

# PROGRESS IN CHILD WELL-BEING

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Disclaimer: The views presented in this paper are those of the author(s) and do not necessarily represent the views of ODI, Save the Children or UNICEF.

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# LIST OF ABBREVIATIONS

3iE	International Initiative for Impact Evaluation
AfDB	African Development Bank
AIDS	Acquired Immune Deficiency Syndrome
ALC	Accelerated Learning Class
ARV	Antiretroviral
AusAID	Australian Agency for International Development
BRAC	Building Resources Across Communities
CASH	Know Your Child Programme
CCT	Conditional Cash Transfer
CDC	Centres for Disease Control
CEmOC	Comprehensive Emergency Obstetric Care
CPN	Child Protection Network
CPRC	Chronic Poverty Research Centre
CRS	Creditor Reporting System
CSUCS	Coalition to Stop the Use of Child Soldiers
DAC	Development Assistance Committee
DDR	Disarmament, Demobilisation and Reintegration
DFID	UK Department for International Development
DHS	Demographic Health Survey
EC	European Commission
ECLAC	Economic and Social Commission for Latin America and the Caribbean
ECD	Early Childhood Development
EHCP	Essential Healthcare Programme
EPI	Expanded Programme on Immunisation
EU	European Union
FDI	Foreign Direct Investment
FGM/C	Female Genital Mutilation/Cutting
FIT	Fit for School
GAVI	Global Alliance for Vaccines and Immunization
GDP	Gross Domestic Product
GIZ	German Agency for International Cooperation
GoB	Government of Bangladesh
HAART	Highly Active Antiretroviral Therapy
HCFP	Healthcare Fund for the Poor
HIV	Human Immunodeficiency Virus
HRRAC	Human Rights Research and Advocacy Consortium
IDA	International Development Association
IFSN	International Food Security Network

ILO	International Labour Organization
IMCI	Integrated Management of Childhood Illness
IMF	International Monetary Fund
INDUS	Indo-US Child Labour Project
IPEC	International Programme for the Elimination of Child Labour
IRC	International Rescue Committee
JUNJI	National Nursery Schools Council Programme
MDG	Millennium Development Goal
MICS	Multiple Indicator Cluster Survey
MMWR	Morbidity and Mortality Weekly Report
MNCH	Maternal, Newborn and Child Health
MoFED	Ministry of Finance and Economic Development
MoH	Ministry of Health
MOLISA	Ministry of Labour, Invalids and Social Affairs
NCLP	National Child Labour Project
NCPCR	National Commission for the Protection of Child Rights
NGO	Non-governmental Organisation
ODA	Official Development Assistance
ODI	Overseas Development Institute
OECD	Organisation for Economic Co-operation and Development
ORT	Oral Rehydration Therapy
PBS	Protecting Basic Services
PMNCH	Partnership for Maternal, Newborn and Child Health
PMTCT	Prevention of Mother-to-child Transmission
PPP	Purchasing Power Parity
SLTRC	Sierra Leone Truth and Reconciliation Commission
SRV	Socialist Republic of Vietnam
SWAp	Sector-wide Approach
UCW	Understanding Child Work
UK	United Kingdom
UN	United Nations
UNAIDS	Joint UN Programme on HIV/AIDS
UNCRC	UN Convention on the Rights of the Child
UNDP	UN Development Programme
UNESCO	UN Educational, Scientific and Cultural Organization
UNFPA	UN Population Fund
UNHCR	UN High Commissioner for Refugees
UNIAGCME	UN Inter-Agency Group on Child Mortality Estimation
UNICEF	UN Children's Fund
UNSCN	UN Standing Committee on Nutrition
US	United States
USAID	US Agency for International Development
USDoL	US Department of Labor
VASS	Vietnamese Academy of Social Sciences
WFP	World Food Programme
WHO	World Health Organization

# EXECUTIVE SUMMARY

## REMARKABLE PROGRESS

The world has made remarkable progress in improving the lives of millions of children over the past two decades.

- 12,000 fewer children under five died every day in 2010 than in 1990.
- Stunting – damage to children’s physical and cognitive development caused by malnutrition – declined in developing countries from 45% to 28% between 1990 and 2008, while the prevalence of underweight children also fell.
- Fewer children are becoming infected with HIV or dying of AIDS.
- The number of children enrolled in pre-primary education worldwide increased from 112 to 157 million between 1999 and 2009.
- From 1999 to 2009 an additional 56 million children enrolled in primary school and the number of out-of-school primary-age children decreased by 38 million.
- Globally, girls now make up 53% of out-of-school primary-age children, compared with 58% in 1990. More children are being registered at birth, and rates of child marriage and child labour have gone down in many countries.
- The proportion of adolescents of lower secondary age who were out of school worldwide fell by 21 per cent from 1999 to 2009.
- More children are being registered at birth, and rates of child marriage and child labour have gone down in many countries.

## Development works

This report analyses the improvements to children’s lives during the past two decades in five sectors: health, nutrition, water and sanitation, education and child protection. The extraordinary progress achieved on many fronts should be celebrated. It’s a clear demonstration that, when the right steps and approaches are taken, ‘development works’.

Building on this evidence, this report makes a compelling case for greater investment in ‘child-sensitive’ development. It sets out the drivers of change and the key steps to achieving progress.

## THE CASE FOR INVESTING IN CHILDREN

The moral case for investing in children is compelling. In a world so rich in resources, know-how and technology, it is unacceptable that we allow today’s levels of child deprivation to continue.

World leaders have made commitments to children through the UN Convention on the Rights of the Child (UNCRC), which 192 states have ratified, and the Millennium Declaration. Investing in child-sensitive development is a key mechanism for realising children’s rights and meeting the Millennium Development Goals (MDGs).

Investing in children’s well-being also has significant potential pay-offs for economic growth: greater productivity, reduced population growth and lower child and infant mortality. It is crucial in breaking the cycle of intergenerational poverty.

- Good infant and child nutrition leads to an estimated 2-3% growth in the economic wealth of developing countries (UNSCN, 2010).
- Tackling malnutrition in early life can increase lifetime earnings by 20% (Grantham-Macregor et al., 2007, in Save the Children, 2011b).

Tackling malnutrition in early life can increase lifetime earnings by 20% (Grantham-Macregor et al., 2007, in Save the Children, 2011b).

Investing in adolescents means countries are better placed to reap demographic dividends as a skilled youth cohort reaches adulthood and contributes to the economy and society, and helps build social and political cohesion.



## SUCCESS STORIES

The report includes case studies of countries that have made strong progress in improving children's well-being. For example:

**Bangladesh** has achieved remarkable reductions in child mortality, following sustained investment in child health.

**Brazil** has dramatically reduced the prevalence of stunting and underweight in children through a package of interventions to improve children's nutrition (including better access to food and promoting income-generating activities among poor families) in conjunction with a large-scale cash transfer scheme.

**Botswana** saw a steep decline in the proportion of children infected with HIV after it introduced a programme to reduce mother-to-child transmission of HIV, including free HIV testing for pregnant women and free antiretrovirals for those found to be HIV-positive.

**Ethiopia** has seen a dramatic rise in the number of children enrolled at primary school, having increased spending on education, with donor assistance. The government invested in more facilities, abolished school fees, introduced programmes to reach disadvantaged children, and took action to reduce gender inequalities and improve education quality.

**Vietnam** has achieved one of the sharpest declines in absolute poverty in recent decades, due in part to an explicitly child-focused development strategy, with increased spending on children's health, nutrition, education and access to clean water and sanitation.

**Other case studies** in the report focus on reducing inequalities through ECD programmes (Chile), child protection in post-conflict situations (Sierra Leone), child labour (India), and health initiatives delivered in schools (Philippines).

## WHAT DRIVES PROGRESS?

### Government commitment

Strong political leadership – often from the president – is critical, as demonstrated by Brazil's improvements in health, nutrition and poverty reduction and by Ethiopia's progress on education. This commitment needs to be translated into adequately resourced programmes that can sustain their momentum even through changes of government (see case studies on Bangladesh and Brazil).

It's vital that government addresses underlying issues, such as poverty and inequality, alongside its action on health, education, nutrition, child protection, and water and sanitation. For example, improving child survival rates depends on tackling the underlying social determinants of ill health, such as poverty and gender inequality, at the same time as strengthening health systems to make them more accessible to poor families and to improve the quality of healthcare they provide to children and mothers.

Developing a supportive policy environment is particularly difficult in fragile states and conflict-affected countries. The report identifies some positive examples, such as ceasefires to allow immunisation

of infants, and collaborative working between parties in Sierra Leone and Afghanistan. Where governance is weak, non-state provision of services by donors and non-governmental organisations (NGOs) has a crucial role to play.

### Social investment and economic growth

High levels of economic growth are neither necessary nor sufficient to bring about improvements in children's well-being. However, stagnation and economic decline are associated with a decline in their well-being.

Our case studies (on Bangladesh, Brazil, Ethiopia and Vietnam) and sectoral analysis indicate that, where economic growth has led to greater resources for key sectors and to social investment, this has helped bring about improvements. However, ambitious sector policies, with adequately resourced programmes and capacity to implement them, have been particularly important in converting economic growth into improvements in children's well-being.

In addition, redistributive economic policies that increase households' disposable incomes are beneficial for increased investment in nutrition, child health and education. They are also key to protecting

the most vulnerable at times of economic crisis. In Brazil, higher minimum wages and enhanced social protection were crucial for achieving reduced rates of malnutrition (evident in the lower numbers of stunted and underweight children).

### Development assistance

Development assistance plays a key role in improving children's well-being, particularly in low-income countries. Our analysis demonstrates that those countries in sub-Saharan Africa that received the most aid over the last decade also made the most progress in child well-being. Countries that received large amounts of aid typically reduced childhood malnutrition by an additional two percentage points over ten years, and infant mortality by four deaths per 1,000. Replicating this progress across all of sub-Saharan Africa would prevent 2.7 million children from becoming malnourished and save 63,000 young children's lives each year.<sup>1</sup>

Many low- and lower-income countries cannot afford to allocate enough resources to make significant progress across different sectors. In some low-income African countries, aid provides as much as 25% of the education budget. By contrast, in many middle-income countries, aid is essentially catalytic, helping to promote knowledge-sharing on good practices.

In both contexts, development assistance is most effective for children where national commitments to child well-being already exist. In these circumstances, development assistance can strengthen and facilitate the implementation of effective programmes and services.

### Multidimensional, well-planned and implemented programmes

Progress for children has occurred where governments have translated ambitious policy commitments into detailed programmes that make links between sectors, and have invested in the capacity to implement them (see case studies on Brazil, Chile, Ethiopia and Vietnam). In aid-recipient countries, donor alignment behind these strategies has provided vital resources and avoided competing donor demands.

Child well-being indicators have often improved most where there has been simultaneous investment in education, health, poverty reduction, and water and sanitation. The combined effect can be significantly more than the effect of interventions in individual

sectors (Mehrotra, 2004). Sequencing of investment can be important; typically, early investment in education underpins later gains in health and nutrition (Mehrotra, 2004; see case study on Brazil).

A sharp focus on disadvantaged groups – within both middle-income and poor countries – is particularly important in addressing social exclusion. Extending services to disadvantaged groups in remote rural areas or under-served slums can be resource-intensive. However, given that levels of well-being are often much lower among disadvantaged groups, investing in them as a matter of priority can be highly cost-effective in terms of overall development impact, returns on investment, and increased likelihood of meeting the MDGs.

Vietnam's efforts to target programmes at disadvantaged minority ethnic groups, Bangladesh's experience in reducing sex differentials in child mortality, and Brazil's experience in reducing malnutrition and child mortality among the poorest quintiles and poorest parts of the country are instructive.

It is crucial that governments acknowledge the role that NGOs and donors can play in filling gaps in government provision, working collaboratively with government structures (see box 7 on Afghanistan and case studies 7 and 8 on Sierra Leone and India respectively).

Social protection programmes (particularly cash transfers) are a single type of intervention that can contribute to improvements in children's well-being on several fronts simultaneously – reducing rates of income poverty, improving school attendance rates and contributing to reduced child labour and improved health and nutrition. However, social protection programmes should not be considered a magic bullet. Their effectiveness in improving child well-being depends on the existence of adequate health and education services.

### Reducing inequities, including gender inequality

Progress in child well-being has often been greatest where there has been an explicit emphasis on directing resources to and improving the situation of the poorest and most marginalised groups.

Conventional wisdom has been that more lives are saved, protected and enhanced in poor countries by focusing on those individuals who are closer to

<sup>1</sup> These findings are based on a correlation and are not proof that aid caused these improvements. Nevertheless, there is clearly an association between aid and improved child well-being.

the poverty line, or closer to meeting a particular development target. However, there is evidence that this is not always the case. Important gains can be achieved by focusing on the most vulnerable and marginalised. This is because, for example, the proportion of children in excluded populations within countries is generally larger, owing to higher fertility rates, or because more children in these groups die of preventable or treatable diseases. Examples in this report of equitable development strategies where improvements have been concentrated among the poorest include Brazil and Vietnam.

Progress on gender equality has played a key role in improving children's well-being. Improvements in girls' education rates in Brazil and Bangladesh, for example, have been critical to improvements in child health, nutrition and child protection. Each additional year of girls' education can reduce child mortality by 9%.

### New technology and innovation

Technology and innovation offer the opportunity for multiplied impact, and for greater efficiencies and accountability along the spectrum of development work. The rapid growth of telecommunications, in particular, has promoted the dissemination of key ideas, such as the value of investing in children, and of self-help on, for example, health. It has also helped improve livelihoods – particularly in the most remote and underserved communities. The growing capacity for local innovation and local development solutions to global problems is a true, scalable driver of change.

## WHAT REMAINS TO BE DONE?

**Progress in children's well-being has lagged behind in some areas** – in particular, nutrition, water and sanitation, and protecting children from abuse and exploitation.

**The challenges are on a large scale.** Global child mortality remains extremely high. In 2010, only 42% of babies with HIV/AIDS received life-saving antiretroviral drugs (UNAIDS, 2011). A total of 67 million primary school-age children worldwide were not in school in 2008; and if current trends continue, with population growth, there could be 72 million children<sup>2</sup> out of school in 2015 (UNESCO, 2011). In sub-Saharan Africa and the Middle East and North Africa, gross enrolment rates for pre-primary

education remain extremely low; in 2009 they were 18% and 22% respectively (UNICEF, 2009).

**Inequality within and between countries is growing.** Many of the worst indicators on children's well-being, such as high child mortality or malnutrition rates, are concentrated in low-income countries, and/or in the most disadvantaged and marginalised communities within countries.

The poorest children are at most risk of early death, malnutrition, school non-attendance and violations of rights. Gender inequalities remain, in access to education, child survival and, in some countries, nutrition. Globally, girls are more likely to be missing out on school, although boys are at greater risk of involvement in hazardous labour, and adolescent boys and young men are at the greatest risk of violent death. Disparities in progress between urban and rural areas, and problems in slums within urban areas, also remain daunting, and the needs of the poorest of the poor – and those disadvantaged and pervasively discriminated against because of their sex, age, ethnicity or disability – must be addressed.

**Progress has been particularly slow in conflict-affected countries.** These countries are home to 18% of the world's primary school-age children, but 42% of the world's out-of-school primary school-age children (UNESCO, 2011). Almost 70% of the countries with the highest burden of child mortality have experienced conflict in the past two decades (Save the Children, 2010a).

## RECOMMENDATIONS

Our analysis identifies five key approaches to achieving progress in children's well-being:

- 1. Government commitment, with the state acting where possible as both a provider and regulator of programmes and services**  
Strong political leadership is crucial to improve children's well-being. This needs to be supported by clear, widely accepted sector strategies, which donors and NGOs align behind and, where necessary, fill gaps in government provision. Examples of success include Chile's successful early childhood development programme, and Brazil and Mexico's pioneering cash transfer programmes.

<sup>2</sup> Out-of-school children refers to children of primary school age not enrolled in either primary or secondary school. The ages of children concerned vary from country to country depending on different ages for entry and lengths of the primary cycle.

## **2. Development assistance that is focused on key priorities and aligned and supportive of government strategies.**

Development assistance works best where there are national level commitments to pursue child development and there are functional programmes and services that can be scaled up. In this context, external assistance can catalyse progress and strengthen outcomes. In Bangladesh, alignment of donor support with the government's health strategy has allowed for a more coherent approach to financing the sector..

## **3. Economic growth alongside ambitious, fairly shared social and economic policies.**

Progress in child well-being requires significant financial investment, usually through a combination of increased investment by national governments, and more aid. Increased government commitments have been facilitated by economic growth (eg, in Bangladesh and Brazil), or even at times of austerity, by prioritising and/or protecting particular areas within government expenditure (eg, education in Ethiopia received an increasing share of the budget over a key period). Aid has been important in lower-income and lower-middle-income countries, and also in some upper-middle-income countries for specific issues (eg, in helping to address high HIV rates in Botswana). Effective aid has often been aligned with government-led sector development (eg, in Bangladesh and Ethiopia).

## **4. Interventions that are integrated across different sectors, and the development of multi-year social protection programmes are introduced.**

Investment in several aspects of children's well-being, either in sequence or simultaneously, has had strong pay-offs. For example, Brazil's progress

in nutrition was facilitated by its prior investment in education, which led to an educated cohort of mothers able to act on nutritional and child health advice, and contributed to poverty reduction. Vietnam shows the pay-offs from simultaneous investment in education, healthcare, and water and sanitation. These cross-cutting investments are optimal for progress in the multiple dimensions of child well-being and for the achievement of many rights simultaneously.

## **5. A greater focus on addressing inequalities in access to services and on extending protection to the poorest children and more impoverished communities within countries.**

Well-designed investment in child well-being that focuses resources on the poorest and most marginalised communities through an 'equity approach' has often led to the greatest progress in child well-being. Excluded populations within countries generally have a higher proportion of children, owing to higher fertility rates. And a higher proportion of children in these groups often die of preventable or treatable diseases. The report cites equitable development strategies in Brazil and Vietnam, where improvements have been concentrated among the poorest. Reducing inequality also fosters greater social cohesion and can help break intergenerational poverty cycles. For example, Ghana and Niger have made swift progress in child survival by improving the quality and accessibility of healthcare, typically by making it free to pregnant women and children. In Tanzania, Ethiopia, Ghana and Mozambique school drop-out was substantially reduced after primary schools fees were abolished.

# I INTRODUCTION

## I.1 THE CONTEXT

There has been significant progress on child rights and well-being in developing countries in the past few decades, with major gains in some areas of well-being. For example:

- One-third fewer children under five died in 2010 as compared with 1990.
- Between 1999 and 2009, an additional 58 million children enrolled in primary school and the number of out-of-school primary-age children decreased by 39 million.
- The number of children enrolled in pre-primary education worldwide increased from 113 million in 1999 to 157 million in 2009.
- The proportion of adolescents of lower secondary age who were out of school worldwide fell by 21% between 1999 and 2009.

In 2010, at the Millennium Development Goals (MDGs) Summit world leaders reaffirmed their commitment to the MDGs and called for intensified collective action, a reaffirmed global partnership, and the continuation of proven successful approaches after 2010 (United Nations, 2010). This report synthesises approaches that could be adopted or expanded to achieve greater progress on child well-being. Given how much can be achieved through child-sensitive policies and programmes, the report aims to encourage governments and donors to strengthen their support to child-sensitive development.

## I.2 WHY INVEST IN CHILDREN?

### Economic arguments

Expenditure on policies and programmes that foster universal and good-quality education and health services, boost nutrition and increase access to improved water and sanitation represents an investment in human capital. It increases individuals' productive capacities and therefore their potential income (Becker, 1993) and their contribution to overall development. Social investment underpins

economic development and productivity (as in East Asia's rapid growth in the 1970s and 1980s, for example). Educated, qualified and healthy workers can better contribute to economic growth, since they are better equipped to assimilate the new knowledge and skills required by a rapidly changing economic environment. In turn, economic growth – when distributed equitably – widens the resource base of a society; this enables a virtuous cycle of sustained investments in people and increases in productivity, which feed back into economic growth (Mehrotra and Jolly, 1997; see also Case Study 9 on Vietnam for a discussion). Mutually supportive economic and social policy can reduce inequality, facilitate economic growth, and lead to greater poverty reduction.

Investment in children – via social services, and by ensuring that households have adequate livelihoods – can play a particular role in breaking intergenerational poverty cycles (Harper et al., 2003). For example, ensuring adequate nutrition for children under two can have major economic spin-offs in terms of health gains, better education completion rates and higher earnings in later life. Experimental evidence suggests that tackling malnutrition in early life can increase adult earnings by an average of 20% (Grantham-Macgregor et al., 2007, in Save the Children, 2011b). The health burden and poor productivity associated with malnutrition are estimated to cost poor countries 2–3% of gross domestic product (GDP) every year (UNSCN, 2010). World Bank analysis indicates that if Ugandan girls who currently complete only primary school were to complete secondary school, they would contribute an additional 34% of GDP over their working lives (Hempel and Cunningham, 2010).

### Ethical arguments

It is simply morally unacceptable that, in a world with the money, know-how and technology we have at our disposal, 7.6 million children under five die annually of largely preventable diseases, 67 million children miss out on their right to basic education and millions more endure schooling of such poor quality that it fails to equip them with basic literacy and numeracy.



Investment in key social sectors should be an ethical imperative for any country that upholds human rights, since it is a key instrument in the implementation of social and economic rights. Moreover, 192 states have ratified the UN Convention on the Rights of the Child (UNCRC). Article 4 of the Convention establishes that “States Parties have the obligation of undertaking measures to the maximum extent of their available resources and, where needed, within the framework of international cooperation” to ensure the fulfilment of children’s rights (UN, 1989). This statement obliges both governments and the international community to contribute to the achievement of children’s rights, by incorporating provisions related to these rights into national legal and policy frameworks and programme and expenditure plans.

### Political arguments

Inadequate social investment, high levels of inequality and severe poverty together hinder development and can undermine social cohesion, as has been seen in popular protests in several Arab countries in 2011/12. Social investment and sustained human development, on the other hand, widen opportunities for broad sectors of the population, opening up channels for social mobility and generating stable processes of social integration.

To accelerate the progress already achieved, as documented in Sections 2 and 4, investing in children should be upheld as an essential priority, to achieve the rights of children today and to contribute to more stable and prosperous countries in the near future.

## 1.3 METHODOLOGY AND FOCUS OF REPORT

This report is based on a desk review and analysis of primary and secondary data carried out through 30 person-days between August and October 2011. It was motivated by a desire to identify trends in child well-being in the past two decades, document successes and identify the factors that contributed to

this, with a particular emphasis on resourcing and the role of aid. The research involved collating existing evidence on trends in child well-being, identifying case studies of significant improvements in child well-being and analysing the factors underpinning successes, on the basis of secondary sources. This was complemented by analysis of primary data on trends in aid to particular sectors and countries and on the relationship between aid and aspects of child well-being.

