And protection,

in other words, building safe and secure environments for people who are forcibly

displaced or at risk of displacement is obviously core to the IFRC's humanitarian support.

And the report highlights ways in which the increasing complexity and unpredictability of

forced migration crises which I have outlined earlier are making refugees and IDPs and

indeed those displaced by disasters much more vulnerable. And these kind of conditions

also diminish the scope for protection.

What the report does, I think, is to stress two things. First of all that

livelihood support is absolutely crucial to reducing vulnerability and it describes some of

the policies and tools to achieve this. If livelihoods are threatened or if livelihoods are

undermined then vulnerability inevitably increases and protection quite likely diminishes.

And on that second point the report stresses the importance of

community based protection and the ways in which humanitarian actors can support

community resilience. And we highlight in one of the boxes, for example, the work of the

International Federation, the Red Cross and Red Crescent in developing and approach to

providing community based safe spaces after the earthquake in Haiti.

The third chapter deals with health, health on the move. On the one

hand this chapter notes that refugee camps as we are very familiar are a particular risk to

health. But what the report does is to stress the need to ensure that urban environments

where forced migration and forced migrants increasingly congregate. I'll say a bit more

ANDERSON COURT REPORTING 706 Duke Street, Suite 100 Alexandria, VA 22314

11

about this in a minute but to ensure that they're not excluded from health systems and basic healthcare and medical services. And clearly as opposed to camp based displaced populations it is much harder to access and identify forced migrants in an urban setting.

So ensuring that strategic and operational priority for reproductive, maternal and child health is also emphasized in the report. Together with a growing awareness the need to respond to mental ill health and what one might call the kind of lifestyle and aging diseases because forcibly displaced communities like all of us are growing old. More susceptible to these lifecycle diseases and particularly those in protracted exile. The report highlights the need for medical and public health assistance and support to address these issues.

A significant chapter deals with urbanization and the report highlights how over the last decade forced migration has urbanized. The majority of forcibly displaced populations whether we're talking about refugees, IDPs or disaster affected populations are now found in cities, towns, peri-urban areas, usually in informal settlements not in the classic refugee camp. It's the main destination for populations impacted by these different dimensions, these different drivers of displacement.

In addition, the report also highlights the fact that it's not just the humanitarian challenge of populations, displaced populations migrating to cities but also the increasing phenomenon of intra-urban displacement. If one takes the example of Kenya, for example, and post-election violence 2007, 2008 particularly, it was a major driver of displacement of Kenyan citizens living in cities. And something in the order of perhaps half a million people are still displaced, predominantly urban based populations.

And then obviously drug gangs, cartels, particularly in Latin American cities are another major driver of intra-urban displacement. And these dramatic changes I think have very significant implications for humanitarian actors. What the report does is

to highlight the way in which vulnerability analysis and humanitarian protection and

security can be improved particularly through community based tools in urban areas.

The report stresses the importance of working with displaced

populations, IDPs, host community groups, refugees, and so on. And it indicates the

significance and describes ways of promoting economic activity amongst displaced

people living in cities. And it also emphasizes a particular challenge I think for

humanitarian actors more used to working in rural area. The challenge or working in

partnership with municipal authorities, the significant capacity in many countries of the

global south that are a municipal and urban level but also increasingly with private sector

providers.

Chapter five deals with development in forced displacement. Now I'm

not going to steal Beth's headlines here but simply to introduce something that Beth is

going to I think talk in a much more sort of articulate and much more detailed way, the

need to really put back on the agenda development projects as the cause of forced

displacement, what we call the hidden losers of the development process. And we pay

particular attention I think to the responsibilities and roles of governments but Beth will

talk more about that.

The chapter that provided me I think with the greatest challenge but also

the one that in many ways I was kind of keenest to put on the agenda of the report is

chapter six. And indeed one of the authors is here sitting in the front and one of my

mentors is sitting next to him from the World Bank who's been provoking me for the last

two years to work on the issue of a developmental framing, if you like, of what we

characteristically think as a humanitarian challenge.

What the report does, and I think it's probably to my -- I stand corrected

on this but I think it is the first time that there has been a comprehensive tracking of data

13

on humanitarian funding for forcibly displaced people. Normally it's embedded in sort of the wider discourse or development but what we've done is to draw out various figures. Something in the order of about \$8.5 billion per annum from DAC countries. This doesn't include in-country, host country expenditure for example. But we reckon that it's something in the order of about \$8.5 billion a year of international assistance finds its way into programs and projects dealing with forcibly displaced populations.

The second aspect of this chapter is to really address the question that has been addressed I think in many debates over the last few years but never effectively answered. And that is that forced migration as we know produces very significant economic impacts and costs not only for the displaced populations but the host communities, their governments and of course humanitarian actors and donors hence the figure here. And it's always the negative impacts and the economic and financial costs, the so-called refugee burden that are the focus of the concern but of humanitarian concern and understandably so.

But what the report does is to call for much greater efforts to minimize these negative impacts and maximize the productive opportunities which refugees can provide. And this end the report shows how greater synergy between humanitarian and development actors can promote policies that capitalize on the economic potential and the need to integrate displaced populations into the local economy. And the report promotes ways of tackling livelihood support through, for example, cash and vouchers and writing down risks. These are now gradually finding their way into humanitarian development assistance related to displaced populations. But what the report tries to do is to give this new strategy, so to speak, a much greater push because it's in this way that incomes can be sustained and greater financial independence can be achieved.

In effect, sustainable solutions occur when humanitarian interventions

14

meet development agendas and that really is the kind of theme of this chapter I think and the importance of humanitarian and development actors working together with the private sector is also emphasized in the report. For example, supporting micro-enterprise, encouraging small businesses, supporting local farmers, and helping local communities

and entrepreneurs benefits from the increased demand that comes from displaced

populations for food, building materials, for shelter, consumer goods and so on and so

forth.

The last chapter deals with four main topics and really I'm just sort of highlighting one. And the last chapter is really about the continuing challenges as if the previous six chapters were not about challenges. But really trying to sort of flesh out some of the kind of emerging challenges and some of the themes that are perhaps dealt with rather briefly elsewhere in the report. One part of the chapter seven deals very

much with the governance of the humanitarian regime.

The section that I particularly want to draw on is a section which deals -which we've titled "Unlocking Protracted Displacement." I've already highlighted the
figure, something in the order of 20 million people living in protracted displacement. I
mean the construction of that term is rather artificial but we're talking probably a minimum
of five years, sometimes 20 years, sometimes generations. What the report does I think
in this particular part of the -- sorry I've lost my notes on this one. But what it does is to
try to draw out the fact that not only do we need to look at developmental responses,
because after all we're dealing with populations that have settled. They've perhaps
integrated at least informally in new locations either in new countries or perhaps in new
locations in countries where they've been displaced.