Given the short time available for this project, the report is intended to paint a ‘broad brushstroke’ picture of research findings on all the above areas. Some areas, such as governance, where there is a large literature, cannot be discussed in depth, despite their importance to child well-being.

The report provides an overview of trends in income poverty globally (Section 2). It then explores progress achieved in five areas that are linked closely to child well-being: health (focusing on child survival and prevention of mother-to-child transmission of HIV/AIDS); nutrition; water and sanitation; education (including early childhood development); and child protection (Section 3). For each area, we present an overview of progress in the developing world, highlight the factors that have contributed to progress and outline some of the challenges that remain. One or more case studies of achievements in child well-being are discussed in relation to each area. These were selected to reflect a diversity of contexts (low-income, middle-income and fragile states, and different regions of the world). An additional case study illustrates a multidimensional child development policy approach to show the benefits of employing a comprehensive policy framework that promotes synergies between different aspects of child development. Section 4 consolidates the analysis of the key drivers of improvements in child well-being and Section 5 outlines conclusions and recommendations for policy and programming. Appendix 1 presents a number of graphs on trends in child well-being and Appendix 2 outlines the methodology used for the primary quantitative analysis.

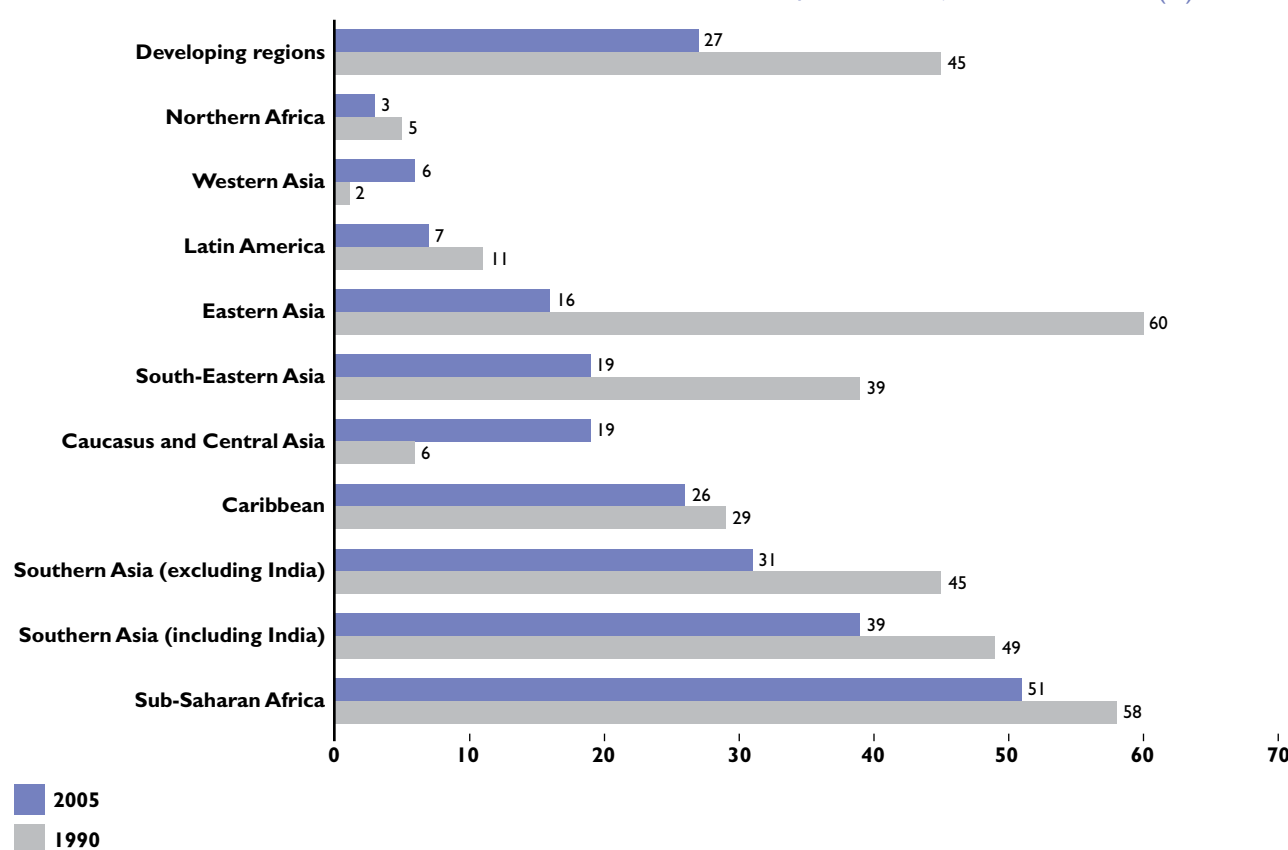
## 2 GLOBAL OVERVIEW

Significant strides have been made toward achieving the first target of MDG1 – halving the number of people living under the monetary poverty line of \$1 a day by 2015. Between 1990 and 2005, the number of people in developing countries living on less than \$1.25<sup>3</sup> a day dropped by 400 million – down to 1.4 billion – and the global poverty rate declined from 46% to 27% (UN, 2011) (Figure 1). The UN estimates that the world as a whole is on track towards meeting the goal despite deceleration in progress during the 2008/09 economic crisis. Nevertheless, progress is uneven. Sub-Saharan Africa, west Asia and parts of central Asia and eastern Europe are not expected to meet the MDG target (ibid.).

Using more recent data, Chandy and Gertz (2011) estimate that, between 2005 and 2010, the total number of poor people around the world fell by nearly half a billion to under 900 million in 2010. This means that, while it took 25 years to reduce poverty by half a billion people up to 2005, the same accomplishment took 6 years from 2005. Their analysis links progress to high and sustained economic growth achieved throughout most of the developing world over the past six years (ibid.).

This trend of monetary poverty reduction is occurring in most regions of the world, albeit at different paces. Some of the fastest economic growth and the most dramatic reductions in poverty

FIGURE 1: PROPORTION OF PEOPLE LIVING ON LESS THAN \$1.25 A DAY, 1990 AND 2005 (%)



Source: UN (2011).

<sup>3</sup> The \$1.25 poverty line is measured at 2005 prices in purchasing power parity (PPP) \$, <http://mdgs.un.org/unsd/mdg/Metadata.aspx?IndicatorId=0&SeriesId=584> (accessed 9 February 2012).

have been in East Asia (where the proportion of the population living in poverty went from 60% in 1990 to 16% in 2005) and in South Asia (49% in 1990 to 39% in 2005), including in countries with both high and low initial income poverty rates. Many sub-Saharan African countries have also made significant progress (UN, 2011). In 2008, the region as a whole moved below the 50% poverty rate, and its number of poor has been falling for the first time on record (Chandy and Gertz, 2011). The global extreme poverty rate is expected to fall below 36% by 2015 (UN, 2011).

China and India alone are responsible for the reduction by three-quarters in the world's poor expected to take place over the period 2005–2015. Other countries home to large poor populations, many of them now classed as lower-middle-income countries – Bangladesh, Brazil, Indonesia, Pakistan and Vietnam, and Ethiopia (a low-income country) have also experienced significant reductions in their poverty rates. However, because most countries with large poor populations are considered middle-income as a result of growth in recent years, 72% of the world's poor are now living in middle-income countries; the same is true of 71% of malnourished children and 56% of primary-age children out of school (Sumner, 2010).

Progress has not been uniform. In one-third of countries there have been limited improvements regarding monetary poverty (ODI, 2010a) and an increase in the number of people living in chronic poverty. There is a danger that poverty reduction may be achieved largely by addressing the needs of those closest to the poverty line, who are comparatively easier and less expensive to reach (CPRC, 2009). This would explain worsening levels of chronic poverty amid a reduction in overall poverty levels. Meanwhile,

income inequality is growing. Poor countries' economies have been growing more slowly than rich ones, which has left global income distribution considerably skewed (Kenny, 2011). Furthermore, while stable countries are showing progress, poverty and child deprivation are becoming increasingly concentrated in fragile and conflict-afflicted states.<sup>4</sup>

In addition to reductions in monetary poverty, every region of the world, including both those with stagnant economies and those with vibrant economies, has seen improvements in average levels of health and education over the past half century (see Section 3). Similarly, most countries, regardless of economic performance, have seen progress on gender equality and civil and political rights. Progress on quality of life has been particularly rapid in some of the countries previously the furthest behind (Kenny, 2011). This indicates that, with commitment, rapid advances are possible. Despite this, there are some concerns: for example, global violence is widespread and education quality has remained extremely low in many developing countries. Nevertheless, a growing number of people live a better quality of life compared with 50 or more years ago (*ibid.*).

Children are disproportionately likely to be living in poverty (since poor households tend to have more children than non-poor households) and therefore are likely to have benefited from the reduction in overall poverty rates noted above. However, monetary poverty measures do not capture the actual deprivations children may face<sup>5</sup> (such as poor health, malnutrition, lack of access to safe water or sanitation, etc), which are affected by a range of factors beyond income and wealth. Trends in these different aspects of child well-being, and the factors underlying those trends, are the focus of the next section.

<sup>4</sup> Estimates of the population living in fragile and conflict-affected states are contested. Chandy and Gertz (2011) estimate that 40% of the world's poor live in fragile states, and that the proportion will exceed 50% by 2015. Sumner (2010) estimates that 23% of the world's poor live in fragile states, evenly split between fragile low- and middle-income countries.

<sup>5</sup> A number of other measures and analyses have been developed in recent years to document and analyse trends in the different components of child well-being, either separately or in aggregate. These include the UN Children's Fund (UNICEF)/Bristol University measure of child poverty developed by Gordon et al. (2003), which has informed UNICEF's Global Child Poverty Study and takes into account deprivations in education, health, nutrition, water, sanitation, shelter, information and income/consumption; and Save the Children UK's Child Development Index, which involves analysis of nutrition, child mortality and education (Hague, 2008). Other relevant measures include those focused on human development more broadly, such as the UN Development Programme's (UNDP's) Multidimensional Poverty Index, which, though not child-specific, incorporates dimensions such as nutrition, child mortality, education and living standards (<http://hdr.undp.org/en/statistics/mpi/> (accessed 11 February 2012)) or MDG Progress Charts, such as [http://mdgs.un.org/unsd/mdg/Resources/Static/Products/Progress2011/11-31330%20%28E%29%20MDG%20Report%202011\\_Progress%20Chart%20LR.pdf](http://mdgs.un.org/unsd/mdg/Resources/Static/Products/Progress2011/11-31330%20%28E%29%20MDG%20Report%202011_Progress%20Chart%20LR.pdf) (accessed 11 February 2012).



# 3 PROGRESS ON KEY CHILD-SENSITIVE SECTORS

This section presents an overview of progress in five key sectors for children's well-being, illustrated by case studies, which identify promising practices that have contributed to positive achievements for children.

## 3.1 CHILD SURVIVAL

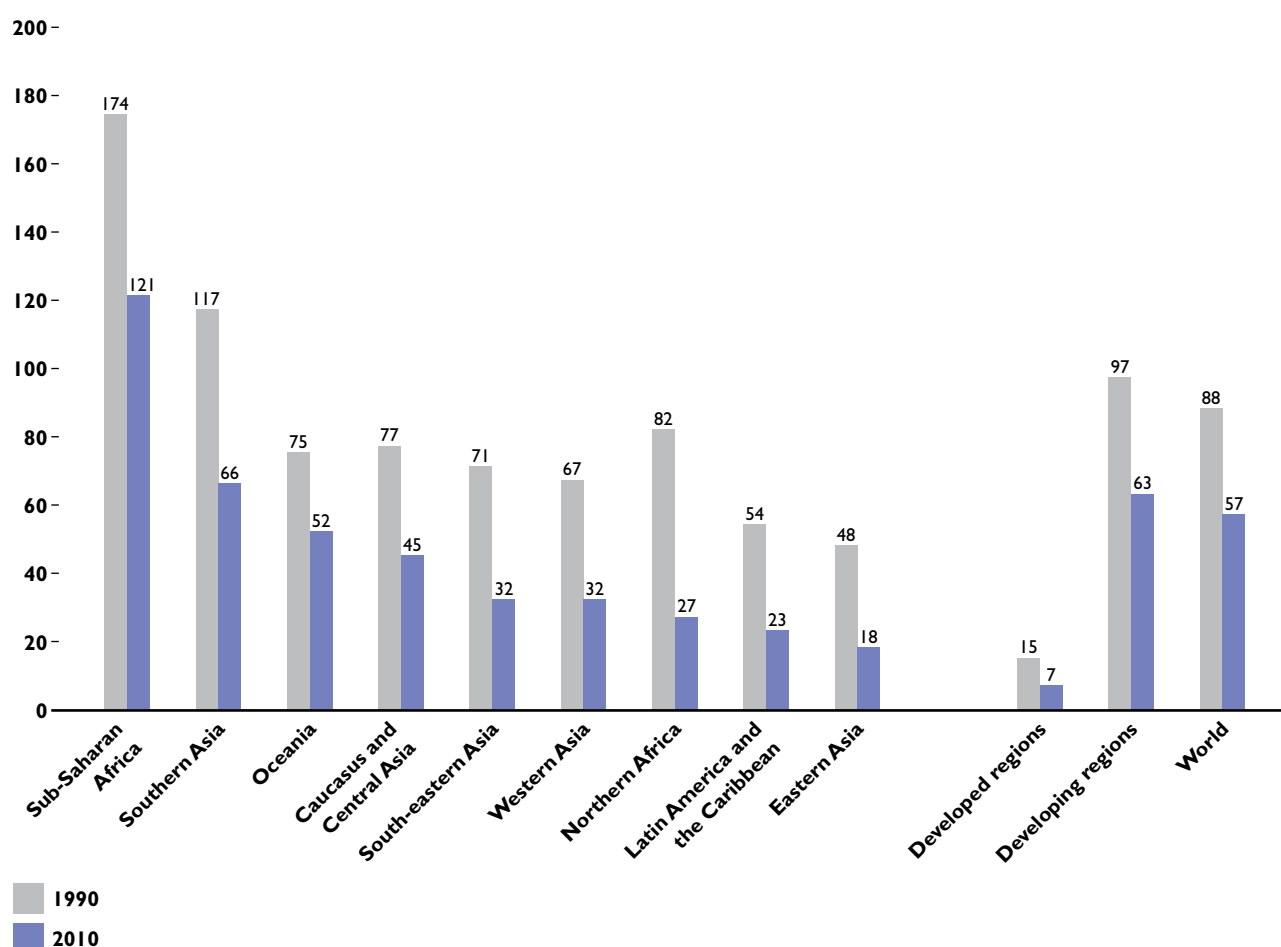
Child mortality rates have fallen substantially since 1990, when 12.4 million children under five died (UNIAGCME, 2010). In 2009, nearly 12,000 fewer children died every day than in 1990. Globally, the

annual rate of reduction doubled from 1.4% in the 1990s to 2.8% in the 2000s (ibid.). It also doubled in sub-Saharan Africa over this period (UNIAGCME, 2011). Figure 2 shows progress by region (see also Figure A.1, Appendix 1).

Ten of the countries with the highest child mortality rates have managed to cut child deaths by half. One of these, Bangladesh, achieved a 65% reduction in child mortality between 1990 and 2009. How this was achieved is discussed in Case Study 1 below.

Although there has been significant progress in reducing child mortality, there is an extremely long

FIGURE 2: UNDER-FIVE MORTALITY RATE, BY MDG REGION, 1990 AND 2010 (DEATHS PER 1,000 LIVE BIRTHS)



Source: UNIGME (2011)

way to go: 7.6 million children under five died in 2010, half of whom were in sub-Saharan Africa and another third in South Asia (UNIAGCME, 2011). The subsection on ongoing challenges below discusses some ways that progress towards reducing child mortality could be achieved.

### How has progress in reducing child mortality been achieved?

Achievements in reducing child mortality have been underpinned by action both on health systems and on structural and social causes of ill-health.

#### Health system-related progress

**Equitable investment in healthcare systems** to improve the quality and increase the accessibility of healthcare is critical. Investing in provision in remote rural areas and making healthcare free to all, as in Brazil (Victora et al., 2011a), or to key groups such as pregnant women and children, as in Ghana and Niger (Save the Children, 2010a), has played an important role in extending access to care – both for antenatal, delivery and postnatal care and for other illnesses – to geographically remote and socially disadvantaged communities. Typically, this has involved investment in increasing the numbers of health workers and improving their training and skills, and in ensuring that as much vital healthcare as possible can be provided at community level.<sup>6</sup>

Integrated management of childhood illness (IMCI) at community level is another key factor. This includes improved health worker skills in treating childhood illness, sensitisation or public education on prevention of childhood illness, and promotion of greater community awareness of which types of illness need medical attention and of how to treat less serious illnesses effectively at home. For example, in Madagascar, in the areas where IMCI has been introduced, exclusive breastfeeding increased from 22% to 58%; 78% of all households began using mosquito nets and immunisation coverage leapt from 31% to 97%.<sup>7</sup>

**Use of effective preventive approaches, often driven by improvements in the availability or affordability of medical technologies:** 131 countries now have over 90% immunisation coverage

for diphtheria, tetanus and pertussis (major preventable childhood diseases), as compared with 63 countries in 1990 (MMWR, 2011) (see Box 1). There has also been significant progress in vitamin A supplementation, which, by boosting children's immunity, can reduce their risk of death from all causes by about 23% (UNSCN, 2010). In malaria-affected countries, use of insecticide-treated bed nets has been shown to be effective in preventing malaria, which is responsible for 15% of deaths of children under five worldwide (Black et al., 2010).

**Other important factors have been action on HIV/AIDS** (see Section 3.3), and **progress on social factors underlying child mortality**, including:

- increasing gender equality (for example, recognition of the proven positive link between mothers' education and their provision of healthcare for their children [World Bank, 2011]), which has increased women's capacity to direct resources towards child well-being, and to make decisions about seeking treatment for children's illnesses, including preferences for modern types of treatment over traditional (UNICEF 2007)
- women's greater participation in household decision-making, which is shown to improve children's nutrition (UNICEF 2007)
- increasing levels of girls' education, which have contributed both to women's empowerment and to improved childcare practices
- governments adopting family-friendly social protection policies that provide equitable incomes for both men and women, or that allow women to work on an equal footing with men (WHO Commission on Social Determinants of Health, 2008)
- enhanced access to safe water and sanitation and improved hygiene practices (section 3.4)
- broader poverty reduction (Section 2).

### How have these reductions been financed?

Both expenditures on maternal, newborn and child health (MNCH) and broader health sector investments are vital for child health. However, aggregate data on these expenditures are not consistently available. Approximately 85% of MNCH expenditure in Countdown<sup>15</sup> countries (the

<sup>6</sup> [www.who.int/pmnch/topics/part\\_publications/essential\\_interventions\\_18\\_01\\_2012\\_executive\\_summary.pdf](http://www.who.int/pmnch/topics/part_publications/essential_interventions_18_01_2012_executive_summary.pdf) (accessed 4 February 2012).

<sup>7</sup> [www.unicef.org/health/index\\_imcd.html](http://www.unicef.org/health/index_imcd.html) (accessed 4 February 2012).

## BOX 1: PROGRESS IN IMMUNISATION

Immunisation coverage for six major vaccine-preventable diseases – pertussis, childhood tuberculosis, tetanus, polio, measles and diphtheria – has risen significantly since the World Health Organization (WHO) began its Expanded Programme on Immunisation (EPI) in 1974. At the time, only 5% of the world's children were immunised against the six key vaccine-preventable diseases. By 1980, DTP3 (three doses of vaccinations against diphtheria, tetanus and polio) coverage in the first year of life was estimated at 20% of the world's children; it increased to an estimated 85% by the end of 2010. Polio is on the verge of eradication. Deaths from measles, a major killer of children under five, declined by 78% worldwide and by 92% in sub-Saharan Africa between 2000 and 2008 (CDC, 2009). Immunisation against tetanus has saved hundreds of thousands of mothers and newborns, and 20 low- and middle-income countries have eliminated maternal and neonatal tetanus.<sup>8</sup>

New immunisations have been introduced in recent years, protecting children against pneumococcal disease and rotavirus (the cause of 50% of diarrhoea, the foremost killer of young children). Provision of these vaccines in 40 low-income countries should avert up to 7 million deaths.<sup>9</sup>

Donors have played a significant role in progress on immunisation: WHO and UNICEF have been major funders of national immunisation programmes, typically with increasing contributions

from developing country governments over time. Since the formation in 2000 of the GAVI Alliance (formerly Global Alliance for Vaccines and Immunization; a public–private partnership dedicated to increasing levels of immunisation against vaccine-preventable diseases),<sup>10</sup> substantially larger donor funds have been mobilised for immunisation. These funds have enabled the introduction of new vaccines and increased coverage of longer-standing vaccination programmes.<sup>11</sup> The private sector has also played a key role, both in developing vaccines and in extending access. GAVI's role as catalyst and NGOs' advocacy for reduced prices were partly responsible for major drug companies agreeing in 2011 to cut prices for key vaccines in developing countries. These vaccines included rotavirus and pentavalent vaccines, which protect against diphtheria, tetanus, pertussis, hepatitis B and *Haemophilus influenzae* type B.<sup>12</sup>

Ongoing challenges: 1.7 million children still die from vaccine-preventable illnesses a year – mostly poor children in remote areas or conflict-affected countries, or those whose mothers have limited education.<sup>13</sup> Further funding and efforts are needed to achieve 90% vaccination levels for key diseases. As of the end of 2010, 23.2 million children under 12 months had not received all three recommended doses of the DTP3 vaccine and 23.7 million children in the same age group had not received a single dose of the measles vaccine.<sup>14</sup>

<sup>8</sup> [www.childinfo.org/immunization.html](http://www.childinfo.org/immunization.html) (accessed 28 September 2011).

<sup>9</sup> [www.gavialliance.org/advocacy-statistics/](http://www.gavialliance.org/advocacy-statistics/) ((accessed 29 September 2011).

<sup>10</sup> In the 1990s, there was a severe withdrawal of donor funding for immunisations after UNICEF announced the successful achievement of the 80% coverage target of the Universal Childhood Immunisation campaign. Due to a change in WHO leadership, new diseases such as HIV/AIDS, and donor fatigue, by 2000 global coverage of the six traditional vaccines had dropped to 75%, and in some places DTP3 coverage dropped to below 50% (Hardon, 2001). GAVI has played a large role in reversing this, and many countries are heavily dependent on GAVI funding. For the first five years of GAVI, existing donor and government funding for immunisations did not change significantly, but spending on routine immunisations increased by 52%, implying that GAVI is largely responsible for a major increase in vaccination coverage (Chee et al., 2007). GAVI currently plans a large-scale increase in its programme but faces funding constraints due to the economic crisis. This threatens to create funding gaps, which may jeopardise children's lives (Usher, 2010).

<sup>11</sup> [www.childinfo.org/immunization.html](http://www.childinfo.org/immunization.html) (accessed 5 October 2011).

<sup>12</sup> [www.bbc.co.uk/news/business-13665501](http://www.bbc.co.uk/news/business-13665501) (accessed 4 February 2012).

<sup>13</sup> [www.gavialliance.org/advocacy-statistics/](http://www.gavialliance.org/advocacy-statistics/) (accessed 29 September 2011).

<sup>14</sup> [www.childinfo.org/immunization.html](http://www.childinfo.org/immunization.html) (accessed 29 September 2011).

60 countries that account for 90% of child mortality) comes from domestic sources (Pitt et al., 2010). However, government resources are often tied up with financing recurrent health sector costs (including salaries), making reprioritisation difficult. As a result, aid to MNCH provides resources that can be used for specific programmes or initiatives (ibid.).

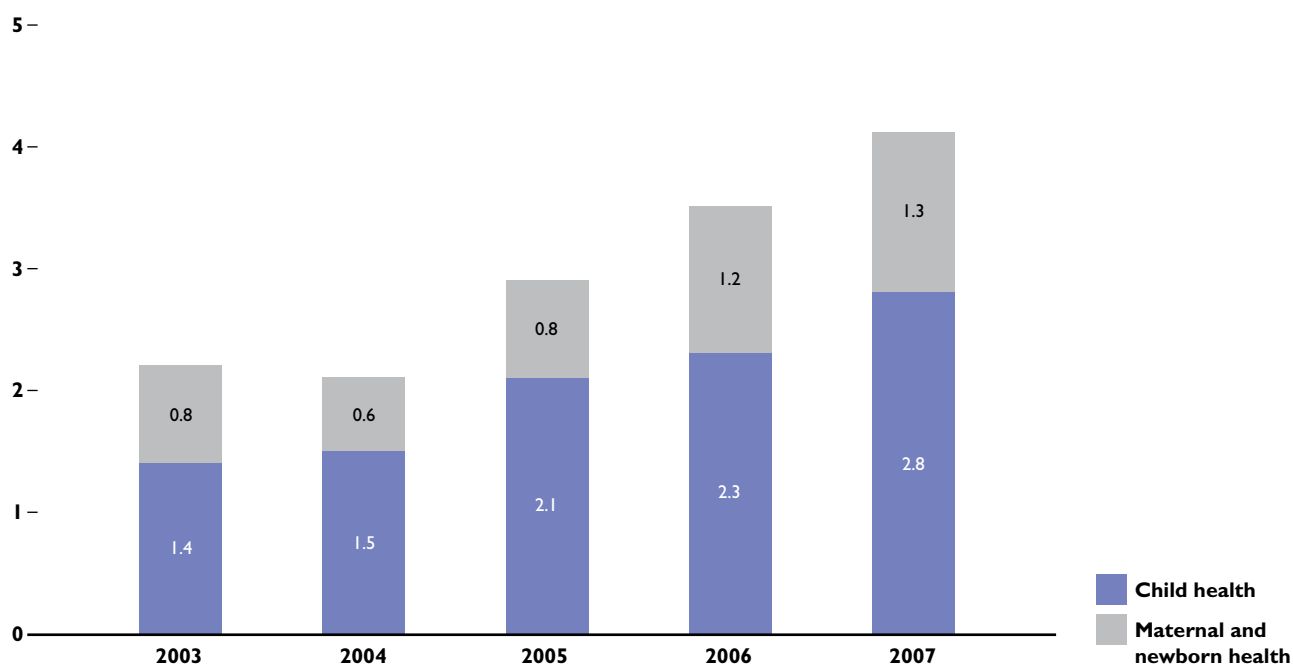
The late 2000s (before the onset of the global economic crisis)<sup>16</sup> saw an increase in the volume of aid committed to both MNCH and the health sector overall. Total official development assistance (ODA) to MNCH in 2007 was \$4.1 billion, up 16% on 2006 and nearly double the \$2.1 billion in 2003. This constituted 31% of ODA to health in 2007 (Countdown, 2010). Figure 3 outlines trends between 2003 and 2007. In particular, the contributions of two bilateral donors (the UK and the US) and two multilaterals – the Global Fund and the GAVI Alliance have increased dramatically (Pitt et al., 2010).

However, ODA to MNCH has often disproportionately favoured countries that have

small populations but are geo-strategically important. The result is that low-income countries with large populations have received much lower per capita aid (Pitt et al., 2010). Some large countries, such as Ethiopia, have made good progress on reducing child mortality despite receiving relatively little aid, but their child mortality rates remain high: 109 per 1,000 in 2008 (Countdown, 2010). While aid to MNCH has been better targeted at countries with high child mortality rates (between 150 and 199 per 1,000), those with the highest levels (of over 200 per 1,000 live births) have received much less (Countdown, 2010) (see Figure A.4, Appendix 1).<sup>17</sup>

Global health partnerships, such as the Global Fund, GAVI, Roll Back Malaria and the Partnership for Neglected Diseases have played a crucial role in mobilising funding and catalysing delivery of programmes on various aspects of healthcare in developing countries, many of direct relevance to children. These have been especially effective in 'vertical interventions', such as extending immunisation and provision of antiretrovirals

FIGURE 3: OFFICIAL DEVELOPMENT ASSISTANCE TO CHILD HEALTH AND TO MATERNAL AND NEWBORN HEALTH, COUNTDOWN COUNTRIES, 2007 (2005 \$ BILLION)



Source: Pitt et al. (2010) and others in Countdown (2010).

<sup>15</sup> Countdown to 2015: Tracking Progress in Maternal, Newborn and Child Survival is a global movement of academics, governments, international agencies, healthcare professional associations, donors and NGOs, with *The Lancet* as a key partner. It uses country-specific data to stimulate and support country progress towards achieving the health-related MDGs (in the 68 countries where 95% of child and maternal deaths occur) (Countdown, 2010).

<sup>16</sup> Data for the period after 2007 were not available.

<sup>17</sup> A breakdown of global MNCH aid by region was not available.

## BOX 2: WHAT IS THE RELATIONSHIP BETWEEN AID AND INFANT MORTALITY?

Our analysis of data from sub-Saharan Africa suggests that a typical high-aid country, receiving an additional \$20 per capita of aid per year (roughly the difference between a high-aid and a low-aid country) reduced infant mortality each year by approximately an additional 0.4 deaths per 1,000 births.<sup>19</sup> So over the course of a decade the average high-aid country reduced infant mortality by an additional 4 deaths per 1,000, compared with the average low-aid country. In 2009, there were roughly 15.7 million births in sub-Saharan Africa (UNICEF data in UNICEF, 2011b), and the average

rate of infant mortality was 46 deaths per 1,000 (our analysis). If the rate of infant mortality fell by an additional 4 per 1,000 across the region, that would save 63,000 infant lives each year.

See Appendix 2 for full details, particularly Figures A2.2 and A2.3. These are descriptive statistics, not an attempt to determine a causal relationship, and there is much variation around these averages, but the data are certainly consistent with aid having helped to reduce infant mortality.

(ARVs).<sup>18</sup> More recently, global initiatives have placed greater emphasis on integrating their support with government-led health strategies and sector plans, through sector-wide approaches (SWAps) in particular (Isenman et al., 2010). However, the emphasis of some global health partnerships on results-based disbursement can be at odds with longer-term health sector strengthening (ibid.). It can also reinforce a tendency to meet targets by reaching the easiest to reach – often better-off quintiles in less geographically remote regions (Jones et al., 2008b).

### Ongoing challenges

Around 7.6 million children under five still die every year, two-thirds of them from preventable diseases, with the poorest children most at risk (Save the Children, 2010a). The current pace of reduction is too slow to meet MDG4 – halving child mortality by 2015 – particularly in sub-Saharan Africa, South Asia and Oceania (UNIAGCME, 2011). The major killers of children – pneumonia and diarrhoea (responsible for 18% and 15% of child deaths worldwide, respectively) and measles, HIV/AIDS and malaria (together responsible for another 15%) (Black et al, 2010) – are largely preventable and can easily be targeted. Another third of child deaths worldwide are related

to underlying malnutrition (Countdown, 2010) which undermines children's growth and weakens their ability to fight infections, but which can largely be eliminated through extension of a set of nutritional/health interventions and poverty reduction (see Section 3.2).

Key areas of action include the following:

### Focusing on children at most risk of early death

Poor children with uneducated mothers, who live in rural areas (see Figure A.2, Appendix 1) or are from marginalised social and ethnic groups, are at greatest risk of early death (UNICEF, 2008). Children in conflict-affected countries are particularly vulnerable: almost 70% of the countries with the highest child mortality burden have experienced armed conflict over the past two decades (Save the Children, 2010a).

To date, in 60% of countries making progress on child survival, improvements have been concentrated among well-off groups (Save the Children, 2010a). Some countries, such as Bangladesh, have narrowed the gap between rich and poor households and between boys and girls (ODI, 2010a); Brazil has cut child deaths in the poorest regions and households

<sup>18</sup> Global initiatives have often led the extension of results-based aid into the health sector; they have been less successful at harmonising aid with government strategies (Isenman et al., 2010).

<sup>19</sup> The relationship between aid and reductions in infant mortality is statistically significant at the 1% level, either when the data are not weighted by population or when the two most populous countries, Ethiopia and Nigeria, are excluded from the analysis.

faster than in better-off households and regions (Victora et al., 2011). If the 42 developing countries that account for over 90% of child deaths made progress across all income groups at the same rate as they did for the fastest-improving income group, an additional 4 million child deaths could be averted over a 10-year period (Save the Children, 2010a). UNICEF has calculated that an equity-focused approach to child survival would prevent 60% more child deaths per \$1 million invested than the current approach does (UNICEF, 2010c).

Achieving this would require:

- **Continued investment in health systems to increase the accessibility and affordability of healthcare and to improve the quality of care provided through health worker upskilling.** Data from Countdown countries show that a median of 42% of children are correctly treated with oral rehydration therapy (ORT) and 27% with antibiotics, and 30% of malaria cases among children are correctly treated (Bhutta et al., 2010).
- A greater focus on reducing mortality among newborns and infants. Many of the improvements in recent years have been in reducing deaths among children aged between one and five. Nearly 40% of child deaths in developing countries (and half of all child deaths in south Asia) now occur in the first month of life and 70% in the first year (UNIAGCME, 2010). Three-quarters of newborn deaths could be prevented through a package of improved antenatal, obstetric and postnatal care, and community outreach (UNICEF, 2008a).<sup>20</sup>
- A greater focus on diseases with the greatest child mortality burden. Pneumonia, diarrhoea and malaria kill more than 3 million children per year and interventions reach only half of those who need them (Bhutta et al., 2010). Since poorer children are at greater risk of dying from all these diseases, action on these would also improve the equity focus of action on child survival.

### Promoting better infant and young child feeding practices

Initiation of breastfeeding within one hour of birth, exclusive breastfeeding for the first six months of life

and continued breastfeeding (with complementary food) until the child is at least two years old have the potential to prevent an estimated 19% of all under-five deaths in developing countries, more than any other preventive intervention (UNICEF, 2009a). Overall, progress on exclusive breastfeeding for the first six months has been modest, going from 33% in 1995 to 37% in 2008 (ibid.).<sup>21</sup>

However, some countries, such as Cambodia, Ghana and Madagascar, have made impressive increases in exclusive breastfeeding rates (Figure A.3, Appendix 1). Key factors have been control of infant formula marketing; maternity protection for working women; ensuring breastfeeding is initiated in maternity facilities (and no infant formula is given in facilities); building health worker capacity to offer counselling on infant and young child feeding; and mother-to-mother support groups in the community. These actions have been accompanied by communication strategies to promote breastfeeding, using multiple channels and messages tailored to the local context (UNICEF, 2009a). These successes indicate that increasing exclusive breastfeeding rates is wholly achievable, even though it represents a challenge to established cultural practices.

Other measures to improve children's nutrition are discussed in Section 3.2.

### Improving maternal health and nutrition

Worldwide, 25% of pregnant women receive no antenatal care at all and 40% give birth without a skilled birth attendant (UNICEF, 2008a), while up to 40% of pregnant women are anaemic (UNSCN, 2010), increasing the risk of mortality and/or complications and of low birth weight among their babies. Furthermore, the babies of the 358,000 women who die in childbirth or from pregnancy-related complications every year (Save the Children, 2011b) are much more likely to die themselves (UNICEF, 2008a). Improving maternal healthcare is thus another critical factor in increasing child survival rates, but one where progress has been very slow, with declines of between 0.5% and 0.8% per year (Bhutta et al., 2010).

<sup>20</sup> A package of 16 interventions recently identified by *The Lancet* has the potential to avert 72% of newborn deaths. This includes: tetanus toxoid immunisation; skilled attendants at birth; access to obstetric care; immediate and exclusive breastfeeding; drying and keeping newborns warm; access to resuscitation, if needed; special care of low-birth weight infants; and treatment of infection (UNICEF, 2008a).

<sup>21</sup> Data on trends in other aspects of infant and young child feeding were not available.



### Increasing use of healthcare services for antenatal care and delivery

Integrated packages of care for young children, including immunisation, and early treatment of childhood illnesses are necessary. Demand-side financing mechanisms such as conditional cash transfers linked to child health monitoring (see Case Study 2 on *Bolsa Família*) and maternal healthcare vouchers can increase utilisation and contribute to improved child survival.

### Greater progress on structural causes of child mortality through:

- **Increasing rates of girls' education:** Each additional year of girls' education is estimated to reduce child mortality rates by 9% (Caldwell, 1986, in UNESCO, 2011). A recent analysis attributes half of the reduction in child deaths to improvements in girls' education between 1990 and 2010 (Gakidou et al., 2010, in UNESCO, 2011). Universal secondary education could save an estimated 1.8 million children's lives in sub-Saharan Africa (UNESCO, 2011) through increasing mothers' knowledge about child care practices, health and nutrition, and their capacity to act on this knowledge.
- **Women's empowerment:** This can increase women's autonomy and ability to make independent decisions about child healthcare (ODI, 2010a).

- **Poverty reduction:** See the discussion on cash transfers (Case Study 2) and multi-sectoral poverty reduction (Section 3.8).
- **Improved access to water and sanitation:** This has the potential to prevent up to 2 million deaths of young children every year (Section 3.4).<sup>46</sup>

### Financing

Only five MDG Countdown countries currently devote over 15% of their national budget to health,<sup>47</sup> and in only five does families' own spending on health represent less than 15% of total health expenditure. (Bhutta et al., 2010), meaning that public contributions are relatively low and private contributions high. Although high levels of spending are no guarantee of good health outcomes, very low levels of per capita health spending in some countries (an average of \$13 across sub-Saharan Africa, up from \$9 in 2001 [WHO, 2011b]) are a critical constraint to improving child health outcomes.

An estimated additional \$60 billion is needed between 2009 and 2015 to implement a full package of MNCH interventions in the 68 countries with the highest child and maternal mortality levels. If both donors and governments meet financial commitments already made, the funding gap will still be \$22 billion (Countdown, 2010), so greater efforts by both governments and development partners are needed.

<sup>46</sup> Calculated from statistics in ODI (2010b).

<sup>47</sup> A commitment under the Abuja Declaration.

# CASE STUDY I

## Improvements in mother and child health in Bangladesh

Life expectancy at birth in Bangladesh has improved significantly: a baby born in 1970 could expect to live only until the age of 44, whereas a newborn in 2008 can expect to live 66 years (ODI, 2010a). During the period 1993 to 2010 the mortality rate of children under five reduced by 62% (from 126 to 48 deaths per 1,000 live births) (Figure A). Disparities between socio-economic groups have also been reduced (Figure B).

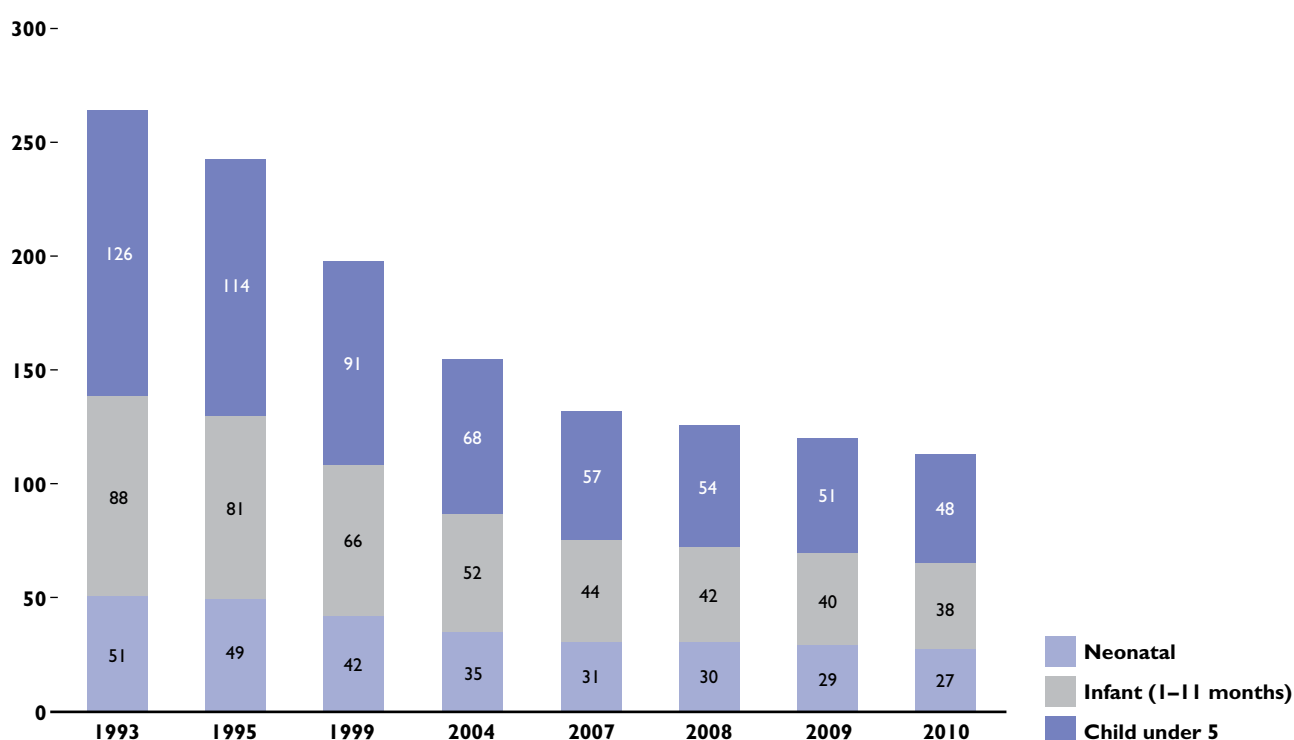
### HOW HAS PROGRESS BEEN ACHIEVED IN BANGLADESH?

Several structural and health system-related factors have contributed to improving rates of child survival:

#### Structural factors

*Progress on gender equality:* Over the past 30 years, a range of factors have contributed to a change in the position of girls and women. These include a microfinance movement that has focused largely on women; stipends to encourage girls' school attendance; social mobilisation on gender issues

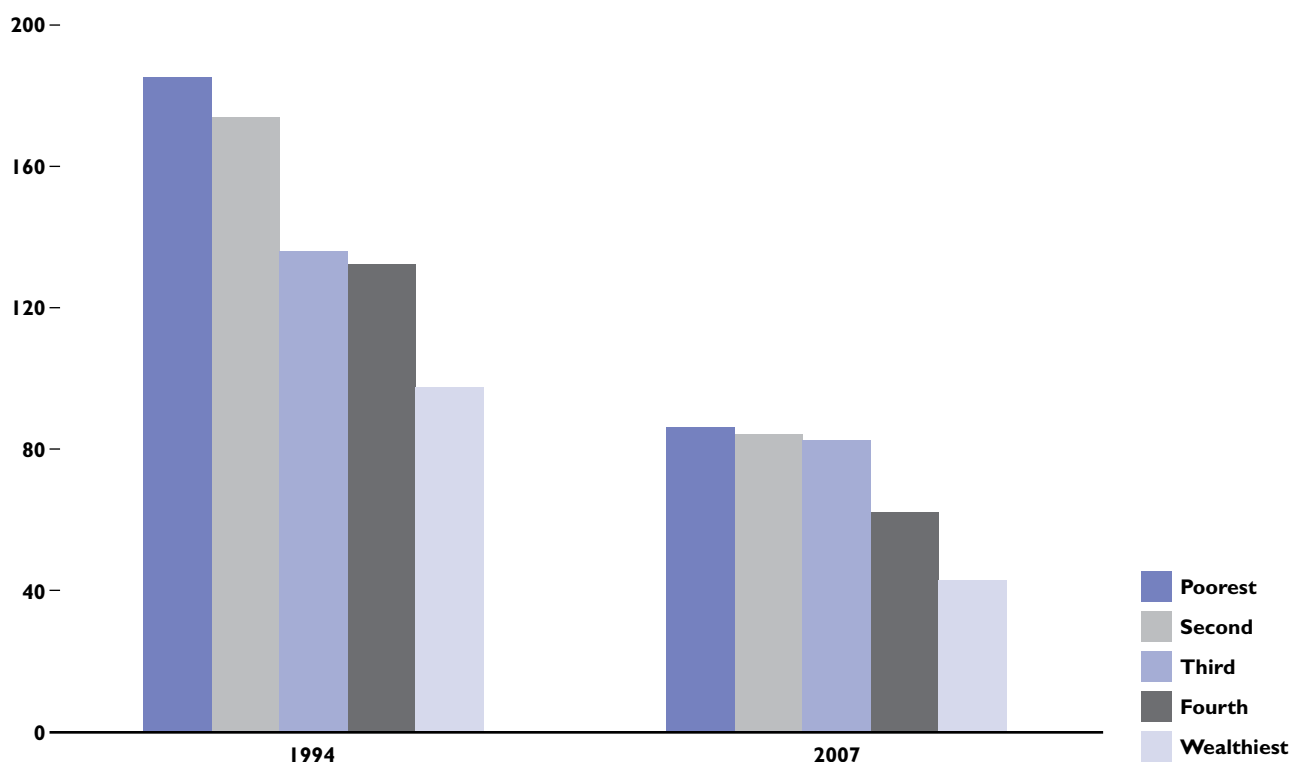
FIGURE A: UNDER-FIVE MORTALITY RATE, 1993–2007, BANGLADESH (PER 1,000 LIVE BIRTHS)



Source: Ministry of Health and Family welfare, Bangladesh statistics, [http://dmis-bd.homelinux.net/dmis/index.php?option=com\\_content&view=article&id=70&Itemid=117](http://dmis-bd.homelinux.net/dmis/index.php?option=com_content&view=article&id=70&Itemid=117).