And I think promoting developmental responses is a much more economically sustainable way and better protects the rights and dignity of those who

15

have been forcibly displaced. But what the report also does and I think it really challenges I think a lot of the political leadership and a lot of the political thinking about protracted displacement and how we need to unlock some of the kind of political barriers to protracted displacement. And that is really ensuring that displaced people have the right to work which is in some, many countries in fact sort of rarely possible at least officially. More freedom of movement, more liberal approaches to cross border mobility.

We know for example that Iraqi refugees in Syria, at least until the present crisis, were moving backwards and forwards across the border. Were they refugees in protracted displacement or were they people seeking ways to go home? And exactly the same with Somali displaced population.

So, to conclude really I think in tackling protracted displacement and many of the other humanitarian challenges of forced migration the difficulty lies as we say towards the end of the report. Not in developing new ideas but escaping the old ones. And I think this is why the WDR for this year is so timely and such a powerful call to action for donors and humanitarian and development actors and the international community I think to adopt these policies, the strategies, the tools that are elaborated in the report. And the purpose I think is to reinforce recognition of the rights of forced migrants and to help them become productive members of the communities in which they reside and not just simply social pariahs.

Thank you very much.

MR. SCHMALE: Thank you, Roger. I think you've already introduced what Beth is going to do as author of chapter five which is to look particularly at the development induced forced migration.

MS. FERRIS: Thank you but first of all just a word of congratulations to Roger for putting this all together. You know he was working with 50 different writers in a

16

very short timeframe. And it's an amazing accomplishment. I can't imagine still smiling after that experience.

I'm going to talk about the chapter I wrote which is on this issue of development induced displacement. But let me say that as somebody from a humanitarian background this was really unusual to see humanitarians grappling with this issue. We live in very separate universes with different languages and cultures and timeframes and ways of interacting with each other. And just crossing this divide between the humanitarian community and the development community I think is a real accomplishment.

The first time I met somebody about five years ago who said to me, yes I work on resettlement. All I could think about was refugee resettlement which I had worked on before as well. And I was shocked to find out that there is a tremendous community of people who work on resettlement in the context of development projects. And so it is unusual for humanitarians to address this and I think the subtitle of this chapter, "Hidden Losers of the Forgotten Agenda," is really quite interesting.

One of the challenges of working on this issue is that the term development induced displacement includes quite a variety of different situations from large scale infrastructure projects to nature preserves to urban renewal to displacement of hundreds of thousands of people to a 20 or 30 families sporting events, cleaning up for the Olympics often means displacing people. So, this term encompasses a wide variety of situations making generalization somewhat difficult.

But in looking at this universe of people displaced by development projects and comparing it with people displaced by conflict or violence in one form or another there are several striking differences. First, and often when people are displaced by conflict you get both internally displaced persons and you get refugees, people who

17

have left the country. Almost all, I think all of development induced displaced is internal.

The patterns of responsibility are different. It is the State that is responsible for displacing people, for making sure that they are cared for in a way that isn't so obvious often with conflict situations. It was hard, for example, to hold the Somali government accountable for displacement that occurred the past year when some of it was carried out by armed actors like Al Shabab. Others by the drought but in the case of development induced displacement it is a State responsibility either for the actual conditions in which people are forced to move or for regulating the actions of private enterprise and their actions which displace people.

It is planned. You know for those of us working on the humanitarian side, you respond to the movements of people. The luxury as it stands from humanitarians of having time to plan whose going to move and how and under what conditions and what do they need and how to involve the community is a different dynamic to this kind of displacement.

While most displacement by conflict is usually assumed, at least in the beginning, to be temporary the assumption with development induced displacement is that it will be permanent. This is a different dynamic. Now, in fact we know from the description of the protracted displacement we see in many or most of the world situations, sometimes it isn't temporary. Maybe people fleeing Syria right now won't be able to go home in the next year or the next five years. Is in fact Iraqi refugees who have still been displaced for many years, but the assumption it's going to be permanent also gives more responsibility to get it right.

Another difference is that development is a positive thing. It's good for countries to develop their energy resources and come up with better sanitation systems and construct new housing for people. Development is a positive goal as compared with

conflict which is a negative one. People have a right to development and they also have

18

a right to not be arbitrarily displaced. Most governments have provisions in their political

systems to allow people to be moved against their will for a greater public good. And

sorting out what that is and what some of the motivations might be is sometimes

complicated. Sometimes a government will say, we're moving you for your own

protection to keep you safe from floods or landslides. But sometimes there are other

interests that intersect with those altruistic ones of protecting people.

And the final difference with those displaced with conflict is that

humanitarian actors are rarely involved. When people are displaced by a dam for

example, it's really the same kinds of actors who are responding as those displaced by

conflict.

Roger mentioned the statistic of 15 million people a year displaced by

development projects. That's the best estimate we have. I mean but it's certainly

incomplete. Nobody is really keeping track of how many are displaced by this wide

variety of different kinds of development projects. I suspect there is nobody in the United

States who's keeping track of how many Americans are displaced by the whole gamut of

different projects going on.

Sometimes too we think that those who are displaced by development

projects as those displaced by disasters may be those who are living in the most

vulnerable situations, the squatters, the renters, living on marginal land easier to displace

those kinds of people than the wealthy. So that may make it also difficult to collect

accurate statistics.

The box in the chapter on India written by the Calcutta Research Group

estimates that in the last 50 years between 21 and 40 million people were displaced by

development projects in India alone. Now that's a quite a range. It averages out to be

19

400-800,000 people a year but some indication of the scope of this.

Now the term of choice in this community is development forced displacement and resettlement. And resettlement is more than just physically moving people. It's enabling the restoration of livelihoods and access to services and it's a process of participation of communities in deciding how their new community will be structured. The World Bank has played a leadership role in developing safeguard policies to make sure that people who are moved do not experience a deterioration in their standard of living. And the basic principles are: try to not resettle anyone. Try not to displace anyone but if you have to, minimize it and make sure that people are at least as well off as they were before the displacement occurred.

Those are the basic standards which have been incorporated by many of the multilateral development banks as guidance. And they provide a lot of very useful information on how this actually is carried out in practice.

Overall, I think most researchers would say most people who are displaced by development projects are not better off and there are hundreds or thousands of examples of how this has been done poorly. But there are also examples where it's been done well, where in fact communities have been enabled to develop even as a result of their displacement. I think there's much to learn from some of these examples.

When I think of things that humanitarian actors can learn from this experience of development forced displacement and resettlement you see humanitarians working more and more on issues of compensation. Housing, land and property issues, where the experiences with development induced displacement have a lot to offer in determining how you compensate people. Restoration of livelihoods is another area where development induced displacement and resettlement has much to each us.

Sometimes, too, people displaced by development projects, there is a

relationship with conflict. People can get angry and protests can lead to government

crackdowns and the dividing line between those displaced by development and conflict

perhaps isn't so clear.

Increasingly we're seeing that resettlement may be used as a solution in

other kinds of situations particularly in disasters. Montserrat, for example, the eruption of

the volcano in 1995 meant that people had to be permanently resettled as a solution to

that situation. We're seeing similar things with Japan as a result of the nuclear accident

that people may not ever be able to go back to their communities. And so, learning from

some of these experiences can be helpful.

Perhaps most importantly those displaced by development projects often

have similar needs to those displaced by conflict; needs for shelter most obviously but to

restore jobs and get access to services and the individual trauma that's experienced by

people can actually be quite similar. For many local organizations, in particular, they're

working in the same areas of people displaced by conflict and by development projects.

And therefore, it's natural for them to want to respond. And there I think the Red Cross

Red Crescent movement is perhaps unique in having feet in both camps if you will in

many different situations.

So I commend you for including this Roger. It presents a lot of

challenges for those of us trying to bridge this chasm; it sometimes feels like, between

humanitarian and development actors. But I think this is a great start. Thanks.

MR. SCHMALE: A triple thank you to you, Beth, for what you've just

said, for writing a chapter and for bringing about this event. And without further ado, over

to Carrie for a National Organization's perspective.

MS. SANTOS: Sure. I really have the privilege of going after our two

scholars here who have presented this excellent global overview for the year. And I don't

need to compete with that. Luckily I get to go from the global to the local, from the macro

to the individual and talk about the human face of some of the statistics that we've been

hearing about. And a few individual cases even.

I'd also like to talk about the difference between humanitarian, Beth's so

kind to point out the language we use, and humanity. Humanitarian is about us, the work

we do, what we do and humanity is actually about our clients, our beneficiaries, if we use

jargon. But it's better not to use jargon. Humanity means everyone. It means we don't

make distinctions between those people using a service and those people providing a

service.

That's what we do at American Red Cross. Our work reconnecting

families is through a program we call Restoring Family Links and it's a program about

individuals. Each and every individual is a huge priority for us. It's personal work. We

rely on trained social workers to ensure that each person is helped as much as possible

when we're connecting them with their loved ones. We see them as whole human beings

with emotional needs and fears. And when we're lucky and we have a positive outcome

and we find their family members, we get to witness these individuals' overwhelming

happiness. We see the whole range of humanity and human emotions in our work.

Humanitarians don't just assist the forced migrants and camps and

deliver them water and food according to Sphere standards. As important and essential

as all of those things are, we know that we are human beings who psyches and emotions

play a huge role in our survival. We always need more than food and shelter. Someone

on my team recently put it in a new way to me. If people are to thrive and build their new

lives after terrible disruption of displacement their connections to their family are

essential. People need their emotional support networks.

22

We've always known that emotional well-being plays a huge role in

recovery and resilience and we can build psychosocial programs and improve coping

mechanisms. But you can also address the root causes of the trauma and help the

forcibly displaced rebuild their family and social networks. And that's what we mean by

reconnecting families.

Today I'm going to tell you know we do this in the Red Cross and Red

Crescent movement and specifically here at American Red Cross. We're pleased to offer

this service in the United States but I'm not pitching our specific program. I have a

broader agenda. I'm not forced to talk simply about this year. I can go back a little bit in

history and remind us about the beginnings of humanitarian work in the mid-19th century.

Our own Clara Barton, the founder of American Red Cross, is most well-

known for ensuring that soldiers on the battlefield received medical care, getting supplies

to the wounded and sick. But how many of you knew that she also worked day and night

to discover the fate of missing soldiers. She helped wounded soldiers write their last

letters home to their families. When Clara Barton returned to Washington, DC at the end

of the Civil War even before she knew what the Red Cross movement was and how it

was getting off the ground in Europe, she set up the Missing Soldiers office right here on

7th and E Streets northwest, a block and a half from the Verizon Center.

After the war ended, she worked for years helping families to find out

what had happened to their lost sons, husbands, and fathers. Between 1865 and 1868

when the office closed she had been able to identify the fates of over 22,000 men and in

that time her team was responding to requests from 65,000 families. She knew this was

essential. She understood that this was at the heart of humanitarianism, of bringing

humanity into the most brutal of situations. And this is the tradition we're very proud to

carry on today.

We have many partners. This is not exclusive to the Red Cross and Red

23

Crescent. We work with organizations that specialize helping unaccompanied minors,

elderly, disabled, but we all work together knowing that humans need these emotional

connections to thrive. Behind each statistic is a family who is worried or grieving, an

individual. In the US our main clients for family tracing and connections come from the

major conflicts our time, Liberia, Somalia, Iraq, Democratic Republic of Congo.

But you will also find some surprising other conflicts on our list of current

clients, the Hungarian revolution of 1956, World War II. We continue to reconnect

families who have been separated after 50 or 60 years. These are enduring needs that

linger long after a person has had their basic need satisfied. Even after they've built

entirely new lives, each person wants closure and in our successful cases when they are

able to actually locate their loved ones, they are able to show that adversity didn't carry

the day. They were able to overcome those who turned their lives upside down and they

were able to preserve their family ties.

These stories show that there is always hope and we live in a country of

immigrants in the US. It's well-known we are not so much a country of first asylum but

we have many people living next door from us who left their homes quickly and were not

able to keep their families intact as they were forced to focus on their own immediate

survival. Today, luckily, we're not using the newspapers and letters as Clara Barton did

but we have tools that are helping us. Technology is playing a huge role. We use cell

phones, Internet, Facebook, Twitter. It makes it much easier to locate families in a few

minutes, in a few hours or days.

After a natural disaster you find that the Red Cross and Red Crescent

set up satellite links as quickly as possible to enable people to make cell phone calls or

go on the Internet. And in this way, family connections are not broken in the first place.