FIGURE B: UNDER-FIVE MORTALITY RATE BY WEALTH QUINTILE, 1994 AND 2007  
(PER 1,000 LIVE BIRTHS)



Source: ODI (2010a), based on Demographic Health Survey (DHS) and Multiple Indicator Cluster Survey (MICS) data.

and on social issues more broadly, by government and NGOs; the growth of strong and outspoken women's organisations; and the growth of women's employment, particularly in the garment industry. The social value of females has increased, so that girls are now much more likely to be given equal priority in access to food, education and healthcare with their brothers. The substantial increase in girls' education (from 33% of girls attending primary school in 1970 to 82.5% in 2009 and from 13% attending secondary school in 1990 to 53% in 2009 (ODI, 2010a; MICS, 2009) has led to a cohort of mothers who are more knowledgeable than in previous generations, as well as more confident in independent decision-making concerning their children's health.

**Reduced fertility:** Bangladesh has also seen a substantial reduction in fertility rates ((from 4.54 in 1990, to 3.12 in 2000, and 2.3 in 2009),<sup>48</sup> the result of sustained efforts to ensure women's access to family planning services. This may both reflect improving child survival rates and have contributed to them, by increasing the level of household resources for food and healthcare available per child.

**Poverty reduction:** Headcount poverty rates have also fallen, from 57% in 1992 to 31.5% in 2009, increasing the resources available for food, healthcare and investment in the quality of housing.<sup>49</sup>

#### Health-system-related factors

**IMCI:** IMCI has been widely adopted and has placed increasing emphasis on the major causes of child mortality – especially measles, malaria, pneumonia, diarrhoea and malnutrition – and on improving community care of children through health worker training. An evaluation of the programme showed that the IMCI strategy had substantially improved the quality of care for a sick child. Between 1999 and 2007, there was a three-fold increase in the use of these facilities, exclusive breastfeeding in children younger than six months increased, and prevalence of stunting declined (Save the Children, 2010a). The introduction of simple, affordable ORT, using locally available sugar and salt, has played an important role in reducing diarrhoeal illness and death (BRAC, 2007).

**Immunisation:** In 1985, only 1% of children were immunised against measles, diphtheria and polio.

<sup>48</sup> From World Bank databank: <http://databank.worldbank.org/>

<sup>49</sup> See World Development Indicators, database and HIES 2010.

By the late 2000s, 88% of children were immunised against these diseases (ODI, 2010a). In 2004, only 3% of one-year-olds had received no immunisations (BRAC, 2007). However, the proportion who complete all three doses of diphtheria, tetanus and polio injections is substantially lower than that of those who start the course.

*Nutrition:* Nutritional improvements have also contributed to child survival. The proportion of children under five who are severely stunted fell from 71% in 1983 to 43% in 2007.<sup>50</sup> Vitamin A supplementation now covers 88% of children (Saymen et al., 2011). However, wasting among children under five has increased recently (from 10% in 2000 to 17% in 2007). The government is attempting to reduce malnutrition through its Area-based Community Nutrition programme but, as this covered only just over one-fifth of the country in 2009, Bangladesh is unlikely to meet the MDG target in this area (GoB, 2009).

*Maternal mortality:* This has also declined significantly, from 650 per 100,000 to 194 per 100,000 between 1985 and 2010. However, this is still a high level, and means that 12,000 women die from pregnancy-related causes every year in Bangladesh (NIPORT, 2011). Additionally, 75% of these mothers' babies die within the first week of life (BRAC, 2007).

In 2009, only 29% of births were assisted by a skilled attendant. Current policy focuses on improving access to public sector health facilities for maternal care, to increase the proportion of institutional deliveries (currently only 20% [GoB, 2011]), and on establishing Comprehensive Emergency Obstetric Care (CEmOC) services at district and sub-district levels, through provision of skilled staff and the necessary drugs and equipment. Between 2004 and 2007, the number of births attended by medically trained personnel increased from 13% to 18% (GoB, 2008). The pilot Maternal Health Voucher programme has contributed to increased uptake of antenatal care and use of institutional deliveries in areas where it is operational. Only 18% of women received any postnatal care in 2004 (BRAC, 2007).

## FINANCING OF INTERVENTIONS AND THE ROLE OF AID

The proportions of GDP and of government expenditure devoted to health have stayed relatively constant since 1995 at around 1% and 8% respectively, although the latter figure declined to 6.58% in 2009/10. External resources for health climbed from just 3% of total health expenditure in 1995 to peak at 8.8% in 2004. They declined thereafter until 2009, when they rose again to 8% of health expenditure. Figure C indicates the volume of aid to MNCH in comparison with general health aid, and also budget support, which is likely to have contributed to domestic spending on MNCH. On average, commitments to MNCH constituted approximately 8% of aid for health over the period 1999–2009 (authors' calculations), although of course wider health and education sector investments have synergies with, and have contributed to, better MNCH care and outcomes. Aid to the health sector in Bangladesh has been aligned with the government's health strategy, making it more effective in tackling problems of child mortality.

Various donors, including the World Bank, the Gates Foundation, the UK Department for International Development (DFID), the US Agency for International Development (USAID) and UNICEF have contributed to financing the implementation of government health strategies, with specific projects including Saving Newborn Lives, maternal health vouchers and introducing 'kangaroo care' for pre-term infants. Given the significant role of NGOs in Bangladesh's health sector, financial assistance to NGOs has also contributed to improvements in child survival.<sup>51</sup>

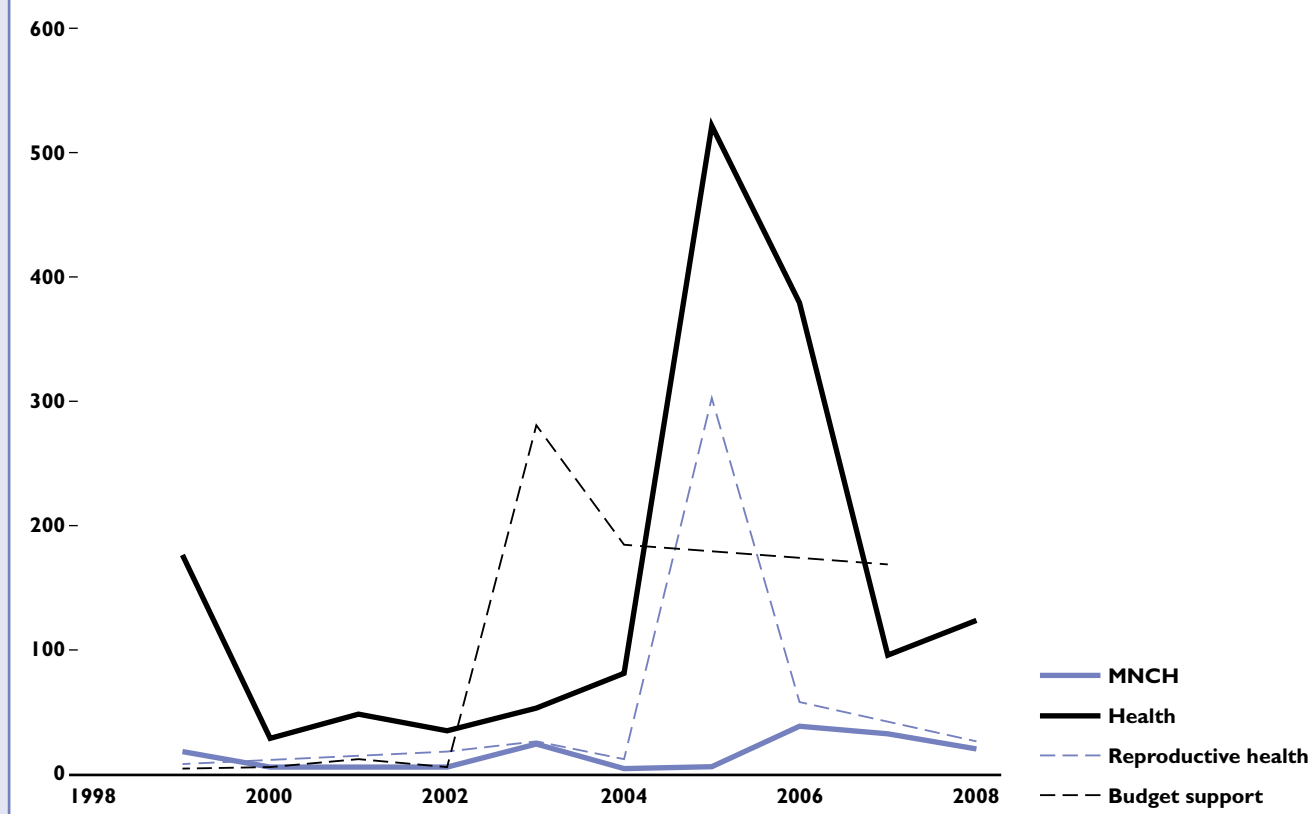
## DRIVERS OF SUCCESS

**Public policy commitment.** There has been a sustained commitment to improving the population's health over successive governments and despite broader governance challenges, with periodic review and refocusing of efforts on emerging challenges.

<sup>50</sup> <http://data.worldbank.org>. We have found at least three different estimates of trends in child malnutrition over the past 20 years. ODI (2010a) reports a fall in the proportion of children who are severely malnourished from 74% in 1985 to 4.1% in 2005, but that 4% of children under five were stunted in 2005. An evaluation of the government's Health, Population and Nutrition Strategy suggests 10.9% of children were severely underweight in 2007.

<sup>51</sup> No information could be found on the scale of financial flows to Bangladeshi NGOs for MNCH activities.

FIGURE C: BANGLADESH AID BY CATEGORY (CONSTANT 2009 \$ MILLION)



Source: AidData.org, accessed August 2011. MNCH coded by authors.

In addition to commitments to extending health facilities, training and deploying greater numbers of health workers, and improving the supply of medicines and equipment (GoB, 2008), there has been a long-standing focus on health promotion at community level and public education in key health messages.

**Strong economic growth in the past 20 years** has contributed both to reducing poverty (making improvements in nutrition, household living conditions and education more affordable) and to increasing the resources available to government for investment in child health.

**A strong focus on reaching the most vulnerable and disadvantaged** has been crucial. Much of this has been achieved through partnerships with NGOs, which have been particularly innovative in reaching the most disadvantaged people (ODI, 2010a). This has made Bangladesh's improvement in MNCH more equitable than in other countries.

**Aid aligned with the government's health strategy** has played an important role in supporting

health sector investments (ODI, 2010a), allowing for a more coherent approach to financing the sector. Aid has also co-financed the Female Stipend Programme, which has helped to raise girls' secondary school enrolment levels.

## ONGOING CHALLENGES

Around 71% of all infant mortality takes place within the first month of life, and so reducing this is a high priority for action. Child survival rates lag behind national averages in some remote and disadvantaged regions, such as Sylhet and the Chittagong Hill Tracts, and focused action in these regions will be needed to equalise children's life chances. More attention to structural factors underlying child mortality, such as poverty and girls' education, and to improving the overall health system, particularly availability of MNCH care in remote areas, will be critical to future progress.

## 3.2 NUTRITION

Malnutrition in children under the age of two undermines their physical and cognitive development, their health and their ability to learn and earn throughout their lives. Malnutrition is estimated to be the underlying cause of one-third of child deaths in developing countries (Countdown, 2010).

Globally, there have been some reductions in child malnutrition over the past 20 years, but improvements have been slower than in other key areas of child well-being, such as child survival and education. However, some countries, such as Bangladesh, Brazil and Ghana, have reduced child malnutrition rates considerably (Save the Children, 2011b), and others have made major progress on particular aspects of malnutrition: in Mozambique, the percentage of children aged 6–59 months receiving two doses of vitamin A during a calendar year increased from 16% in 2005 to 83% in 2008 (UNICEF, 2009a). Overall, though, this is an area where much greater efforts are needed to sustain progress. This section outlines trends in stunting (shortened height for age) and underweight (low weight for age), low birth weight and key micronutrient-deficiency-related diseases.

### Stunting

Stunting prevalence in developing countries declined from 40% to 29% between 1990 and 2008, equivalent to 0.65 percentage points per year (Save the Children, 2011b).<sup>22</sup> Progress has been concentrated in some Asian countries, where prevalence dropped from 44% around 1990 to 30% around 2008, with particular declines in Bangladesh, China and Vietnam (UNICEF, 2009a).

The decline in sub-Saharan Africa has been smaller, from 38% of under-fives stunted in 1990 to 34% in 2008. Moreover, because of population growth, the overall number of African children under five years old who are stunted has increased, from an estimated 43 million in 1990 to 52 million in 2008. However, some countries, such as Eritrea and Mauritania, have significantly reduced stunting rates (UNICEF, 2009a).

Stunting continues to be a significant problem in some South and Central American countries, with prevalence of 30–50% (eg, Bolivia, Guatemala, Haiti, Honduras and Peru). Overall, stunting prevalence in

the region is falling, but there has been little change in high-prevalence countries (UNSCN, 2010).

Figure A.5, Appendix I shows reductions in stunting in sub-Saharan Africa, Asia and selected countries that have made particular progress.

### Underweight

In 2006, an estimated 129 million children under five years old in the developing world (nearly one in four) were underweight<sup>23</sup> and 10% were severely underweight (UNICEF, 2006). Reductions in underweight prevalence among children under five were fastest in Latin America and the Caribbean, going from 11% in 1990 to 6% in 2008 (by an average of 3.8% every year). Currently, 4% of the region's children are underweight (Sanchez-Montero and Salse-Ubach, 2010).

For Africa, where 21% of children under five were underweight in 2008 (UNICEF, 2009d), rates of improvement have been slower. Underweight prevalence declined by 0.1 percentage points per year over the continent as a whole. This rate of progress is insufficient to meet MDG I apart from in North Africa, where underweight prevalence is relatively low and improvement rates have been faster (UNSCN, 2010). There are exceptions: countries that have achieved impressive reductions in underweight prevalence over the period 1990–2008<sup>24</sup> include Mauritania (57% down to 27%), Malawi (29.9% to 21%), Ghana (27% to 9%) and Mozambique (27% to 18%) (UNICEF, 2009a).

In south Asia, underweight prevalence fell from 37% to 31% between 1990 and 2008. However, the absolute numbers of underweight children are the highest in the world: together Bangladesh, India and Pakistan (with 29% of the world's child population) account for half the world's underweight children (UNICEF, 2009a). Bangladesh, however, has made significant progress, with the proportion of underweight children falling from 67% to 43% between 1990 and 2008 (UNICEF, 2008a).

Despite significant falls in some countries, the overall rate of reduction is insufficient to meet the MDG target of 16.5% of children underweight worldwide (Roadmap, 2010b). See Figure A.6, Appendix I for further detail on trends in underweight prevalence by region.

<sup>22</sup> Moderate or severe stunting is defined as height-for-age two standard deviations or more below WHO reference norms (UNICEF, 2009a).

<sup>23</sup> Underweight is defined as lower than average weight-for-height as a result of malnutrition.

<sup>24</sup> The period for each country varies slightly because of the timing of key surveys.

## Low birthweight

Low birthweight renders babies more vulnerable to infections and asphyxia, which together account for 60% of neonatal deaths. An infant born weighing between 1.5 kg and 2 kg is eight times more likely to die than an infant born with an adequate weight of at least 2.5 kg. Low birthweight causes an estimated 3.3% of overall child deaths (UNICEF, 2009a).

Incidence of low birthweight (under 2.5 kg) has fallen from 34% to 27% in South Asia and from 18% to 12% in South-East Asia. East Asia (mainly China) already had a low incidence of low birthweight in the 1980s, and the rate has now fallen to about 6%. Incidence has risen in west Asia, however. Despite overall improvements, Asia still has the highest percentage of low birthweight babies. In Latin America and the Caribbean, incidence of low birthweight fell to 10% in the 2000s from 13% in the 1980s, with the greatest decline in Central America. In sub-Saharan Africa, incidence of low birthweight has remained static over the past 20 years, with some improvement in east Africa (UNSCN, 2010).

## Micronutrient deficiencies

Micronutrient deficiencies are estimated to account for one-third of malnutrition-related child deaths and 10% of all child deaths (Save the Children, 2011b).

### Vitamin A deficiency

An estimated 163 million children worldwide are vitamin A-deficient (around 30–40% in Africa and Asia and 10–20% in Latin America and the Caribbean), significantly increasing their risk of early death: vitamin A deficiency accounted for 6.5% of child mortality in 2004 (Save the Children, 2011b). Globally, despite some significant successes, reducing vitamin A deficiency has been relatively slow, particularly in sub-Saharan Africa and south and central Asia (UNSCN, 2010).

### Iodine deficiency

Iodine deficiency, which can lead to brain damage in newborns and goitre in older children and adults, can be easily prevented by iodising salt. Thirty-six countries have reached the target of at least 90% of households using adequately iodised salt, up from 21 in 2002, when the world community made a commitment to universal salt iodisation by 2005. Despite this significant progress, about 41 million newborns a year remain unprotected from the enduring consequences of brain damage associated with iodine deficiency (UNICEF, 2009a).

## Iron deficiency anaemia

Unlike other nutritional problems, which are showing slow improvements over time, in about half the countries for which there are data (16 out of 33) there has been an increase in the numbers of women and children affected by iron deficiency anaemia (UNSCN, 2010). About 60% of children under five in sub-Saharan Africa are estimated to be anaemic (UNSCN, 2010), as are 29% in Latin America and the Caribbean (Sanchez-Montero and Salse-Ubach, 2010). Maternal anaemia is estimated to contribute to over 115,000 maternal deaths and 591,000 perinatal deaths a year (Save the Children, 2011b).

## How has progress in improving nutrition been achieved?

As with improvements in child survival, improvements in children's nutrition reflect a combination of action on root causes of malnutrition, which underpin poor health and nutrition outcomes, and focused action on specific nutrition and health issues.

### Focused action on health and nutrition

This includes targeted programmes to provide vitamin A supplements; programmes aimed at increasing exclusive breastfeeding for six months (see Section 3.1); and improved community-level health and nutrition programmes, such as Mozambique's Integrated Child Health Weeks, which offer vitamin A supplements, de-worming, measles vaccinations, nutrition screening, nutrition messages on breastfeeding and distribution of iodised oil supplements, with a particular focus on disadvantaged areas (UNICEF, 2009a).

Action on underlying causes has included:

- **Poverty reduction**, in particular through social protection programmes that have transferred income to poor households and enabled households to afford more food, more nutritious food and better access to healthcare.
- **Action on food security and livelihoods**, as in Bangladesh, Brazil, Malawi and Mozambique (IFSN, 2011; Sanchez-Montero and Salse-Ubach, 2010), to address underlying problems of food availability and poor people's access to food. Often, this has involved support to smallholder agriculture and specific programmes targeting women farmers.
- **Increased access to healthcare and to safe water and sanitation sources** (see Section 3.1 and Section 3.4).



## Increased gender equality

In particular, increasing levels of maternal education have been critical in reducing child malnutrition and improving child health (see Case Studies 1 and 2 on Bangladesh and Brazil). Preventing childbearing until young women are fully grown, through incentives for them to stay in school (as in Bangladesh and Ethiopia), implementation of minimum marriage age laws and communication and social mobilisation in favour of education and against early marriage can play a crucial role in improving maternal nutrition and reducing low birthweight (UNSCN, 2010).

## Political will and well-planned and resourced programmes

Although political prioritisation of nutrition has been relatively rare, where it has occurred it has given important momentum to efforts to improve nutrition. One example is of salt iodisation in Nigeria: a decision was made in 2007 to iodise factory-produced salt, which led to 97% of households using iodised salt (UNICEF, 2009a).

India provides an example of how the achievement of nutritional improvements depends on strong political structures: it has made little progress on nutrition despite excellent economic growth. Much of this is attributed to poor programme governance. Child-focused programmes such as the Integrated Child Development Services have little effect on poor performance, weak incentives, and poor monitoring and evaluation with few links to outcomes (Haddad, 2009). Malnutrition in India is linked to unequal structures and institutions, especially official clientelism, which involves favouring certain groups (often ethnic- or caste-based) over others in order to seek political support, rather than seeing the state as a duty-bearer of service delivery. This highlights the need for institutional robustness in order for programmes to be delivered effectively (Walton, 2009). By contrast, Brazil's Zero Hunger programme (See Case Study 2) has strong monitoring and evaluation; high political visibility, enabling monitoring by civil society; a rights-based approach coupled with a national law on food security; and strong political will resulting in a coherent policy approach, which has been highly effective in reducing malnutrition (Sanchez-Montero and Salse-Ubach, 2010).

## How have these reductions been financed?

Overall, a small proportion of aid has historically been dedicated to 'basic nutrition' (3% of health aid in 2006 (Roadmap, 2010a); \$522 million in 2009 (as compared with over \$11 billion for education and \$8 billion for health)<sup>25</sup> (see Figure A.7, Appendix 1.) However, when aid to sectors with significant linkages with nutrition is considered, such as agriculture and food security, water and sanitation, education, poverty reduction and broader health services, the volume likely to affect nutrition is significantly higher. Figure 4 shows that global nutrition-related aid has risen significantly since 2007, particularly in agriculture, possibly reflecting a renewed focus on nutrition in the wake of sudden food price rises. Although aid to agriculture far dwarfs that to basic nutrition, there has also been a sharp rise in the latter, particularly in sub-Saharan Africa and the Americas. The rise in aid to nutrition in south Asia and the amounts committed have been much lower, despite the concentration of malnourished children in this region.<sup>26</sup> Vitamin A supplementation has largely been donor-financed in low-income countries. Arguably, the relatively limited amount of aid resources focused on nutrition (rather than indirectly influencing it) is one reason for slower progress in this area, particularly in low-income countries.

## Ongoing challenges

### Child malnutrition remains a large-scale problem

In 2006, an estimated 195 million children under five (90% of whom lived in sub-Saharan Africa and Asia) were stunted (Roadmap, 2010b). Without focused action, an estimated 450 million children will be stunted by 2025 (UNIAGCME, 2011, in Save the Children, 2011b).

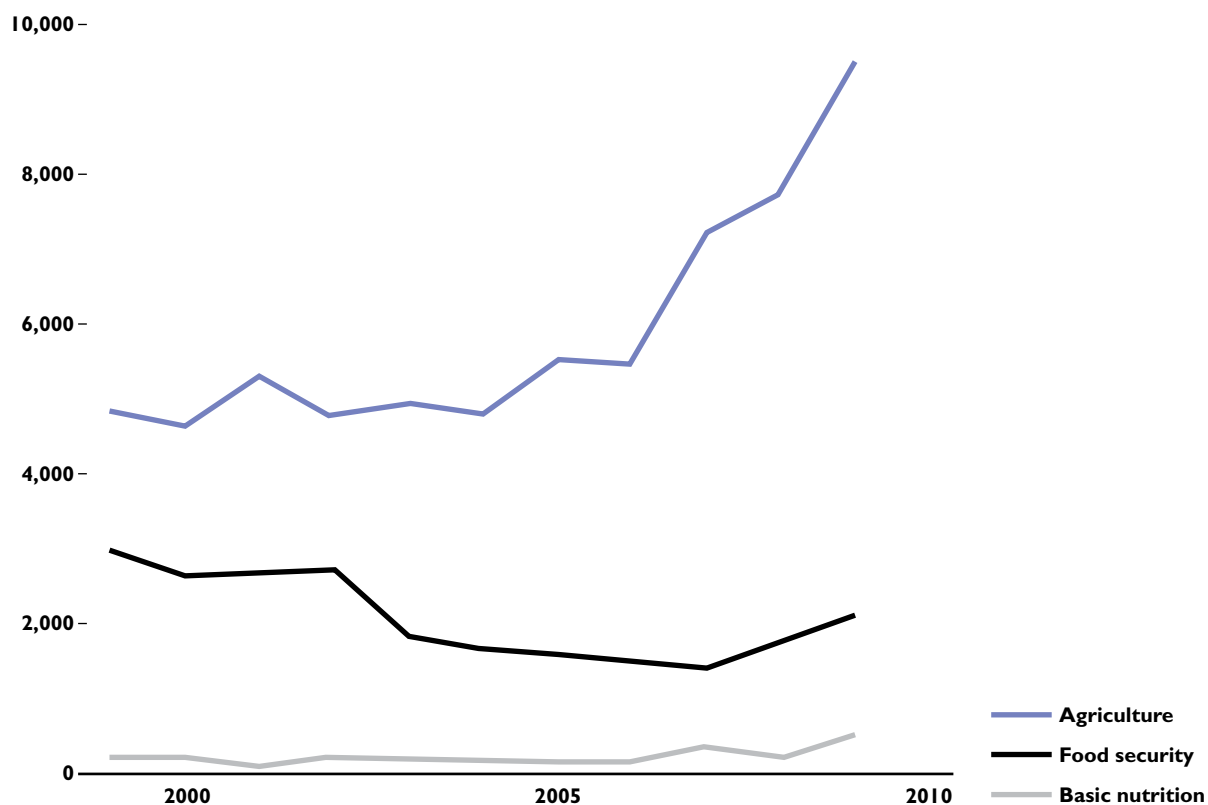
### Expanded focused action to combat nutritional problems is essential: growth is not enough

Several middle-income countries with high economic growth rates have had limited progress in terms of reducing malnutrition (Save the Children, 2011b; UNESCO, 2011), partly because poor people have shared little in this growth and partly because the complex factors underlying nutritional problems mean that action focused simultaneously on several factors is required.

<sup>25</sup> Based on author analysis of Organisation for Economic Co-operation and Development (OECD) Development Assistance Committee (DAC) data.

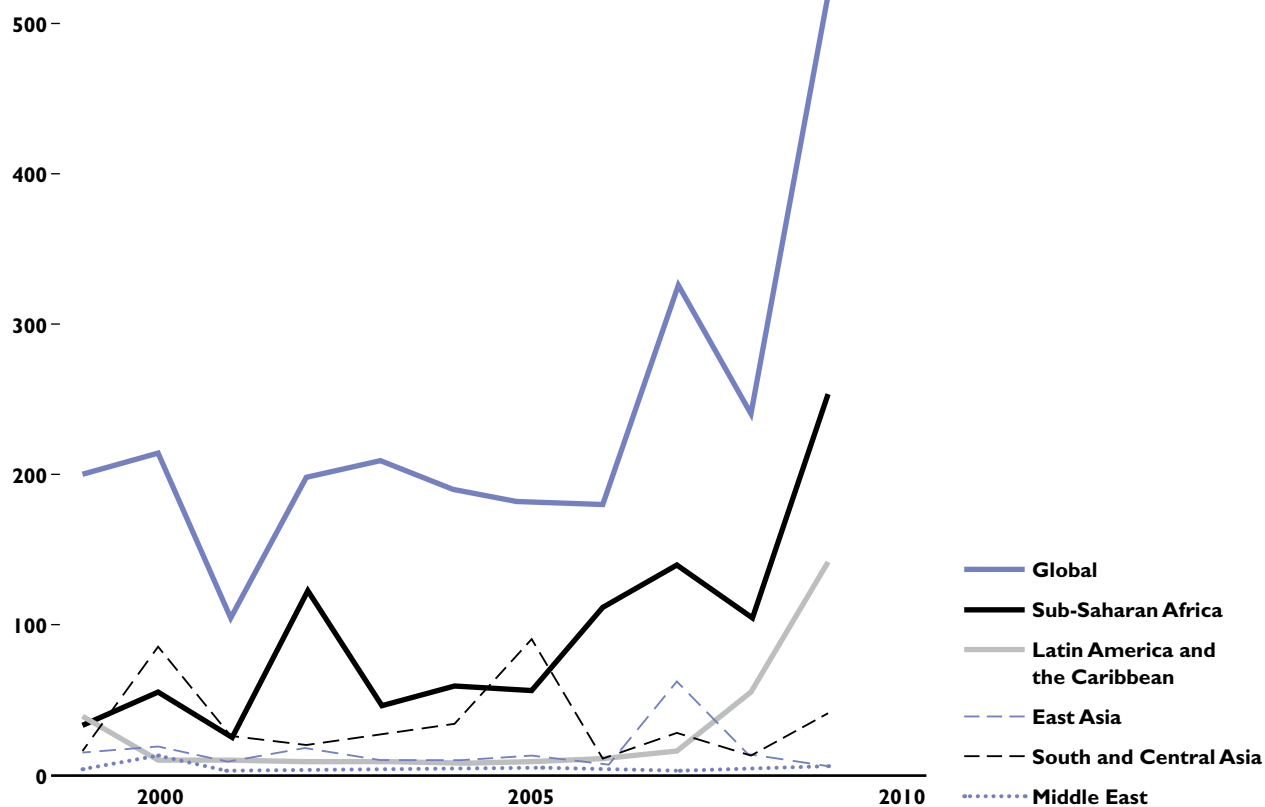
<sup>26</sup> It has not been possible to find equivalent regionally aggregated figures for government spending on nutrition.

FIGURE 4: GLOBAL TRENDS IN NUTRITION-RELATED AID, 1999–2009  
(2009 CONSTANT \$ MILLION)



Source: OECD-DAC data, authors' calculations.

FIGURE 5: AID FOR BASIC NUTRITION BY REGION  
(2009 CONSTANT \$ MILLION) [IS THIS CORRECT?]



Source: OECD-DAC data, authors' calculations.

### BOX 3: WHAT IS THE RELATIONSHIP BETWEEN AID AND CHILD MALNUTRITION?

Our primary data analysis shows that countries receiving more aid per capita have made more progress in reducing childhood malnutrition over the past decade, but the relationship is not statistically significant. The lack of statistical significance appears to be explained by two countries, Ethiopia and Sudan, which have made very good progress without receiving much aid in per capita terms (although both are major aid recipients in absolute terms).

The relationship we estimated between aid and malnutrition suggests that the average country receiving an additional \$20 annual aid per capita (roughly the difference between a high-aid and a low-aid country) reduced the prevalence of childhood malnutrition by an additional 0.2 percentage points per year. So, over the course of a decade, a typical high-aid country

will have reduced the prevalence of child malnutrition by an additional 2 percentage points. In 2009, there were roughly 137 million children under five in sub-Saharan Africa (UNICEF data from UNICEF, 2011b) and on average 24% of children were malnourished (our analysis). If malnutrition rates fell by an additional 2 percentage points across the region, an additional 2.7 million children would no longer be malnourished.

These are descriptive statistics, not an attempt to estimate a causal relationship, and there is considerable variation around these averages. See figure Appendix 2 and figure A2.1 for full details.

An additional \$5–6 billion of aid per year is needed to fully implement a key package of interventions to improve nutrition in the 36 countries with 90% of stunted children (Roadmap, 2010b).

A package of 13 evidence-based interventions to eliminate the most critical nutritional problems facing children in developing countries has been identified by *The Lancet* and disseminated by the UN Standing Committee on Nutrition (UNSCN) (Roadmap, 2010a; 2010b). This involves promoting breastfeeding and optimal complementary feeding (introduction of nutritious food after babies reach six months); improving hygiene practices, such as hand-washing; increasing the intake of micronutrients (particularly vitamin A, zinc and iron) among pregnant women and children, through supplementation and fortification; de-worming to prevent nutrient loss; and therapeutic feeding of moderately and severely malnourished children. Implementation of this package in the 36 countries that are home to 90% of the world's malnourished children could save 2.5 million lives and prevent substantial illness (Save the Children, 2011b).

#### Extending complementary action

This is necessary to reduce poverty, increase poor children's access to nutritious food, improve access to safe water and sanitation, fill health worker gaps and ensure the accessibility of health services, to address underlying causes of child malnutrition (see Case Studies 2 and 9 on Brazil and Vietnam, and Section 3.4 on water and sanitation).

#### A focus on reducing inequalities

In many poor countries, rates of stunting are high across all socio-economic groups, indicating the need for universal approaches (Save the Children, 2011b). In some middle-income countries, more targeted action to eliminate malnutrition is needed. For example, in Peru, children in the poorest households are 11 times more likely to be stunted than those in the richest households. Through targeted action on poverty, health and food security, Brazil's record on reducing malnutrition in the lowest quintiles faster than in the highest quintiles (see Case Study 2) illuminates ways in which nutritional disparities can be eliminated.

#### Significant additional resources are needed

Full implementation of 'The Lancet package' of interventions would cost an estimated \$11.8 billion per year, and would have a benefit-to-cost ratio of between 4.8:1 and 15.8:1, respectively (Roadmap, 2010a). Assuming approximately \$1.5 billion could be financed privately by better-off households and that half the remaining costs could be funded by domestic sources, this would require a scaling-up of aid to nutrition from \$522 million in 2009 to \$5 billion annually (Roadmap, 2010b).<sup>27</sup> This is an important challenge with very high rates of return that should be factored into the aid agenda.

<sup>27</sup> Figure from OECD-DAC aid database.



# CASE STUDY 2

## Brazil – a multifaceted approach to improving children's nutrition

Although malnutrition rates in Brazil were not as high in the 1990s as in some other parts of Latin America, its population size (157 million in 1990) meant that large numbers of children were affected. Furthermore, there were major disparities between the poorest and richest quintiles. Since 1990, however, rates of stunting and underweight have fallen dramatically, through a combination of improved food security and nutrition; significant poverty reduction driven by higher minimum wages and enhanced social protection; and investment in social policies, education and healthcare.

### KEY NUTRITIONAL ACHIEVEMENTS

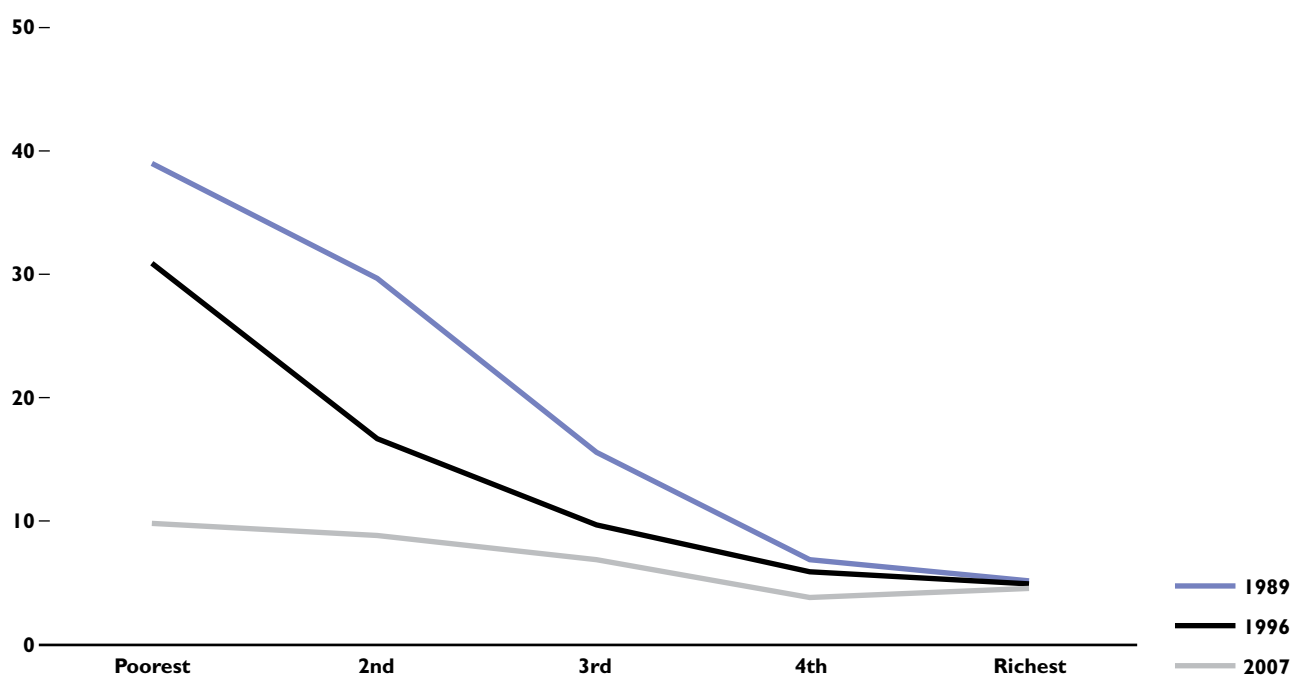
Stunting prevalence among children under five fell from 13.5% to 7.1% between 1996 and 2007,<sup>28</sup> (see Figure A), and in the north-east, the country's poorest region, from 22% to 6% between 1996 and 2006 (IFSN, 2011).

The decline in stunting was far faster for the poorest households than for the rich, and inequalities in stunting reduced from 25 to 6 percentage points (Monteiro, 2009).

Monteiro (2009) suggests that the following factors have been particularly significant in the dramatic reductions in child malnutrition:

**Improvements in maternal education** and, in particular, the universalisation of elementary

FIGURE A: STUNTING PREVALENCE BY INCOME QUINTILE, 1989–2007  
(% OF CHILDREN UNDER FIVE)



Source: Monteiro and others, in Countdown (2010).

<sup>28</sup> Information extracted from World Development Indicators database for this project.

schooling are estimated to account for 26% of the decline in child stunting. This reflects substantial investment in education over the period from 1985 onwards (Monteiro, 2009), which has both improved the quality (lowering pupil–teacher ratios) and increased the accessibility of education for disadvantaged children (UCW, 2011).<sup>29</sup> Between 1992 and 2009, the proportion of 7–15-year-olds attending school increased from 85% to 97% (ibid.) and the net female secondary school enrolment rose from 68% in 1999 to 85% in 2008.<sup>30</sup> This increase was largely linked to education sector investment; cash transfer schemes such as *Bolsa Família* played an important but more minor role (ibid.).

**Increased purchasing power among poor families** is estimated to account for 22% of the decline in child stunting. Specifically, a combination of economic growth and redistribution of income to poor families, via programmes such as *Bolsa Família* and other social transfers (see below) has increased the purchasing power of poor households. From 1990 to 2008, the population living on less than \$1 per day decreased from 16% to 5% (Sanchez-Montero and Salse-Ubach, 2010).<sup>31</sup>

**Increased coverage of child and maternal healthcare** is estimated to account for 12% of the decline in stunting. This is linked to expansion of the Family Health Strategy, emphasising prevention, education and equal access to services, which focuses on rural areas and small cities remote from large metropolitan areas. Overall coverage was 54% in 2010, but in the north and north-east, the most disadvantaged regions, where the programme is targeted, coverage is 73% and 95%, respectively.<sup>32</sup>

**Increased coverage of water and sanitation services** accounts for another 4% of the reduction in stunting. Overall, the population with access to improved water sources rose from 71% to 83% between 1990 and 2010; the percentage of the population with access to adequate sanitation rose from 54% to 67%.<sup>33</sup>

## FOME ZERO

Part of the credit for Brazil's success in improving children's nutrition goes to *Fome Zero* (Zero Hunger), a package of 53 interventions in different sectors (Sanchez-Montero and Salse-Ubach, 2010), which has significantly increased attention to hunger in public policy. *Fome Zero* is structured around four axes:

**Access to food**, via *Bolsa Família* (household cash transfers), low-cost restaurants, school feeding, the food and nutritional surveillance system, vitamin A distribution and tax incentives for food production (Sanchez-Montero and Salse-Ubach, 2010).

**Income-generating activities**, which include training, promotion of sustainable economic activity, production-oriented microcredit, productive organisation and support to cooperatives.

**Social mobilisation** and citizenship education, mobilisation of private and public partnerships and public participation in monitoring the *Fome Zero* programme.

**Strengthening smallholder agriculture**, through the National Family Agriculture Programme, Harvest Guarantee, Family Agriculture Insurance and the Food Purchase Programme. A smallholder credit programme facilitates access to investment capital, and a food acquisition programme ensures state purchases for public institutions (hospitals, schools and prisons) or for the creation of national food reserves (Sanchez-Montero and Salse-Ubach, 2010).

## *Bolsa Família*

Of the different elements of *Fome Zero*, the *Bolsa Família* conditional cash transfer (CCT) programme has been the most rigorously evaluated in terms of its impact on children's nutrition. Launched in 2003 (from an amalgamation of disparate programmes), it reached 12.5 million families in 2009 (Veras Soares and Silva, 2010, in ODI, 2011a). *Bolsa Família* is means-tested and is targeted to the poor and extreme poor. Children in recipient households up to the age of seven must be taken to health clinics at regular intervals to be monitored and to receive vaccinations. Mothers must

<sup>29</sup> Despite this, problems of quality remain.

<sup>30</sup> Information extracted from World Development Indicators database for this project.

<sup>31</sup> Different poverty lines have been used in Brazil over the years (one-quarter of the minimum wage per person in the household per month; R\$70 per person in the household per month), each with different results. The current official Brazilian poverty line is R\$ 70 per month. For this indicator, the official data is 8.5% (IBGE, Census, 2010).

<sup>32</sup> Data from SIAB-Datasus (<http://www2.datasus.gov.br/SIAB/index.php>).

<sup>33</sup> Instituto Brasileiro de Geografia e Estatística data (<http://www.ibge.gov.br/english/>). These aggregate figures mask substantial regional disparities, with the north and north-east of the country well below these averages, particularly in terms of sanitation.

attend pre- and postnatal check-ups at health clinics and participate in nutrition seminars. All children between the ages of 6 and 15 must be enrolled in schools and attend at least 85% of classes; 16–17-year-olds enrolled in school must attend 75% of classes (ILO, 2009). Compliance is monitored by school and health centres and is registered in a central database – the single registry system. Unlike other CCT programmes, *Bolsa Família* has a system of ‘gradual repercussion’ in the case of non-compliance, allowing the family an opportunity to get back on track before benefits are eventually cut off (ODI, 2011a).

Evaluations have shown positive effects of *Bolsa Família* on children’s nutrition, although some studies have contradictory findings (see below). *Bolsa Família* has also contributed to reducing school drop-out and increasing attendance among vulnerable children (Sanchez-Montero and Salse-Ubach, 2010), and some studies find it has led to increased vaccination rates (eg, Modesto, in IFSN, 2011),<sup>34</sup> both of which are associated with nutritional improvements.

#### *Bolsa Família: evidence of impacts on nutrition*

The 2005 Nutritional Call found stunting among beneficiary children aged 6–11 months was 3.3% lower than among non-beneficiary children (5.3% vs. 2%). It found no significant impact on children aged 12–36 months, possibly because of weaker monitoring of their growth (IFSN, 2011). Some studies in isolated areas, such as the arid north-west and parts of the Amazon, have found children 26% more likely to achieve appropriate weight- and height-for-age in *Bolsa Família* recipient families as compared with non-recipients (ILO, 2009). However, some studies, such as that by Brazil’s Center for Regional Economic Development and Planning, have not found any positive effects on child malnutrition as a result of *Bolsa Família* (eg, Veras Soares and Silva, 2010, in ODI, 2011a). Veras Soares and Silva suggest this may be because, unlike programmes in Mexico and Chile, *Bolsa Família* does not involve provision of food supplements, or because health sector infrastructure for monitoring children’s growth and putting in place remedial measures is inadequately developed.

Other elements of *Fome Zero* with a likely impact on child malnutrition include the following:

The *National School Meals Programme* provides 47 million free meals a day to public school students

aged 6–18 years (Sanchez-Montero and Salse-Ubach, 2010); normally one meal per school day, but two in the poorest areas (Evans, 2011). These are intended to supply 15% of children’s daily food needs. This has the potential to improve the nutritional status of schoolchildren, to ensure better learning and to help ensure that the next generation of mothers are better nourished.

The *Food Acquisition Programme* is a national scheme that buys food from smallholder farmers’ organisations at market prices and distributes it to hospitals, schools and families in need. Law 11.947 stipulates that at least 30% of resources provided for school food must be used to purchase food from family farmers. This enhances the access of small farmers, particularly those in isolated areas, to markets at guaranteed fair prices. The programme has stimulated increased food production (IFSN, 2011).

## DRIVERS OF SUCCESS

**High-level political commitment:** *Fome Zero* builds on a personal commitment by former president Luiz Inácio Lula da Silva that he would consider his life’s mission accomplished if every Brazilian were able to have three meals a day by the time he left office (Graziano da Silva, 2009). The programme’s planning, coordination and implementation have been led by the president (Sanchez-Montero and Salse-Ubach, 2010).<sup>35</sup> This political commitment has also been translated into the Food and Nutritional Security Organic Law (September 2006), which makes the government’s commitments on food security legally binding (ibid.). The political impetus behind *Fome Zero* has promoted policy coherence (social, educational and agriculture policies), equitable resource allocation and stakeholder participation (ibid.).

**Social and political changes conducive to social development** led to transformations that provided the foundation for progress. Democratisation from the mid-1980s led to space for vibrant civil society engagement on public policy issues, including on gender equality. Greater urbanisation and falling fertility rates also provided a supportive environment for social policy investments (Victora et al., 2011b).

**Synergies between different sectors:** Synergies between income poverty reduction, improvements to

<sup>34</sup> Other studies, such as Veras Soares and Silva (in ODI, 2011) have found no impacts on vaccination rates.