ANDERSON COURT REPORTING 706 Duke Street, Suite 100 Alexandria, VA 22314

We're able to prevent it and keep families intact. But of course there are still tough

cases, those whose circumstances don't make it possible for a simple cell phone call to

do the trick. I'll give you an example from Haiti. Like many other families, the home of

Baby Jenny was destroyed after the earthquake in January 2010. Her mother was

injured and taken to the hospital and her father followed her mother and Baby Jenny was

not immediately found. When her parents returned to search for her days later they

learned from their neighbors that Baby Jenny had been discovered but badly injured and

taken away by rescue workers, the humanitarians.

The parents were of course desperate to find out what had happened to

their daughter and they went to the Red Cross. We, at American Red Cross, were able

to determine that Baby Jenny had been evacuated to Florida for medical treatment. The

Red Cross helped locate Baby Jenny in the hospital and then worked with her parents to

validate the relationship to make sure we were not mistreating her. Making sure she's

going back to her actual parents. And then after a couple of weeks they were all

reunited.

That's the kind of story that happens every day around the world. And at

American Red Cross we provide the same service whether a person was separated by

natural disaster or displaced by an armed conflict. It makes absolutely no difference to

us. It's one of those areas where the connections between national disaster and armed

conflict are very clear. We do the same work.

The Haiti case was a very immediate example and we were quickly able

to bring Baby Jenny back to her parents. But it's important to know that even after five or

six decades of families being separated, they still want to rebuild their bonds of love. And

that is really what does make us human.

As humanitarians we think our job is done when a durable solution is

ANDERSON COURT REPORTING 706 Duke Street, Suite 100 Alexandria, VA 22314

found for those who are forcibly displaced. They find a country that allows them to work

and live in security. They get to return home. That's great. It's a success story, we cross

them off our list.

But at restoring family links we look at another angle entirely. Individuals

25

come to terms with what has happened to them and their loved ones and to their former

lives over guite a long time. They may have a series of basic and existential guestions

they feel compelled to answer. What happened to my grandmother? What happened to

my cousin or my sister?

So, here's an example from World War II. Alfreda's family was torn apart

by the Holocaust. She was a teenager and her mother died. Her younger siblings were

taken in by an aunt and she was left to fend for herself. Eventually Alfreda was one of

the lucky ones. She survived, married an American man, moved to the US in 1955. But

she never learned what had happened to her siblings. Through the Red Cross she found

the answers. One of her brothers was still alive and located by the Red Cross in Berlin.

The two were able to reunite and catch up on their lives after 65 years of separation.

We can't underestimate the importance of reclaimed family members.

Even if a person's home and livelihood were destroyed, when we reconnect families, an

important piece of their lives perhaps the most important piece of their former lives is

restored. And when we do not have the happy cases, when all we're able to do is to

search. We may not find out ever what happened, we still believe that documenting what

has happened, memorializing the details as we do in our casework plays a key role in

recognizing the importance of each and every individual who has died as a result of an

armed conflict or some other tragedy.

Each person deserves to have their story investigated as far down the

road as we can go. This is really what humanity is about. We've seen this with the

46,000 Holocaust cases that we have handled since the 1990s. It was in the 1990s that

the World War II archives behind the Iron Curtain first became open and available for

searches. We've been able to find information in roughly half of those 46,000 cases.

And for a lucky 1,600 individuals not only did we find out information about their loved

ones but they were able to find living family members and reconnected as in the case of

Alfreda.

One last point I'd like to make today as the overall point of my talk, forced

displacement is not something that happens over there to those people. It happens to

your neighbors. Wherever you live you have neighbors who were forcibly displaced,

whose parents or grandparents were forcibly displaced by conflict. At the Red Cross we

have some 2,000 people each year who are still seeking closure, still seeking information

even though they are presumably are moving on with their lives. They may not stop

searching. They want closure. They want to document why this happened.

This process of an individual telling his story is essential. And that is

really what we mean by the principle of humanity that's so dear to us at the Red Cross.

It's confronting the complete and whole person. This notion of humanity inspires our

work every day. We look at a person's emotional needs, symbolic needs, ceremonial

needs and that is really how we are fulfilling our mission. Thank you.

MR. SCHMALE: Thank you very much, Carrie, for bringing not just a

national and local perspective but reminding us of the human face to the figure and

overall tendencies we are looking at.

Now the floor is yours. We have about 35 minutes or so for engagement

with you, comments, questions you may have. I think we have roaming microphones so

let's maybe start at the back there and come forward.

MS. FRANCIS: Is it okay if I sit or do you want me to stand?

ANDERSON COURT REPORTING 706 Duke Street, Suite 100 Alexandria, VA 22314

MR. SCHMALE: Please.

MS. FRANCIS: Okay thank you. I have maybe a general observation and it could be either for Professor Zetter or for Beth. It's to look at gaps. I think, Beth, when you first mentioned the challenge of going from humanitarian to development made me think about that.

So, practically speaking looking at funding, for instance, I think we also have a problem of siloed money. And I just wondered, Professor Zetter, since I haven't had a chance to read the chapter maybe this is an easy question, whether you had a chance to take a look at how do we make that seamless journey from humanitarian funding to development funding to have that sustainable response. I look at the way the US government is structured. You either have PRMO FDA or you have aid. And then if you go multilaterally you have UNHCR, UNICEF or you have UNDP but there isn't a seamless system to ensure sustainability of the response. And that's going to be critical especially for the protracted situations which have stopped really being humanitarian.

So, I just wondered if you could respond on that gap. And the second gap question is on this promotion of the private sector as a potential partner. From my own agency's experience and what I -- let me just use the example of what we're seeing in Turkey. The Turkish government has responded with containers as the housing solution. The photos I've seen and I've asked my colleagues to clarify does the container sit flat on the ground? They're punishingly hot in the heat and probably going to be very cold as they move into the cold season. It is certainly a solution but it's going to be a solution that's going to become a permanent solution.

Based on what we saw with Katrina, there's a lot of health potential if this becomes protracted. So how do we engage with private sector to not erode the humanitarian standards we have regarding what constitutes adequate humanitarian

response because they're used to a different way of operating. Thank you.

MR. SCHMALE: May I ask, actually, for people to introduce themselves

also?

MS. FRANCIS: I'm sorry. My name is Daisy Francis. I'm the protection

advisor at Catholic Relief Services.

MR. SCHMALE: Thank you very much. And we'll take three, four if

that's okay for you to gather questions.

Let's move the microphone further to the front here.

MR. O'BRIEN: Thanks. Mike O'Brien from the American Refugee

Committee. Thank you for the report. It's a powerful representation of the forced

migration, its relationship to development, its impact on the human condition.

Looking specifically at the chapter on health we can see forced migration

has major impacts as it disrupts health systems, specifically continuity of care treatment

for TB, HIV, maternal health, child health, vaccinations. Any thoughts on how to use this

to inform the new millennium development goals and what we can do to maybe make

sure that's included? Thanks.

MR. SCHMALE: Thank you. Maybe this side of the room, one up.

MS. FAGAN: Thank you. Patricia Fagan, Georgetown University. And

much along the same lines of the previous questions, both Roger and Beth have talked

about the challenge to the humanitarian community to achieve what are essentially or

always have been seen as development goals. Housing and livelihoods and

compensation, some of them are humanitarian goals too but the humanitarian agencies

are rarely around long enough to do them.

And I totally agree with Daisy Francis that the funding doesn't make it

easier. It makes it much harder because it's siloed and short-term. But I wonder if the

two of you might -- what would you advise humanitarian agencies to do for retooling?

There's not much controversy about the challenge but there's a lot of difficulty in meeting

the challenge and how could humanitarian agencies better retool given present funding

limitations? Thank you.

MR. SCHMALE: Thank you. Maybe one final one in this first round and

then I'll get to the rest. Just right next to you.

MS. FRIEDMAN: Thank you. My name is Lisa Friedman. I'm a reporter

with ClimateWire. We're an energy policy magazine here. This is a great report.

I'm curious, you know we've been hearing and reading for quite some

and in this report too that climate change is going to increase disasters and by extension

migrate, forced migration. Can any of you say with any degree of certainty on your 20th

anniversary of doing this report what impact, if any, you've seen from climate change so

far? Is that something that is known? Can be known? Thanks.

MR. SCHMALE: Thank you. Let me turn to the panel and then we'll get

back to you. I don't know, Beth, you want to start or Roger?

MS. FERRIS: Roger?

MR. ZETTER: Thank you. Some really challenging and very stimulating

questions. Thank you so much.

I think we may not have time for another round. I'll try and be brief. I

mean to go straight to the heart of the humanitarian development divide or nexus

depending on where you're coming from. And what the report says about it and indeed

what I think about it, let me start by actually not the thrust of your question about the

funding streams and the governance, if you like, of development of the governance of

humanitarianism which are problematic. I'll come to that.

But let me actually start from the other end of the spectrum. What do

would say, macro economy there.

30

displaced people do? What are their immediate responses after the sort of very basic lifesaving interventions have taken place if that's appropriate. They drive a development agenda even in temporary displacement let alone refugees from Somalia being displaced and living in Nairobi for 10, 15, 20 years who've developed their own micro, and some

So I think we just have to really look at, you know development comes from below even in what we label as humanitarian context. And I think in terms of sort of echoing Patricia's point or sort of picking on that and the kind of retooling, I alluded to that I think in my presentation. Looking at risk and insurance, looking at cash and vouchers, in others words looking at direct ways in which you can inject money basically into the local economy. Into the hands of displaced people and letting them make the choices of how they want to, if you like, spend that money how they want to utilize humanitarian assistance, humanitarian support.

And I think that's absolutely vital and I think it's really Carrie's point. I mean we just have to look at the humanity. We have to look at the human beings and what they do even in these incredibly adverse circumstances. And I think the reality of that is it's not just a different way of looking at humanitarian needs and responses. But is actually in which it potentially is a win-win situation because not only does it give more autonomy and independence to those people who are being supported by humanitarian agencies, but it actually feeds into the local economy of the host communities as well. People need food. They buy food from local farmers. People need building materials for shelter; they buy it from local contractors. People need basic household commodities. Okay, it takes the time to acquire the capital to do that particularly if you're displaced but that can stimulate local production. So, in that sense it seems to me that one way of looking at the problematic is actually think local.

Let me come back to the bigger global picture. Unlocking the kind of

silos as you put it, I think is prodigiously difficult. We have seen some initiatives and we

talk in the report about the transitional solutions initiative which was a linkup between

UNHCR, UNDP and the World Bank. And I can see from nodding you're familiar with it. I

guess many people in the room are but that was an attempt to try and sort of break out of

the siloes and to recognize that even as the UNHCR itself says that many humanitarians

situations and many situations of population displacement cannot only or cannot even be

addressed by humanitarian principles, practices and so on. We have to look at

developmental opportunities.

It is a challenge but I think we can begin to see the kind of shift of the

agenda. And that shift, I think, interestingly enough is not coming primarily from the big

international players in this framework despite what I've said about the transitional

solutions initiative. It is coming from the International Federation. It is coming from Red

Cross Societies. It is coming from the NGO community who are working with people and

seeing what people themselves are doing on the ground. And how they want to restore

and reconstruct their livelihoods and from their livelihoods reconstruct obviously the

household budget and their own autonomy and independence.

So, it is, I think, any why I think this chapter is important I think it does

really put this agenda and this challenge right centrally I think in the field of humanitarian

discourse and particularly internationally into governmental agencies.

The private sector again I think is a major challenge. But again I think

there's a kind of resistance I guess in many of the humanitarian actors and I think there's

a kind of resistance of many of us who come with kind of humanitarian precepts. And a

kind of a welfare if you like perspective on these challenges but it does seem to me that

the private sector can unlock new resources both at an international level. One only has

to look at the support by the UK after the UNHCR for example. I mean again it sort of

challenges a lot of the kind of precepts and a lot of the kind of concepts that and the

position that we come from. But I think with absolutely critical safeguards and I think this

is why the humanitarian actors are so powerful in this field. That they can, I think, if you

will demarcate absolutely critical lines of standards no matter who's providing the

humanitarian support. They have to and I think their important role is partly facilitating,

perhaps engagement in the private sector but also, if you like, defining very clearly the

terms of engagement and the absolutely critical precepts that must govern these new

funding streams.

If I could say a little bit about climate change I've come to the last one

partly because it's a section of the report I wrote and it's where I've been doing a lot of

research. I think there are two points I'd make. First of all, I think whereas 10 years ago

a lot of the thinking both the sort of academic thinking and the policy thinking was that the

climate change is going to drive 5200 million people by 2050. This is the figure that was

created by, he was an Oxford Academic I'm sorry to say Norman Myers, and that figure

has just been recycled over the decades on a very, very flaky methodology.

I think what we know recognize is that except in very exceptional

circumstances of clearly rising sea levels, for example, climate change of itself is not

necessarily a driver. It's certainly stresses environments. It does make households more

vulnerable but usually in the context of other kinds of vulnerabilities where, for example,

rights are not protected. Other kinds of household vulnerabilities where people have no

political power and cannot sort of represent themselves where there are resettlement

policies, for example, in a country like Vietnam where very large scale resettlement is

taking place from those flood prone areas of the Mekong Delta, for example.