<sup>35</sup> It could not be verified for this case study whether this is still the case following the change of president earlier this year.

smallholder agriculture and food security, education, healthcare and, to a lesser extent, water and sanitation have contributed to improving children's nutritional status (Sanchez-Montero and Salse-Ubach, 2010). The dual emphasis in *Fome Zero* on strengthening food production and improving access has strengthened food security among small farmers and urban consumers simultaneously (Evans, 2011).

**Engaged civil society:** The legally binding nature of government commitments on food security has provided a focus for civil society mobilisation and strengthened mechanisms for holding the government to account. One such arena is the National Council for Food and Nutrition Security, which has promoted participatory budgets, has local implementation committees and has institutionalised social monitoring of its actions (Sanchez-Montero and Salse-Ubach, 2010). An engaged and politicised health workforce in combination with broader progressive social movements has been a key advocate for progressive health policies (Victora et al., 2011b).

**A positive economic environment:** Between 1998 and 2008, annual economic growth rates rose from 1.9% to 5.1% (ODI, 2011a). This has both increased the resource envelope for programmes such as *Fome Zero* and contributed to poverty reduction, which in turn may well have contributed to falling malnutrition rates.

**Well-chosen evidence-based policies and systems for managing their implementation:** Brazil has incorporated best practices on CCTs into the design of *Bolsa Família* (Belik and del Grossi, 2003; Sanchez-Montero and Salse-Ubach, 2010). It has also refined systems for managing policy implementation, such as through the Unified Register of Households, which contains data on all *Bolsa Família* recipients (ILO, 2009; ODI, 2011a), and which enables continued monitoring of child well-being in recipient households.

**Resourcing:** In 2008/09, *Bolsa Família* cost approximately \$15.2 billion, 0.4% of GDP (ILO, 2009) and close to 1% of government expenses (IFSN, 2011), which implies the programme is cost-effective.<sup>36</sup> The contribution of external financial support to *Fome Zero* and its associated programmes has been minimal. In 2009, ODA represented a small proportion of GDP (around 0.745%), targeted

principally at environmental and governance issues. Some donors, such as the German Agency for International Cooperation (GIZ) have chosen to support *Fome Zero*, and the World Bank has supported *Bolsa Família* (in 2003 it provided a loan for \$572 million and in 2010 a loan for \$200 million) and youth employment schemes through loans (IFSN, 2011).

## CHALLENGES

### Problems of food insecurity remain significant:

Despite Brazil's success in reducing poverty and child malnutrition, and its status as a major food producer and exporter, hunger and food insecurity are still widespread. Official data indicate that 35% of all households – more than 72 million people – suffer from food insecurity (IFSN, 2011).<sup>37</sup> Among the rural population, 51% – who comprise 36% of the total population – live below the poverty line (Sanchez-Montero and Salse-Ubach, 2010).

**Reducing tax inequalities which undermine redistribution:** Families with an income of less than twice the minimum wage pay an average of 46% of their income in indirect taxes, whereas families earning over 30 times the minimum wage pay 16% in indirect taxes. The UN Special Rapporteur on the Right to Food has concluded that while the various Zero Hunger programmes are impressive, “they are essentially funded by the very persons whom they seek to benefit, as the regressive system of taxation seriously limits the redistributive impact of the programmes” (in IFSN, 2011).

**Some nutritional problems persist:** Rates of exclusive breastfeeding for the first six months are low (40% in 2006 [Countdown, 2010]), though increasing (Victora et al., 2011b), and anaemia prevalence is over 40% among children (de Novaes Oliveira et al., 2010), and higher than 50% among the indigenous population. Being overweight is also becoming a major nutritional problem, with around 14% of children overweight in the 1990s (ibid.). Low levels of enrolment in preschools (around one-third of the eligible population) mean significant numbers of children are missing out on entitlements to food provided through the education system.

<sup>36</sup> Equivalent figures for *Fome Zero* are not available as some of the resources per programme are part of the operational budgets of specific sectors.

<sup>37</sup> The International Food Security Network (IFSN) is a network working on food security in more than 30 countries in 5 continents. Official partners are: Abiodes, ActionAid UK, Actuar, Ayuda en Accion, Barcik, CCRD, FIAN, INCIDIN, NIZA, Peuples solidaires, SOS Sahel, SSTEP, UNNAYAN DHARA. Please note that the figure cited here is markedly different from the official data currently available in Brazil which, when adding in extreme and moderate food insecurity, cites that 14.5% of households are food insecure (IBGE, PNDS, 2006).



### 3.3 PREVENTING MOTHER-TO-CHILD TRANSMISSION OF HIV/AIDS

Thirty years of investment in preventing and treating HIV has paid off. Fewer people are now becoming infected with HIV and fewer people are dying of AIDS than at the height of the pandemic in the 1990s and early 2000s (UNAIDS, 2010a). Prevention and treatment programmes have meant the pandemic has now peaked in many countries (ibid.), so HIV prevalence is falling, leading to fewer infections and deaths. In 33 countries, including some of the most severely affected countries in sub-Saharan Africa, HIV incidence fell by over 25% between 2001 and 2009 (ibid.), though these gains are at risk of being undone by the ongoing effects of economic crisis (Jones et al., 2010).

More than 5 million people are receiving life-saving ARVs, millions of orphans have received basic education and healthcare, and more tolerant and enabling social environments have been established in many countries through campaigns to reduce HIV-related stigma and discrimination. This would not have been possible without strong mobilisation by the global community and unprecedented levels of funding provided collectively by donors, governments, the private sector, philanthropic organisations and individuals to address HIV (UNAIDS, 2010a).

In countries with high HIV prevalence, HIV still constitutes a major threat to child survival (Countdown, 2010). For example, in Southern Africa, up to half of child and maternal mortality is related to HIV.<sup>38</sup> An untreated baby is likely to die before he or she is two years old (UNAIDS, 2010b). There has been significant progress in preventing HIV among children: globally there was a 24% decline in newly infected infants and a 19% decline in children dying from AIDS between 2004 and 2009 (UNAIDS, 2010a). The numbers of HIV-positive pregnant women in low- and middle-income countries taking ARVs to prevent transmission of the virus to their babies rose from 15% in 2005 to 53% in 2009 (UNAIDS, 2010b).

However, in 2009 an estimated 370,000 infants worldwide were infected with HIV, indicating that more concerted action is needed to prevent HIV-positive mothers passing their infection on

to their babies (UNAIDS, 2010a), and only 35% of infected babies received ARVs (UNAIDS, 2010b). Moreover, the number of children living with HIV continues to rise – to 2.5 million in 2009 and, in some countries, such as South Africa, so does mother-to-child transmission and child and maternal mortality from HIV/AIDS (UNAIDS, 2010a). In many countries, the numbers of children orphaned by HIV/AIDS are still increasing, from an estimated total of 14.4 million in 2005 to 16.6 million in 2009 (ibid.). This reflects the fact that, in many countries, ARV coverage is far from universal and young adults are still dying from AIDS on a large scale; furthermore, even people taking ARVs are vulnerable to a range of other diseases.

Botswana is an example of a country with high HIV prevalence (24.9% overall and 33% among pregnant women in 2010)<sup>39</sup> that has pioneered an effective approach to prevention of mother-to-child transmission (PMTCT) of HIV. Case Study 3 below discusses how the country has recorded impressive achievements in PMTCT, from 20.7% of infants of HIV-positive mothers infected in 2003 to 4.8% in 2008 (Baleta, 2010).

#### How has progress in PMTCT been achieved?

##### Political will and leadership

The fight against HIV/AIDS has mobilised political will and leadership globally – until recently, much more than for other diseases. This, in turn, has led to **significant resources for prevention and treatment**, and to **technical innovations**, such as the new generation of highly effective drugs that cut mortality and prevent mother-to-child transmission of HIV.

##### Significant resources from governments and donors

In 2009, \$15.9 billion was spent on responding to HIV/AIDS, half of which came from governments of low- and middle-income countries. In low-income countries, 88% of funding for HIV/AIDS programmes is provided by donors. Despite the growth of multilateral funders such as the Global Fund to Fight AIDS, Tuberculosis and Malaria and UNITAID, the vast majority of funding for HIV/AIDS programmes continues to be provided directly by

<sup>38</sup> [www.unicef.org/media/media\\_58026.html](http://www.unicef.org/media/media_58026.html) (accessed 4 September 2011).

<sup>39</sup> UNICEF (2010).

bilateral donors (77% of all HIV/AIDS funding in 2009). The US is by a considerable margin the biggest donor to HIV/AIDS programmes (UNAIDS, 2010a). On average, middle-income countries finance 54% of HIV programmes domestically (ibid.).

Over the period 2000–10, global aid to HIV programmes rose by a factor of 10, from \$719 million in 1999 to \$7.8 billion in 2009. Funding is concentrated in sub-Saharan Africa, reflecting the geographical concentration and distribution of the epidemic. Donor funding to HIV/AIDS rose in sub-Saharan Africa for almost all this period, although commitments have fallen since 2008. Figure 6 shows regional trends in aid for HIV/AIDS.

Commitments to tackling HIV/AIDS constitute a significant proportion of social sector aid, particularly in sub-Saharan Africa. Over the period 2000–10, the proportion of social sector aid devoted to HIV programmes rose considerably, more than doubling in

Latin America and the Caribbean, south and central Asia and east Asia, and increasing approximately 30-fold in sub-Saharan Africa (Figure 7).

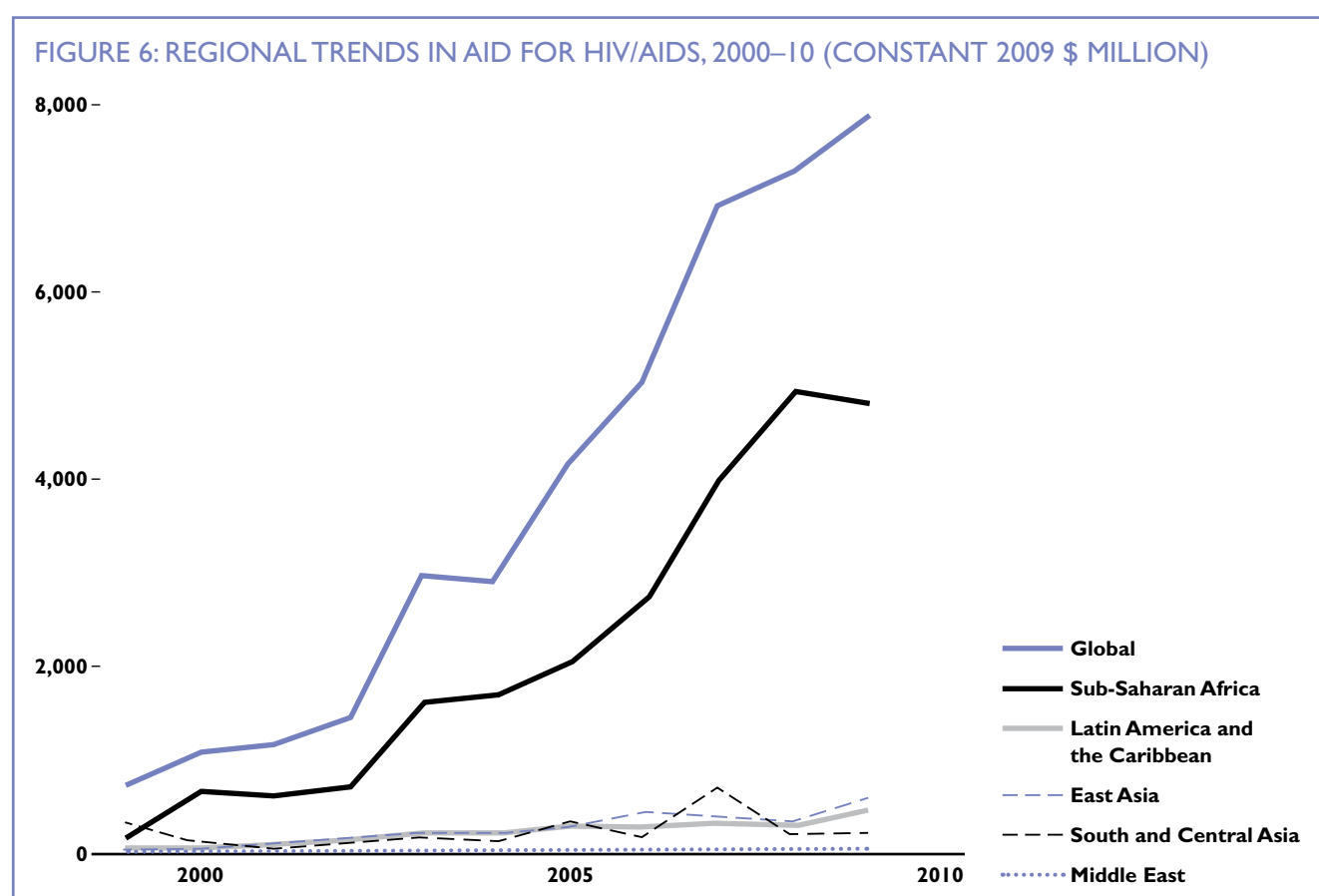
### **Aid has been well targeted at countries with the greatest need**

The majority of donor resources are targeted to the countries most affected by the epidemic. The top 20 recipients of aid account for 71% of the people living with HIV globally. Low-income countries receive 78% of international funds, with another 14% going to lower-middle-income countries (UNAIDS, 2010a).

### **Ongoing challenges**

#### **The global economic crisis**

The gains of the past 30 years are at risk of being wiped out by the effects of the global economic crisis (Jones et al., 2010). Given the still enormous scale of the problem, global investment levels are insufficient.



Source: OECD-DAC, with authors' calculations.

At present, only one-third of countries are investing in HIV at levels commensurate with their income levels and their share of the epidemic burden (UNAIDS, 2010a), and crisis-related HIV budget cuts have already affected testing and diagnosis, treatment programmes, resistance monitoring and prevention (UNAIDS, 2009; UNAIDS and World Bank, 2009). UNAIDS (2010a) estimates a funding gap of \$10 billion in 2009 between investment needs and resource availability, and there is evidence of government spending flat-lining and aid contributions to fight HIV/AIDS falling. High HIV prevalence middle-income countries in southern Africa may be particularly affected as donors refocus funds on the poorest countries (Jones et al., 2010).

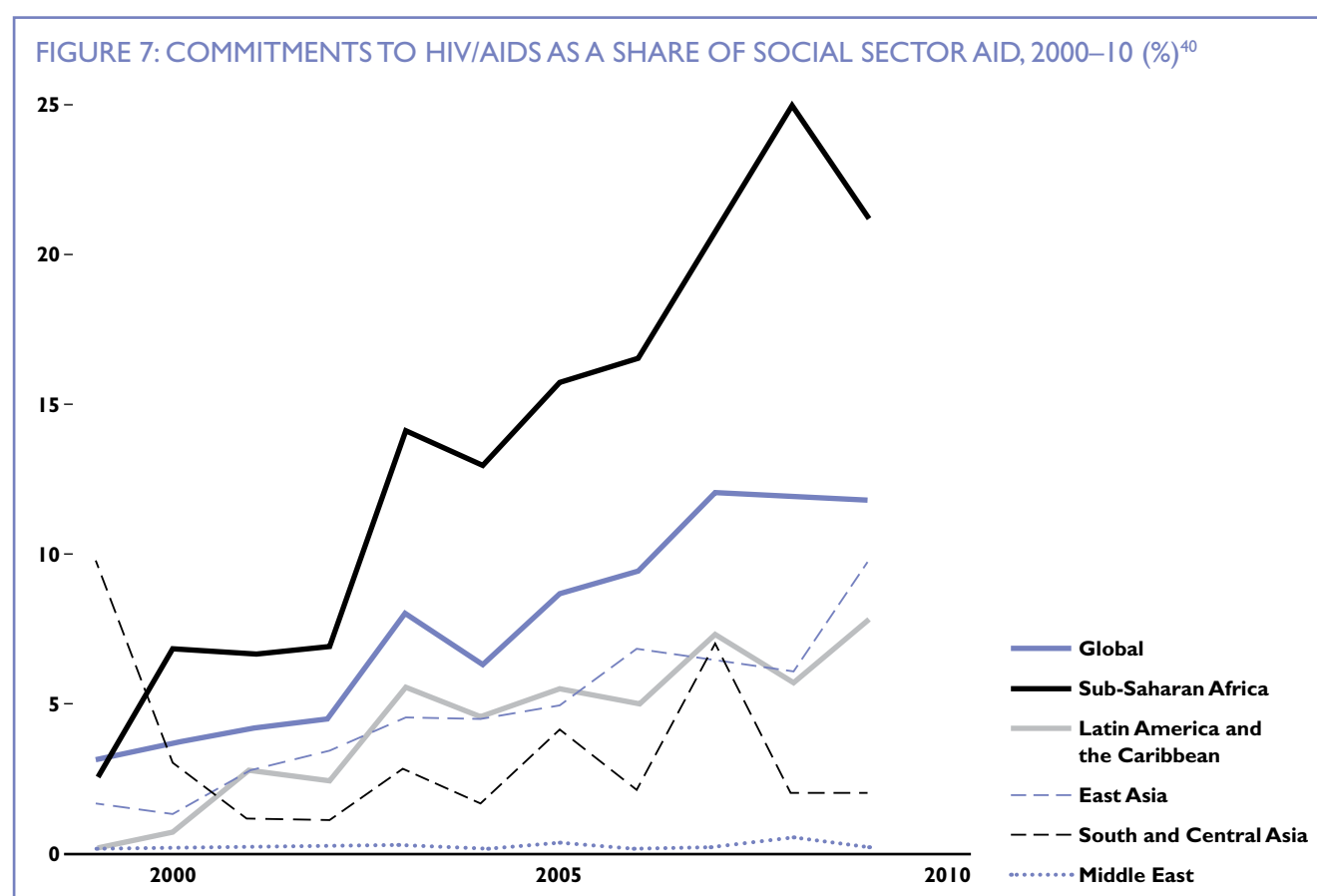
### Inequalities in access to treatment

Inequalities in access to treatment and in risk of infection remain. Young women are disproportionately likely to be infected. Poorer people, and particularly

those living in remote rural areas, are often less able to afford ARVs where these have to be paid for by the patient, to travel to healthcare facilities or even to have sufficient food to be able to take ARVs (which must not be taken on an empty stomach) (UNAIDS, 2009a).

### Focusing expenditure on effective areas

Cost-effective programmes reflect the drivers of the epidemic in particular contexts, and are evidence-based: using effective drug combinations, for example, and promoting effective behaviour change, such as emphasising condom use and avoiding multiple partners, rather than abstinence (UNAIDS, 2010a). PMTCT of HIV can now be done very cost-effectively: it is estimated that stopping a single case of infection among infants now costs \$5, while the average cost per disability-adjusted life year of PMTCT programmes is \$34 (UNAIDS, 2010a).



Source: OECD-DAC, with authors' calculations.

<sup>40</sup> Figure 7 shows aid to HIV as a share of social sector aid, rather than as a share of health aid, for several reasons: some aid for HIV is not health-focused – it supports care of orphans, etc. Health aid is classified as a separate stream in the OECD's Creditor Reporting System (CRS) database, as HIV funding is a significant stream in its own right. Separating HIV funding out from other health funding indicates the scale of spending on HIV and the significance of this area in social sector aid.

## BOX 4: AID TO HIV/AIDS – COUNTRIES THAT HAVE BEEN EFFECTIVE IN REDUCING HIV RATES AMONG CHILDREN ARE HIGH-AID RECIPIENTS

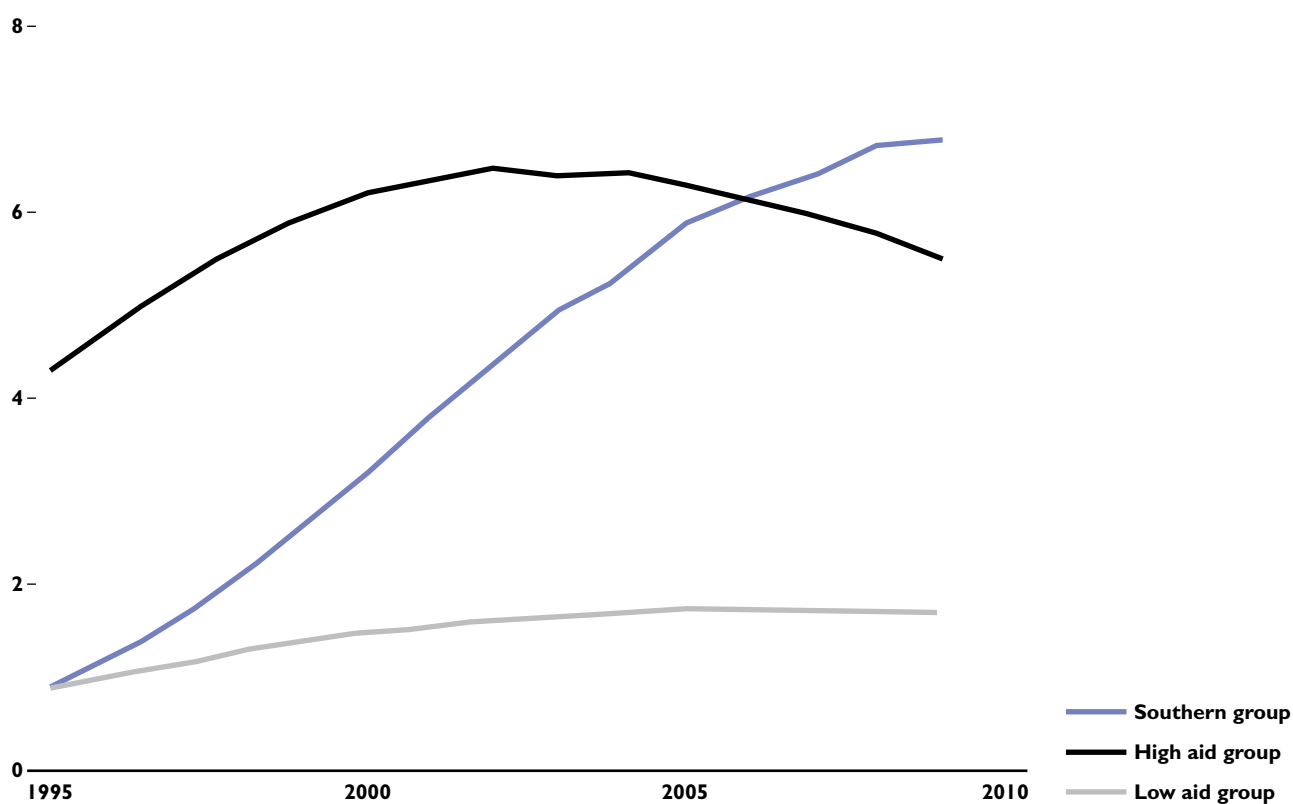
It is helpful to divide sub-Saharan Africa into three groups: southern Africa (Botswana, Lesotho, Mozambique, Namibia, South Africa and Swaziland), where HIV infection rates have soared over the past decade and have only recently started to stabilise; high-aid recipients (Kenya, Malawi, Rwanda, Tanzania, Uganda, Zambia and Zimbabwe); and the remainder. Figure 8 tells the story: southern Africa has seen infection rates rocket, the high-aid countries started with initially high levels of infection but have since made good progress, and the remaining, low-aid countries have low infection rates which have also recently started to fall. Our case study country, Botswana, is a major aid recipient and the only Southern African country to have seen infection rates fall since 2002.

These are descriptive statistics, not an attempt to determine a causal relationship, and there is a

great deal of variation around these averages. See Appendix 2 for full details and Figures A2.4 and A2.5.

Our analysis, excluding the southern African group of countries, shows that, since 2002, the average country receiving \$10 of additional annual aid per capita, roughly the difference between a high-aid and a low-aid country in the data, has reduced childhood infection rates by 0.2 children per 1,000 of population. So, between 2002 and 2009, high-aid countries reduced HIV infection by an additional 1.4 children per 1,000 total population. This is a big number in this context: in 2009 the (unweighted) average rate of infection in the group of southern African countries was 9 children per 1,000 population; in the rest of sub-Saharan Africa, it was 2.5 children per 1,000, and it was 6.5 in the cluster of high-aid countries.

FIGURE 8: RELATIONSHIP BETWEEN AID AND RATES OF HIV AMONG CHILDREN IN SUB-SAHARAN AFRICA, 1995–2010 (POPULATION-WEIGHTED MEANS PER 1,000 POPULATION)



Source UNAIDS and WHO data, authors' calculations.



# CASE STUDY 3

## Preventing mother-to-child transmission of HIV/AIDS in Botswana

With one of the highest levels of HIV prevalence in the world, Botswana saw life expectancy drop from 64 years in 1991 to 49 years in 2002, and rise again to 55 years in 2009.<sup>41</sup>

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Starting in 1999, and operating throughout the country, the PMTCT programme provides free HIV testing and ARV medication to HIV-positive pregnant women, making Botswana the first country in Africa to do so. Uptake of testing among pregnant women rose from 49% in 2002 to 91% in 2009 (Government of Botswana and UNAIDS, 2010). Those who choose not to breastfeed in order to prevent transmission<sup>42</sup> are provided with a year's free supply of infant formula milk (Baleta, 2010). However, because using formula is associated with HIV, many HIV-positive mothers who lack adequate information about transmission prefer to breastfeed.<sup>43</sup> The programme is implemented by a combination of government and NGO provision; the involvement of NGOs has led to a more community-oriented approach, including a programme of peer support to HIV-positive mothers.<sup>44</sup>

Between 2002 and 2010, the proportion of pregnant women who received ARVs rose from 27% to 95% (UNAIDS, 2010a) (see Figure A). The programme has successfully engaged men as well as women, and encouraged fathers to play a more active role in child health.

In 2007, 60% of infants born to HIV-exposed mothers received prophylactic ARVs. This figure had risen to

over 95% by 2009 (UNAIDS, 2010a). Botswana is moving towards Highly Active Antiretroviral Therapy (HAART) for all HIV-exposed pregnant women, aiming for 90% coverage by 2015 (UNAIDS, 2010a). This should lead to a further fall in infections among infants. In 2005, testing of infants via Dried Blood Spot technology was introduced, eliminating the need to supply prophylactic ARVs to HIV-negative babies.

As a result, the proportion of children of HIV-infected mothers who are infected declined from 20.7% in 2003 to 4.8% in 2007 (Baleta, 2010) and appears now to be levelling off (see Figure B). An estimated 10,000 child infections have been averted (Government of Botswana and UNAIDS, 2010).

### FINANCING FOR HIV PROGRAMMES AND ROLE OF AID

Public health expenditure has risen sharply over the past 15 years, from 2.2% of GDP in 1995 to 8.2% in 2009, and as a share of total government expenditure, from 5–6% in 1995–99 to 16–18% in 2004–09<sup>45</sup> (Figure C). It is not clear what proportion of this was allocated to HIV/AIDS. It is likely that this rising health expenditure reflects the substantial increase in aid to HIV-related activities in Botswana from 2002 (see Figure E). However, there was a decline in expenditure on PMTCT activities and on overall HIV activities between 2005 and 2008 (Figure D).

<sup>41</sup> World Bank World Development Indicators, <http://data.worldbank.org/indicator/SP.DYN.LE00.IN/countries/BW?display=graph> (accessed 4 September 2011).

<sup>42</sup> WHO has recently issued new guidelines which indicate that breastfeeding is the preferred source of nutrition for infants of HIV-positive mothers, where this is the safest option, either because of limited access to safe water for formula preparation, or because highly effective ARV regimens eliminate the risk of transmission. ([http://www.who.int/mediacentre/news/releases/2009/world\\_aids\\_20091130/en/index.html](http://www.who.int/mediacentre/news/releases/2009/world_aids_20091130/en/index.html)), accessed 14 February 2012.

<sup>43</sup> [www.genderhealth.org/the\\_issues/women\\_girls\\_and\\_hiv/botswana\\_stigma/](http://www.genderhealth.org/the_issues/women_girls_and_hiv/botswana_stigma/) (accessed 6 September 2011).

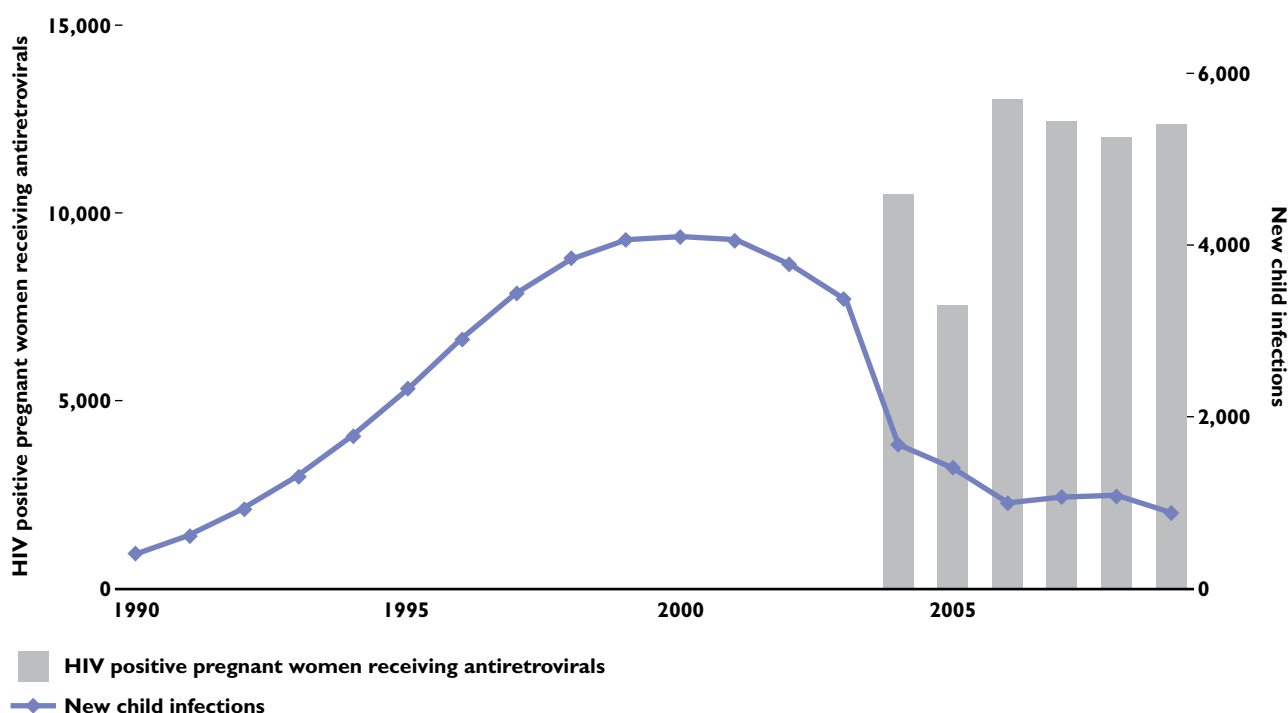
<sup>44</sup> More information on this programme could not be found. But more information on HTC and Community Based Healthcare interventions is available here: <http://www.naca.gov.bw/node/26>

<sup>45</sup> World Bank, World Development Indicators, <http://data.worldbank.org/indicator/SH.XPD.PUBL.ZS/countries/BW?display=graph> (accessed 5 September 2011).

Unlike many other high HIV-prevalence countries, given its middle-income status, Botswana's HIV/AIDS response has principally (66%) been financed by domestic funds (Government of Botswana and UNAIDS, 2010). Bilateral aid from the US government has been by far the most significant source of

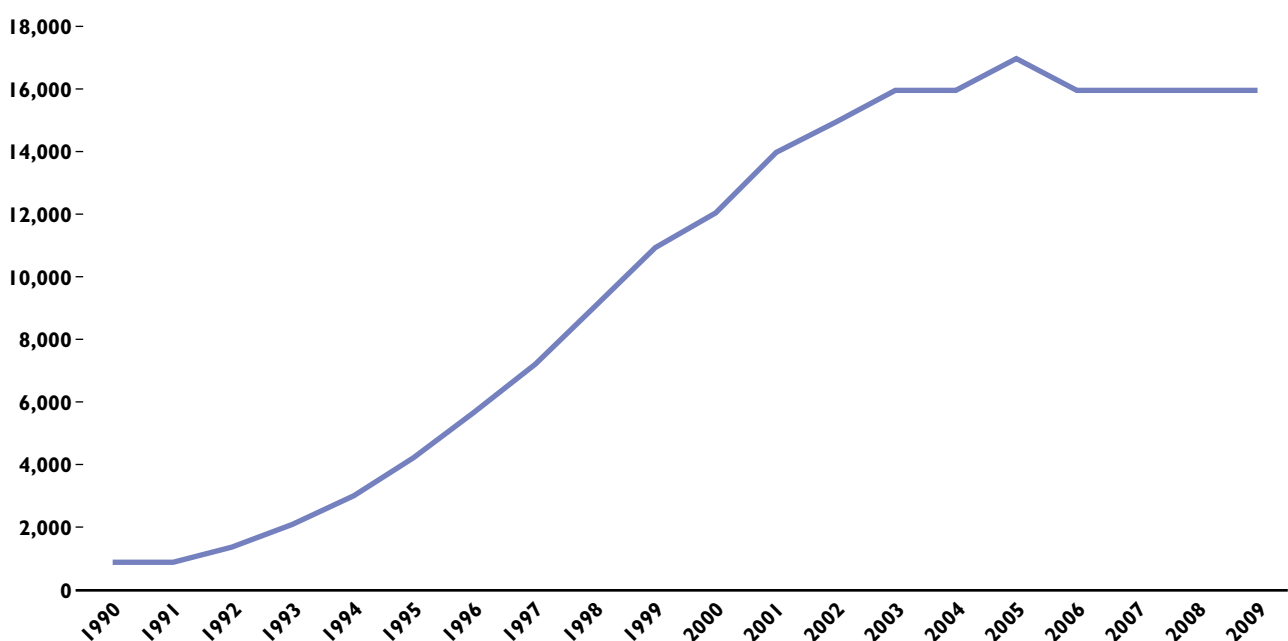
international funding to tackle HIV in Botswana (see Figure F). Over the period 2004–11, Botswana received \$556.8m from the UK government and over \$15m from the Global Fund to support the national HIV programme, including PMTCT.

FIGURE A: HIV-POSITIVE WOMEN RECEIVING ARVS AND NEW CHILD INFECTIONS, 1990–2009



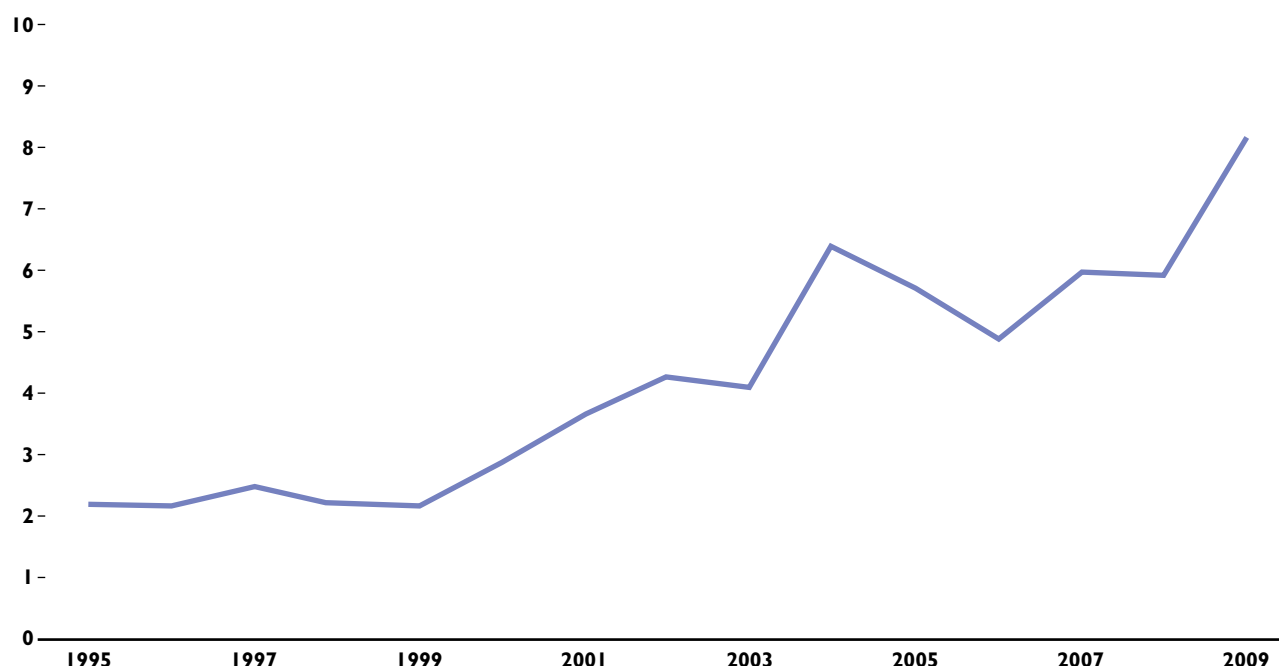
Source: UNAIDS Botswana country fact sheet, [www.UNAIDS.org/en/dataanalysis/tools/aidsinfo/countryfactsheets/](http://www.UNAIDS.org/en/dataanalysis/tools/aidsinfo/countryfactsheets/).

FIGURE B: CHILDREN AGED 0–14 LIVING WITH HIV IN BOTSWANA, 1990–2009



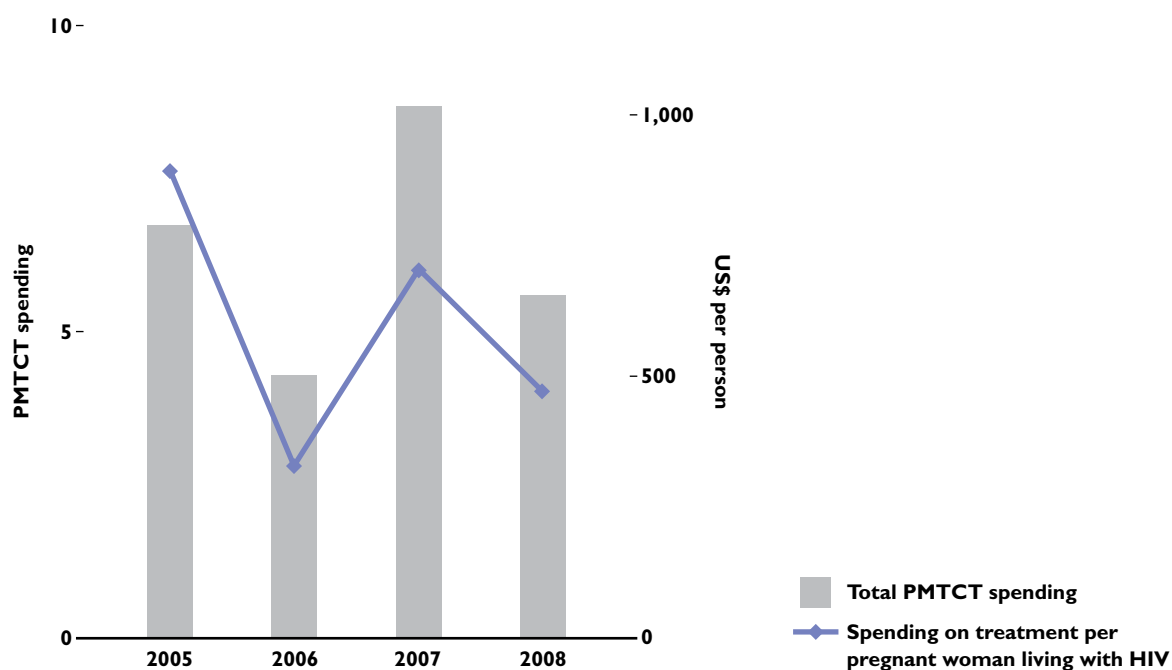
Source: World Development Indicators, <http://data.worldbank.org/indicator/SH.HIV.0014/countries/BW?display=graph>.

FIGURE C: PUBLIC HEALTH EXPENDITURE IN BOTSWANA, 1990–2009 (% OF GDP)



Source: World Development Indicators, <http://data.worldbank.org/indicator/SH.XPD.PUBL.ZS/countries/BW?display=graph>.

FIGURE D: TRENDS IN SPENDING ON PMTCT IN BOTSWANA, 2005–08 (\$ MILLION)



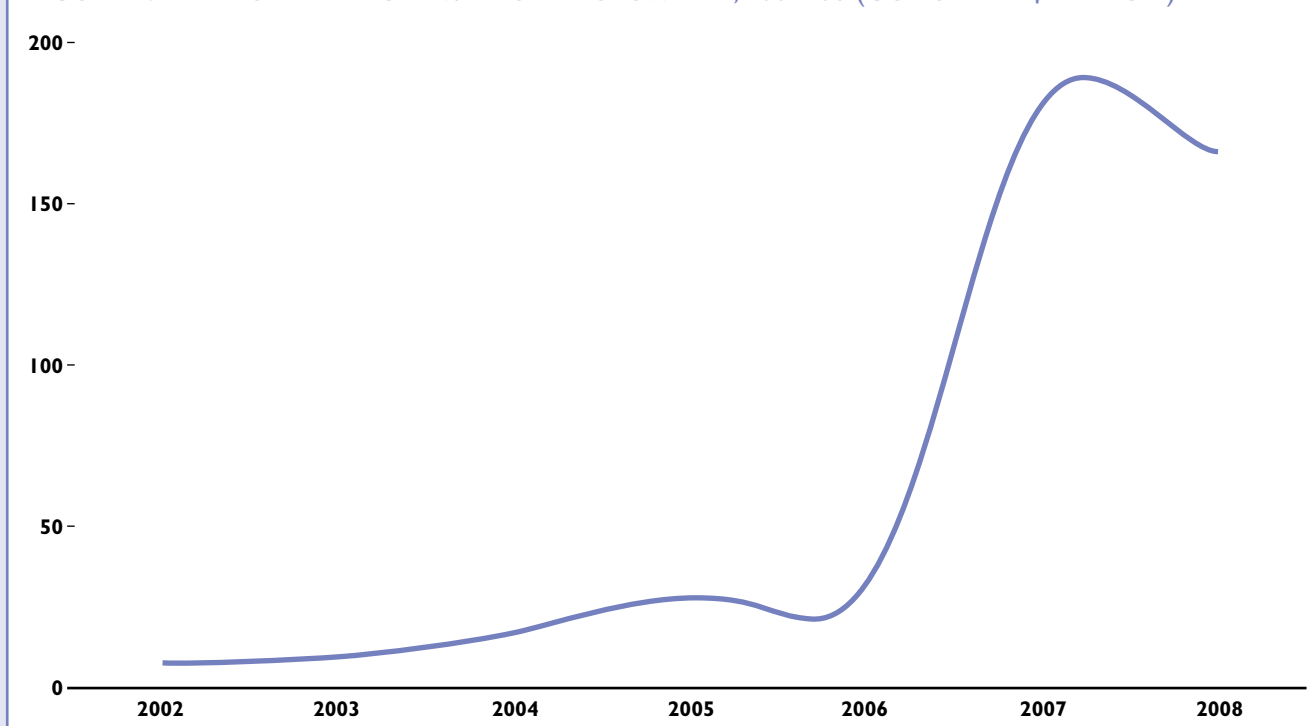
Source: UNAIDS Botswana country fact sheet, [www.UNAIDS.org/en/dataanalysis/tools/aidsinfo/countryfactsheets/](http://www.UNAIDS.org/en/dataanalysis/tools/aidsinfo/countryfactsheets/).

## CHALLENGES

**Preventing infection among women of reproductive age** and preventing unintended pregnancies among HIV-positive women are critical ongoing challenges, as is extending antenatal care (and thus the PMTCT programme) to the poorest women. The success of the PMTCT programme now

means that the bulk of transmission is through the small minority of HIV-infected mothers who do not yet have access to ARVs. Reaching these women is one of the key challenges. However, there is also some evidence that the success of the PMTCT programme means that the relatively few infants and young children with HIV are stigmatised in a way that was not the case when prevalence levels among

FIGURE E: TRENDS IN AID TO HIV/AIDS IN BOTSWANA, 2001–08 (CONSTANT \$ MILLION)

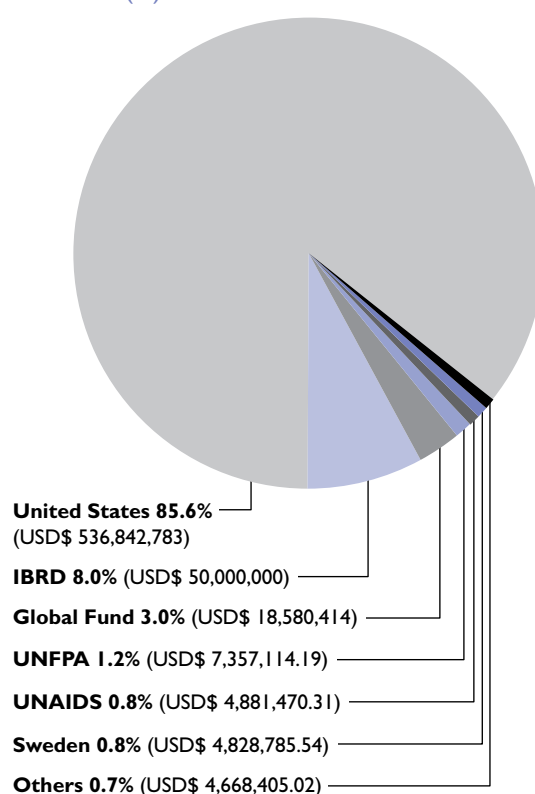


Source: OECD-DAC data, authors' calculations.

children were higher and children living with HIV were much more common. Widespread knowledge of the PMTCT programme has meant that such children are suspected not to have acquired HIV from their mothers but rather through witchcraft or sexual violence.<sup>52</sup> Other, perhaps bigger problems are the impact on breastfeeding for both HIV-exposed mothers and the wider population as infant formula has become the norm; and providing support to children growing into adolescence who have grown up HIV-positive, having been infected through MTCT but supported on ARVs.