So, I think the first point to make is that climate change of itself is only a

driver of displacement in the context of many other social and economic variables at a

local level and also at a macro level. I think the other point to make is that whereas very

often migration is seen as a kind of -- the failure, if you'd like, and is seen as the kind of

negative consequence of climate change or maybe other drivers of migration. Migration

if it is properly managed can be a very positive adaptation strategy. And I think the

challenge for the humanitarian community again is to think of these kinds of slow onset

disaster situations, disaster equals humanitarian is to actually think of these kind of slow

onset situations as a development challenge as much as it is an immediate humanitarian

challenge and protection of rights, addressing vulnerabilities and so on and so forth.

MR. SCHMALE: Beth?

MS. FERRIS: Maybe just a few comments. First to pick up on this

climate change and displacement, it also has implications for these planned relocations.

And certainly there are lessons to be learned from our experience in other contexts.

In the Artic right now, for example, in Alaska there are communities that

have to move now. It isn't something 10 or 20 years or small indigenous communities.

The erosion, the melting of the permafrost and so it's just -- it's happening now. So, I

think that we really need to be prepared for how to make this process as humane and as

respectful of human rights as possible.

I think there are also some very good initiatives between the

humanitarian and development communities. This focus on the word or concept of

resilience, for example, is one that can draw from the strengths of both. A lot of the work

is being done now in the housing, land and property issues from both humanitarians and

development. They need each other. You know sometimes it's just a question of the

language that's used. Sometimes I feel like writing something, you know a guidebook for

development actors, using your language about humanitarian issues. You know some

kind of translation of some of these concepts might be helpful.

I was really intrigued by your comment about health and the millennium

development goals and thinking about what's going to come next after 2015 and is there

any way for displacement related issues to enter into that process. I think it would be a

sign of real progress if there were an acknowledgement of a development concept for

instance of displacement. It's certainly something to work on.

MR. SCHMALE: Thank you. Let's do another round. I will move this

time from the front to the back and I will come to you at the back. Let's move here to this

gentleman.

MR. DEDOLA: Thank you, very interesting discussion. I'm Luca Dedola

from the International Organization for Migration.

I was wondering you could elaborate on the link between slum dwellers

and urban displaced because it seems to me that this is a critical issue given the growth

of the slum dwellers population in general in developing countries and the characteristic

of urban displacement. And therefore the link between humanitarian and the need to

maintain humanitarian interventions might be challenged by a perceived need to address

developmental approach and in general I think the need for urban renewal including

possibly this kind of a planned phased migrations or adaptation strategies. But I think it is

very complex and daunting issues. Thank you.

MR. SCHMALE: Now the gentleman at the very back and then we'll

come back to the front.

MR. SHERRETTA: Thank you. Robert Sherretta, President of

International Investor and speaking for the private sector, let me vent a little frustration

here.

I haven't heard anything in this discussion about the effectiveness of

ANDERSON COURT REPORTING 706 Duke Street, Suite 100 Alexandria, VA 22314

these programs. You know, we've already seen a couple of instances, I'll be brief here.

We've seen instances where corporate contributions and participation have been a terrific

in some instances but the potential is vast and unrealized because even in the most

altruistic corporations and motives they have to report to a Board of Directors that at the

end of the day it's not just good PR. But that there was some effectiveness from the

contributions made.

So my question is this: is there any follow up delivered here in terms of

measuring the effectiveness of your programs? Do you make any effort to survey those

that have been assisted for example so that they can respond a year or two later and

report -- I'd like to see an independent survey done by an agency that would suggest how

much in fact the assistance has been helpful to them or where it's been weakest.

MR. SCHMALE: Thank you. Lady in the front here.

MS. CHANTIER: I'm Rota Chantier with the Baptist World Aid. Thank

you for your presentation. It's been very good.

It's a question I have in my mind it's maybe since this -- we're talking

about humanitarian but we haven't really talked about the other vulnerable population

that don't have a face. Thinking of nature, animals, biodiversity, how does development,

introduction of development in these places, the need for biodiversity, the loss of

biodiversity among indigenous communities, how can development and humanitarian

agencies work with those kinds of issues? Partnering with conservation societies et

cetera, is that something and it relates to of course climate change as well. If you would

address that, thank you.

MR. SCHMALE: Thank you. Lady in the fourth row there if possible.

And then I'll turn it back to the panel and we might be able to squeeze in one or two more

rounds.

MS. BRUELL: Hi, I'm Abby Bruell with InterAction. I just wanted to pick up on the idea of resilience which has seemed to kind of take a life of its own lately. It just seems to be an idea rather than anything behind it.

So, I was just wondering one, how do we operationalize and programmatize this idea of resilience and how do we ensure that resilience isn't used as a means to replace humanitarian action or to defund humanitarian response?

MR. SCHMALE: Thank you. Back to the podium. Who's going to volunteer this time? I think, Roger, we're looking at you again.

MR. ZETTER: Okay. Let me start with the second question, I think, from Robert.

In terms of the kind of follow up and the evaluation of impact of humanitarian programs, and interventions there is an enormous industry one might almost say, every project, every program is microanalyzed and sort of poured over. And I don't know of a single program or project which isn't evaluated in some way or another. And I guess there are probably quite a few people in the room including myself who have done these kinds of evaluations for OxFam or what have you.

So, there is an enormous kind of capacity and investment in program evaluation. But I think one of the gaps in a lot of that evaluation is that on the one hand we've not really had, and this may seem surprising, the effective kind of methodologies to do a really rigorous economic and fiscal evaluation of these projects. The evaluations to the extent they take place cover a kind of very broad range of kind of social and socioeconomic impacts. But the kind of evaluation that you would do as a corporate director of kind of rates and return. And to be provocative, I mean that \$8.4 billion per annum, I mean one of the headlines that I put in the sort of the introduction to that chapter is that no corporate enterprise would get away that level of expenditure without

some kind of very detailed fiscal and broader economic impact evaluation. Yet, in effect

that doesn't really take place I think within the humanitarian frame.

So, you're right in a way that I think a more effective engagement of the

corporate sector would demand and would benefit from a much clearer framing, if you

like, of evaluations around sort of the economic and the fiscal. And the problem as I say

until recently is that really the tools that drill down and provide that focus I don't think

have existed. But there is work that we're going, I've been doing with the World Bank

really to try and develop methodologies that look at those kinds of questions in a more

rigorous and a more systematic way.

Perhaps I'll come back to some of the other questions in a minute, Beth.

I would like to talk about the first question on slum dwellers and displaced but, Beth,

happy for you to take first shot at that.

MS. FERRIS: I think that Haiti really brought those issues to the fore for

the humanitarians. What's the relationship between the generalized urban poor and

people who've been displaced? And even questions about defining displacement if your

house fell down and you're sleeping in the yard are you displaced? Or if you move a

block away and are there programs intended to help displaced which may be actually

contributing to displacement by offering services in camps for example and drawing

people?

I think there are lots of questions around how we distinguish particular

vulnerabilities. It seems to be the case particularly in urban settings that as poor people

move to cities, they live on increasingly marginally land therefore more vulnerable and

susceptible to disaster. So there are multiple connections if you will. You know urban

violence are sometimes occurring in slums also can be a driver of displacement. So, I

think it's an issue that's really, it feeds the humanitarian community because of

experience of Haiti and still lots of unresolved questions.

development actors have a lot to teach us.

In terms of your question about the relationship of humanitarian action to environmental concerns, I think it's fair to say that this is an issue that more and more humanitarians are concerned about; making sure that their programs are environmentally responsive and not just get the aid in as quickly as possible. So, things are moving in that direction but I think for humanitarians the imperative is always help people first and worry about the environment later. And so, it's kind of a mindset. And there, too, I think

Abby, I share some of your concerns about the new buzz word of resilience. Sometimes it may be if this community were really resilient they wouldn't have been susceptible to that landslide. Rather than the responsibility of governments and others to make sure that people are safe and address the causes which may lead to a landslide. And there I think that the decision is sweeped by the Italian courts of holding scientists and government responsible for a failure to predict adequately an earthquake is sending shockwaves through many communities about these questions of responsibility.

MR. SCHMALE: Carrie?

MS. SANTOS: Then one point I wanted to add on how we look after the ecosystems and biodiversity. At American Red Cross we had a really strong partnership with the World Wildlife Fund in the aftermath of the 2004 tsunami. And that's again where we're able to work longer and go into that development spectrum after three, four, five years and it gave us a wonderful time to make sure that we were doing rebuilding livelihoods, rebuilding communities was sensitive. And the kind of dialogue, the rich dialogue that comes about from working on the ground together and just having others bring out simple points that we didn't know we developed a green toolkit as a result of that partnership with the World Wildlife Foundation.

And you can't do it always. We should do it always but it's nice to know

that when the opportunity is there we've really been able to seize.

MR. SCHMALE: Roger, you want to come back on anything?

MR. ZETTER: No, I think Beth's made the key points.

MR. SCHMALE: Thank you. One final round I think we can squeeze in.

The lady here and then I'll come -- or let's start with you in the front since the

microphone's there and then get to.

MR. FRENCH: Thank you. Jose French from the Brookings Institute.

From the discussion I understood that are three main causes for gentrification which are

manmade, manmade conflict, the environment or state caused displacement, sorry,

talking about displacement.

But I haven't heard anything about gentrification and in my opinion like

state mandated displacement; gentrification is also done for purposes of development.

The only difference is that in gentrification it's the invisible hand of the economy causing

the displacement. And in state mandated it's by the state right?

So, I would like to hear a bit more about the impact of gentrification and if

this is also accounted for in the studies in the aggregate numbers of displaced people.

Thank you.

MR. SCHMALE: Thank you. The lady in the fourth row here please.

MS. BERG: Thank you. Ruth Berg, Calcutta Kids. Ms. Santos you

talked about the partnership between World Wildlife Fund and Red Cross. I'm interested

to know, there are so many humanitarian organizations and then there are government

organizations. In this country, for instance, FEMA, how do you coordinate so that you're

stepping over each other's toes or missing work that some other organization has already

done? Thank you.

MR. SCHMALE: Thank you. Lady in the back there.

MS. CORDONE: Hi, my name is Natalie Cordone and I'm a graduate student at GW and my question is about involving community based organization especially in the humanitarian field. It's been kind of seen more in the development sphere but how are humanitarian actors involving and engaging and working through CBOs or how should they be working with them? Especially we talked about the gap between the humanitarian and development and when the humanitarians leave and before the development projects come in, I think CBOs have a huge role to play in that transition. So I wanted to see if you could maybe talk a little bit more about that, thanks.

MR. SCHMALE: One final question if there is one? Not the case then let me turn one final round for you. So, if you can both answer the questions and any final thoughts maybe starting with Roger, ending up with Beth.

MR. ZETTER: Okay. Let me start with the last question about involving local communities. I think I'd kind of slightly challenge you on that. I mean just simply because a lot of the report is precisely about that process and many practical examples of communities that are engaged in developing their own self-protection, for example.

So, it seems to me that there is already I think a very heavy engagement by humanitarian actors with community based organizations, civil society organizations. I think across the spectrum, I mean I think lying behind your question is the concern that obviously in conditions of the immediate presentation of an emergency or the immediate aftermath, inevitably humanitarian organizations particularly international ones are very highly geared. If they're prepared they can move in very quickly and there appears to be a kind of an implication that there's a kind of disempowering process that goes on there.

But I think certainly from the work that I've done and seeing humanitarian actors acting as well as I think a lot of the thrust of this report is really to challenge the

41

notion that humanitarian intervention inevitably means a kind of sidelining of community, interesting community based responses. So, I think there is good evidence and I think the report points that evidence.

Not to say that there's not work to be done but I think is quite a wealth of practices identified in the report. I think you're right though to say that there is a kind of, there's a kind of vacuum there. The vacuum when there's a kind of shift from the immediate aftermath of the emergency or disaster response to the kind of so called early recovery phase and that's sort of highlighted in the whole sort of cluster architecture of the Interagency Standing Committee. And sort of when you shift to the development agenda.

But I think the challenge there is really sort of reflecting back to my answer to the first question we had that I think you're right. There is a gap there and it's something that perhaps we don't really pick up on in the reports efficiently.

If I could just talk a little bit about the kind of issue of gentrification but maybe Beth will come in more on that. The report and the chapter specifically that we've been talking about doesn't pick up on that particular phenomenon or driver within the broader context of development. But I mean I think you are right to highlight it. The challenge I think as Beth has already said is that remarkably enough that given that unlike displacement from disasters or emergencies one should in fact be able to track data. One should in fact, you know inevitably when displacement takes place, for example, there's a box in the report about the Metro Manila Railroad and 35,000 households were a part of the census. They were identified, enumerated and resettled.

You would think that you would be able to do that for all development projects precisely because it is planned process. And even in a sense where gentrification and urban renewal is taking place you would think you would have data.

Remarkably enough it's almost impossible to get that data and it's -- the figure that we're

working with is really quite a generic figure. And I suspect is actually much larger.

The other question I think was the issue of how one manages the

complexity and the large number of humanitarian organizations working together in the

particular kind of context that we're talking about. It is, I mean humanitarian coordination

is a major challenge and it's been highlighted from some of the really big sort of

catastrophic displacement disasters like the Rwanda genocide, for example, onwards

from the mid-1990s onwards and indeed before. Part of chapter seven addresses this in

terms of looking at the governance of humanitarian intervention. The strengths and

weaknesses of the cluster system, the humanitarian reform review in 2005, the

transitional review that is now a transformative agenda as it's now called to try and

reshape particularly the cluster structure.

The one challenge I think and one of the issues that we do mention here

is that much of the reform and the review of the structure of international humanitarian

intervention is really driven by intergovernmental actors and engagement with non-

governmental organizations. And international organizations like the IFRC that I think is

still a weakness in the kind of reform and review processes that are taking place within

the governance of the humanitarian regime.

MR. SCHMALE: Thank you, Roger. Carrie?

MS. SANTOS: Sure. On the very specific question about how American

Red Cross works with FEMA. It's not my specialty, I'm an international expert but I do

know that in the United States there is a national response plan that's developed by the

US Government. The US Government are the authorities who are responsible for

ensuring that there aren't gaps in assistance, that everybody's working well together.

There's a huge community domestically, VOLADs we call them. The

voluntary organizations that work together week in, week out, month in, month out when

there isn't a disaster to try to make sure that the lines of communication are there. That

everybody understands each other's methods. It's obviously not perfect. I think the

Hurricane Katrina exposed for us some of the gaps in how we work together. There's

been a lot of effort to try to work on those things that came out during Katrina, and

improve it.

Always the next disaster highlights new things we hadn't predicted but I

think there's a strong impulse in the domestic US community to work on coordinating

better and making sure that the responses are better. Also driven at the local level, that's

the principle of US response that the local authorities have precedence and it's only when

things get elevated that you bring in the state or in much rarer circumstances the federal

authorities.

And then going to the question of involving community actors and

community participation and planning, it is of course difficult in the fog of a disaster or

conflict to organize quickly and have dialogues with communities. And that's why we

work on this during disaster preparedness. That's a huge investment in the Red Cross

overall but a lot of peer organizations do the same thing where we dialogue now with

communities. We ask communities now to tell us what are you priorities? What do you

want to fix in your community? How do you want to mitigate your environmental risks

from the landslide or the mudslide?

We do this when there isn't a crisis. Communities can organize

themselves, develop councils, develop links with their local governments, their national

governments. And then we cross our fingers when the next big thing happens. Those

structures, the practice of sharing and collaborating should be there and I think we do see

success stories over two, three decades of disaster preparedness work that it does start

to work.

There's a great deal more to be done. Donors don't like to invest in disaster preparedness but it's a large priority for Red Cross Red Crescent movement and for American Red Cross to invest in that early work which saves us all lives and money

down the road.

for economic reasons.

MS. FERRIS: Thanks. On this question of gentrification I think it shows us there are still a lot of gray areas. You know traditionally a migrant is somebody who voluntarily chooses to go someplace else. You're a migrant worker in Geneva. Whereas those who are displaced, there's an element of coercion. You know I'm forced to but in practice it isn't always so clear. Gentrification, urban renewal projects, sometimes you're forced. You have to leave, you're evicted. And sometimes you can't live there anymore

So, there are gray areas there that I think that looking at some of these issues around housing and shelter and urban areas brings to the fore that need to be addressed. Community based organizations usually are there before the disaster happens, after the disaster happens. Most lives saved are saved by members of the community, not by international organizations which simply take too long to get there to pull people out of the rubble and earthquakes, for example.

I think the best coordination mechanisms are those that include those community based organizations from the beginning and very few of them do.

MR. SCHMALE: Thank you, Beth. I know we're run out of time but Josephine as you could see what just whispering into my ear. And Josephine by the way is the staff person who has helped bring about the last four editions of this report. So, as the other person next to the editor doing most of the work has just reminded me that we've had an online following of this discussion and lots of tweets.

I don't know if anything has come out of that that we should quickly

comment on. Is that at the back? No?

Okay then let me before saying the final thank yous leave you with one

or two thoughts if I may. The first one is I'd like to come back to Roger's opening remarks

in terms of the cover picture which was chosen deliberately to bring across the message

that we're not talking about victims and objects here. We're talking about people who

have their own dignity and their own capacity to bring about change.

And I hope that this discussion reflected that and that this discussion

also reflected that in principle we know what's right to do. It is about investing into these

people and their capacities to bring about meaningful change. And it is about influencing

others who can help create conducive environments for those kinds of investments to

happen and be meaningful.

And the second thought and sort of related is in the launches in Europe,

there was a lot of talk about hardening of minds also on state's sides. And it was

interesting in the Brussels launch event we had the European Community Commissioner

for Humanitarian Work, Mrs. Georgieva from Bulgaria with us, and she was speaking as

the EU had just been awarded the Nobel Peace Prize. And she said that is an obligation

that peace prize to go about working with issues such as forced migration in a dignified

and peaceful manner.

Now, the US as a country hasn't received a Peace Nobel Prize but I

believe your President has. And I think lots of the questions that were raised here

suggest that there is as much concern about this as there is in Europe in terms of dealing

with this issue in a dignified and peaceful manner.

Let me thank all of you for coming, for your interest, for the people who

were online and followed us and may have tweeted around the world. Let me thank Beth

46

again and through her the Brookings Institute for hosting us here today. Thank you to the four of us, the three panelists for this discussion and finally let me thank again the people who've worked behind the scenes. I've already noted that Beth is one of the chapter authors. Roger for the tremendous job in editing all of these various inputs and my colleague, Josephine, for being the tireless worker in the background.

Thank you very much and have a good day.

\* \* \* \* \*

47

CERTIFICATE OF NOTARY PUBLIC

I, Carleton J. Anderson, III do hereby certify that the forgoing electronic

file when originally transmitted was reduced to text at my direction; that said transcript is

a true record of the proceedings therein referenced; that I am neither counsel for, related

to, nor employed by any of the parties to the action in which these proceedings were

taken; and, furthermore, that I am neither a relative or employee of any attorney or

counsel employed by the parties hereto, nor financially or otherwise interested in the

outcome of this action.

Carleton J. Anderson, III

(Signature and Seal on File)

Notary Public in and for the Commonwealth of Virginia

Commission No. 351998

Expires: November 30, 2012