**Resourcing:** As an upper-middle-income country, Botswana is becoming a lower priority for donors than poorer HIV-affected countries and, for example, has not received further rounds of funding from the Global Fund. One consequence of this shift in donor priorities is a reduction in funds available for civil society organisations, which could undermine outreach to the most disadvantaged. This projected decline is occurring at a time when economic growth has not picked up from the effects of the global crisis, implying that national and international partners' resources for PMTCT, as for other HIV programmes, could be reduced.

FIGURE F: INTERNATIONAL FUNDING TO HIV/AIDS IN BOTSWANA BY DONOR, 2000–08 (%)



Source: OECD-DAC data, authors' calculations.

<sup>52</sup> [http://publichealth.brown.edu/ihi/download/proposals/BloodofInnocents\\_HIV\\_Botswana.pdf](http://publichealth.brown.edu/ihi/download/proposals/BloodofInnocents_HIV_Botswana.pdf) (accessed 6 September 2011).

### 3.4 WATER, SANITATION AND HYGIENE<sup>53</sup>

Globally, lack of sanitation, insufficient water supply and poor personal hygiene contribute to an estimated 2.2 million deaths every year, 90% of them among children under five (Danida, 2010; Evans, 2005; both in ODI, 2010b). Diarrhoea – which is caused mainly by poor water, sanitation and hygiene – is still the second-largest single cause of under-five child mortality worldwide, killing more children under five than AIDS, malaria and measles combined.

#### What progress in water and sanitation has been achieved?

There has been mixed progress in increasing access to water and sanitation. The latest stocktaking of progress (WHO and UNICEF, 2012) indicates that the MDG drinking water target, which calls for halving the population without sustainable access to safe drinking water between 1990 and 2015, was met in 2010, five years ahead of schedule. However, the world is unlikely to meet the target of halving the population without access to basic sanitation target. Box 5 outlines trends and Figures 9 and 10 indicate regional progress in access to improved sanitation and water.

While some countries have made considerable progress in particular areas (eg, Burkina Faso in extending access to improved water (ODI, 2011b)), overall progress has been slower for water, sanitation and hygiene than for other areas of development. This may reflect lower political profile, prioritisation and resource commitments to this area, by both governments and donors. Unlike our case studies of education, HIV, early childhood education and malnutrition, where the president or prime minister of the country concerned has had a particular commitment to progress in one of these areas, water, sanitation and hygiene is more of an ‘orphan’ area.

#### Ongoing challenges

##### Large numbers of people remain without access to improved water and sanitation

A total of 780 million people worldwide, 38% of whom are in sub-Saharan Africa, lack access to improved sources of drinking water. Of these, a total of 605 million people worldwide will still be without improved water in. A total of 2.5 billion

#### BOX 5: SOME TRENDS IN WATER AND SANITATION

- In 2009, 87% of the world’s population had access to improved water, compared with 77% in 1990 – an extra 1.8 billion people.
- Progress has been slower in sub-Saharan Africa than in other regions. Only 60% of sub-Saharan Africa’s population has access to improved water sources, up from 49% in 1990. The greatest progress (a 20 percentage point increase) has been in east Asia.
- Over the past 20 years, an additional 1.3 billion people worldwide have gained access to improved sanitation,<sup>54</sup> rising from 54% of the world’s population with access in 1990 to 61% in 2009.

Source: compiled from WHO/UNICEF (2010).

people worldwide, or 37% of the world’s population, do not have access to improved sanitation, 72% of them in south and east Asia (ODI, 2010b). Even if the world meets the MDG target, 2.4 billion people will be without improved sanitation in 2015; or if current trends continue, 2.7 billion people, mostly in south Asia, east Asia and sub-Saharan Africa, will lack improved sanitation (WHO and UNICEF, 2012).

Continued efforts are needed to reduce urban-rural disparities and inequities associated with poverty; to dramatically increase coverage in countries in sub-Saharan Africa and Oceania; to promote global monitoring of drinking water quality; to bring sanitation ‘on track’; and to look beyond the MDG target towards universal coverage.

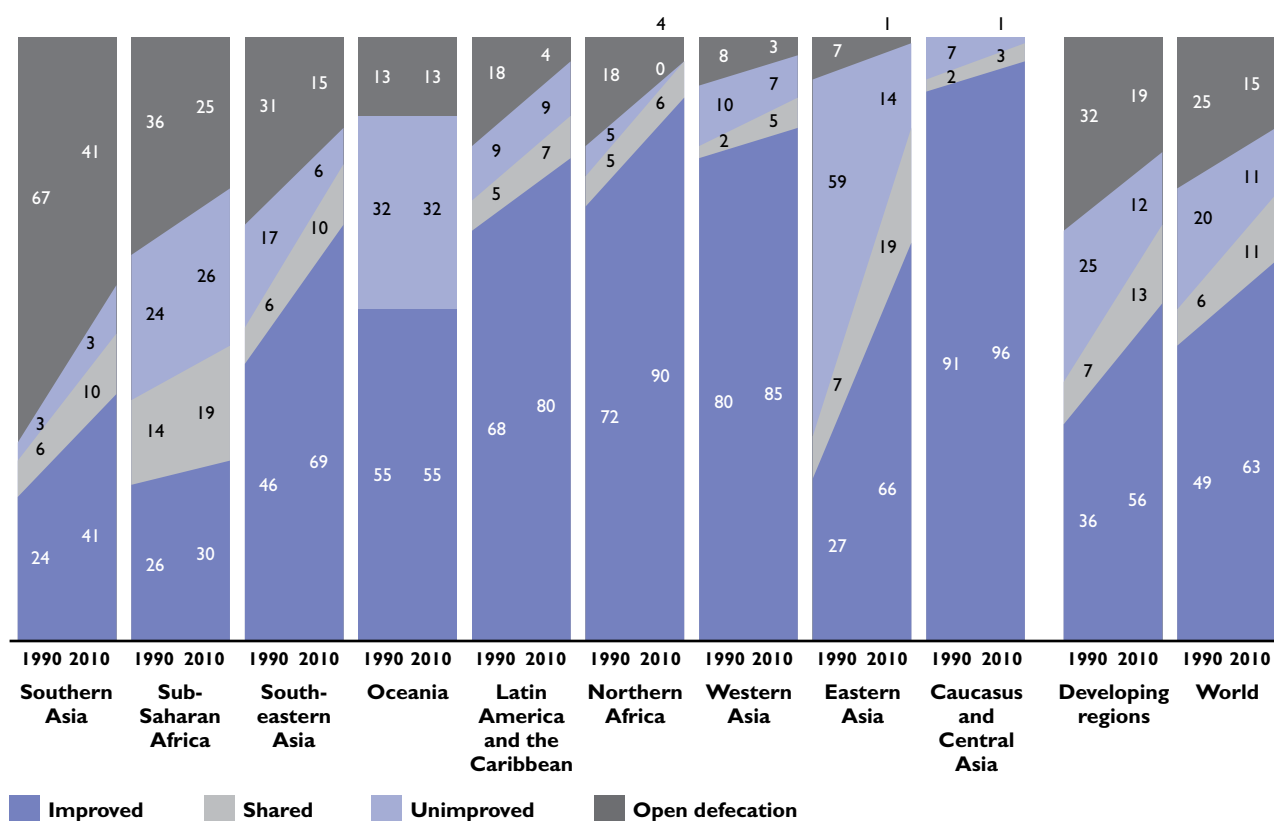
#### Addressing disparities in provision:

**Between rural and urban areas:** Though the ratio has improved since 1990, there was still five times more people in rural areas using an unimproved water source in 2010 than in urban areas. However, population growth in urban areas is outstripping the rate of progress in improved sanitation provision (ibid.). Well over a billion people gained access to improved water sources between 1990 and 2010 but due to the massive growth in the urban population over the same time period – rising from 2.3 billion

<sup>53</sup> Because of greater information availability (related to there being MDGs for water and sanitation), this section focuses principally on access to water and sanitation.

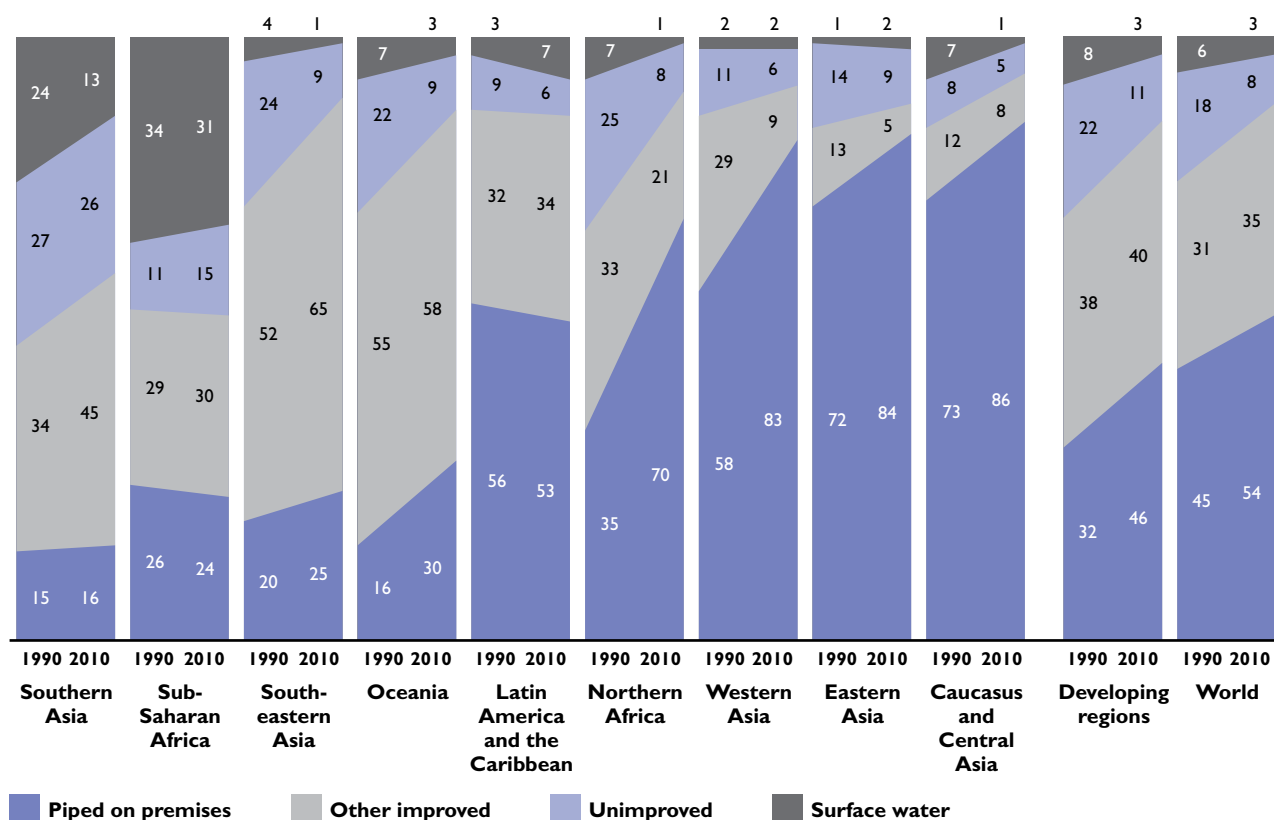
<sup>54</sup> This is defined as a flush or pour toilet, a ventilated improved pit latrine, a pit latrine with slab or a composting toilet (WHO and UNICEF, 2010).

**FIGURE 9: SANITATION COVERAGE IS IMPROVING IN ALMOST EVERY DEVELOPING REGION  
(% OF POPULATION)**



Source: WHO and UNICEF (2010).

**FIGURE 10: ACCESS TO PIPED WATER SUPPLIED ON PREMISES VARIES WIDELY AMONG REGIONS  
(% OF POPULATION)**



Source: WHO and UNICEF (2010).



to 3.5 billion people – the number of urban dwellers using unimproved sources actually increased, from 109 million to 130 million. By contrast, in rural areas, the number of people using unimproved sources decreased from 1.1 billion to 653 million, during a time of more modest population growth. (WHO and UNICEF, 2012).

**Between rich and poor households:** In many countries, inequalities in access to water, sanitation and hygiene have sharpened since 1990. In India, for example, 166 million people gained access to improved sanitation between 1995 and 2008, but very little progress was made in the poorest households (UNICEF, 2010b). In sub-Saharan Africa as a whole, the poorest quintile is 16 times more likely to practise open defecation than the richest quintile, 5 times less likely to use improved sanitation and half as likely to use an improved water source (WHO and UNICEF, 2010).

### Improving school sanitation

Improving school sanitation is a strategically important area for investment. It reduces the likelihood of infections being spread at school and of children falling sick with water-borne illnesses (see Case Study 4 from the Philippines); together

with hygiene education, it can help to build hygienic practices among the next generation; and lack of school toilets is also a major impediment to school attendance, particularly for adolescent girls.<sup>55</sup> Data from countries where UNICEF is active show a positive trend over the past 10 years.<sup>56</sup> Progress is slow, however, particularly in the poorest and least developed countries, although more rapid than in those with UNICEF engagement than in those without (see Figure 11).

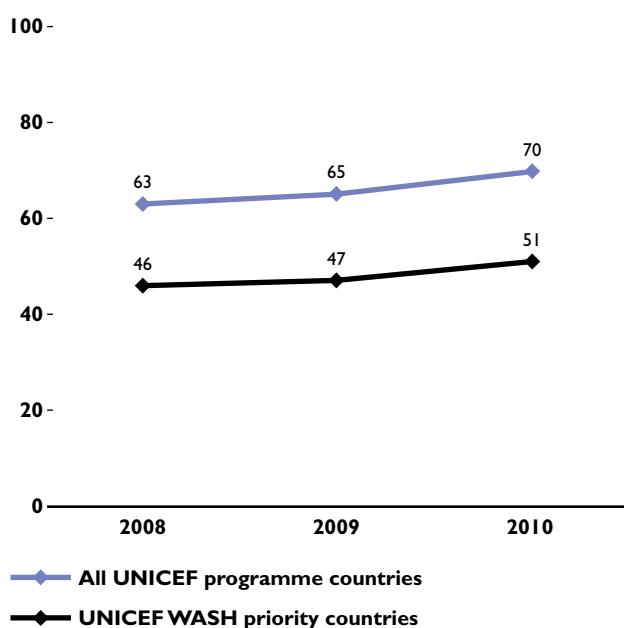
### Mobilising financing for water and sanitation

Relative to other sectors, the sanitation and drinking-water share of development aid decreased markedly over the period 1998–2008, despite its relevance to achieving almost all of the MDGs. In 1997, it accounted for 8% of development aid; now it comprises 5% (WHO and UN Water, 2010). As Figure 12 illustrates, water, sanitation and hygiene is a moderate priority area for donors in terms of the funds it receives.

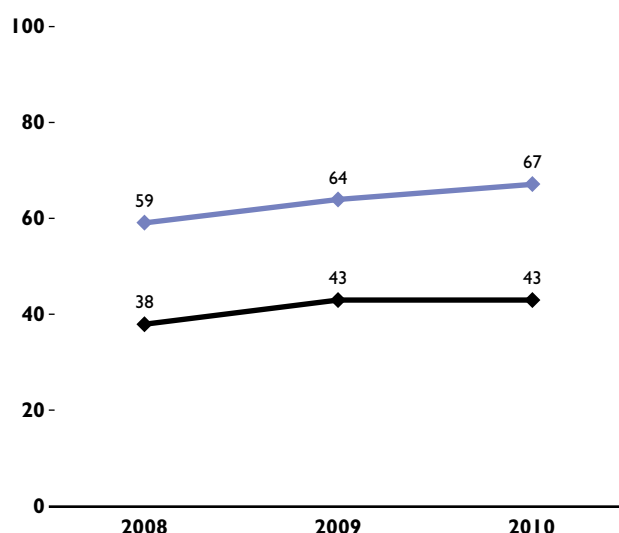
In 2008, aid to sanitation and drinking water amounted to over \$7.4 billion (as reported to OECD CRS). Of this, \$3.9 billion was in the form of grants and \$3.5 billion in concessional loans. The total aid

FIGURE 11: TRENDS IN SCHOOL WATER AND SANITATION COVERAGE, 2008–10 (%)

% of schools with adequate water facilities, average (data from 110 programme countries and 41 priority countries)



% of schools with adequate sanitation facilities, average (data from 100 programme countries and 37 priority countries)



Source: UNICEF (2010b).

<sup>55</sup> Overall data on improvements in school sanitation could not be found.

<sup>56</sup> Comparable data for countries where UNICEF had no presence were not available.

disbursements for sanitation and drinking water by DAC members and multilaterals for which data were available amounted to \$5.3 billion in 2008.

Aid to drinking water and sanitation is generally not well targeted. Low-income countries receive only 42% of the total aid, and aid to basic sanitation and drinking water services decreased from 27% to 16% over the period 2003 to 2008, while non-concessional loans to middle-income countries increased (WHO and UN Water, 2010).

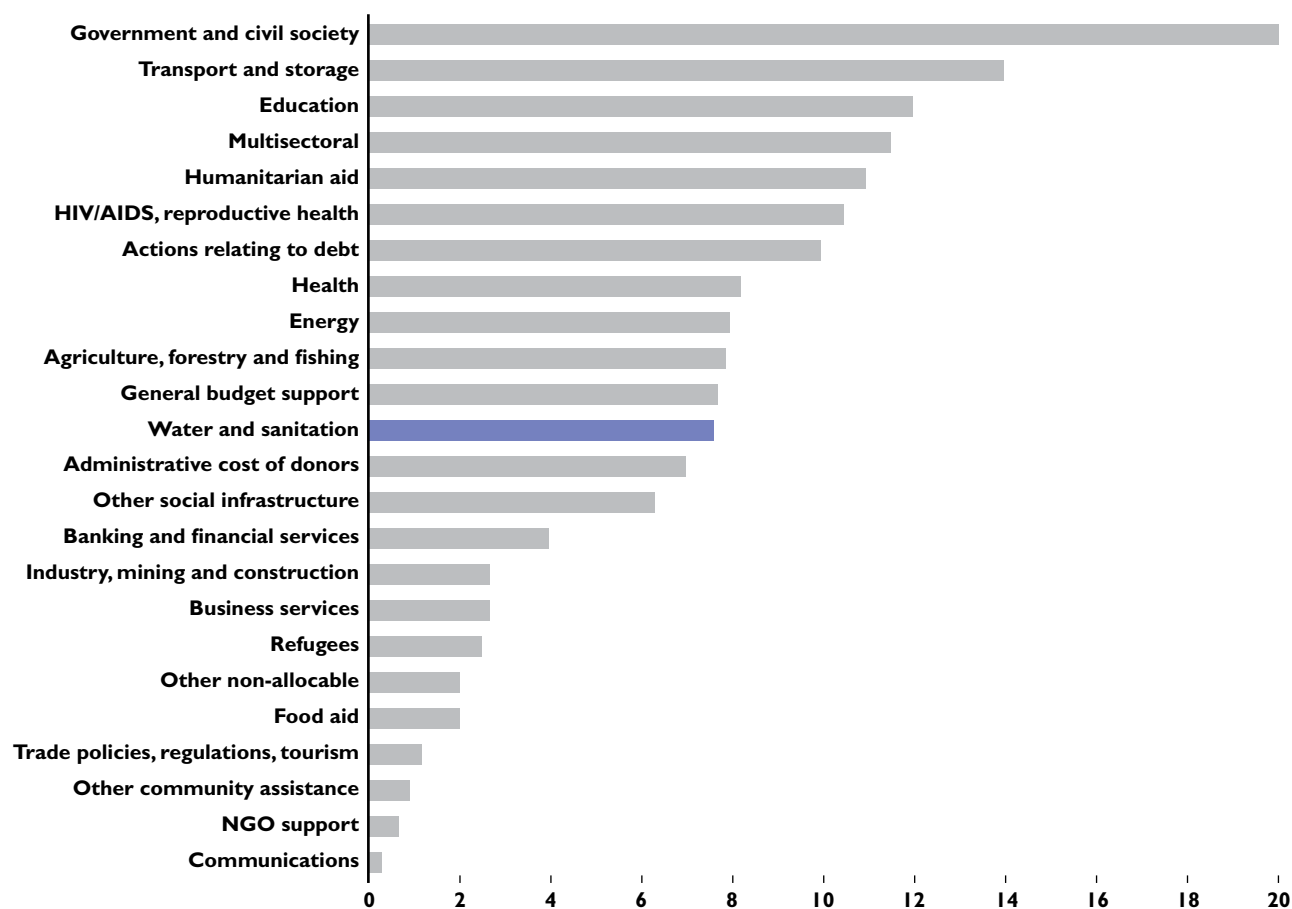
A UN survey of countries' financing needs to meet the water and sanitation MDGs found that only 2 out of 37 countries (Kenya and South Africa) indicated that they had 75% of the financing needed to meet the MDG on sanitation, and only 5 out of 37 had 75% of the funding required to meet the drinking water MDG (WHO and UN Water, 2010). This indicates an important funding gap in the sector.

Of these 37 countries, governments spent between 0.04% and 2.8% of GDP on drinking water and

between 0.01% and 0.46% of GDP on sanitation, with a median expenditure of 0.48% of GDP on drinking water and sanitation combined.<sup>57</sup> These figures include aid monies as well as funds from domestic sources (WHO and UN Water, 2010).

There is growing momentum behind a drive to substantially extend water and sanitation coverage. The Thekwini Declaration, signed by 30 African governments, commits signatories to increasing expenditure on sanitation to 0.5% of GDP (WHO and UN Water, 2010). The Sanitation and Water for All partnership – formally launched in 2010 – encourages members to ensure that resources for water and sanitation are increased, that they are targeted towards countries that are farthest from meeting the MDG water and sanitation targets and that the focus is on marginalised populations within countries (UNICEF, 2010b). Eleven donors report a commitment to increasing funds to improve access to water and sanitation over the period up to 2015 (WHO and UN Water, 2010).

FIGURE 12: SANITATION AND DRINKING-WATER AID COMMITMENTS IN RELATION TO ALL OTHER ODA COMMITMENTS, 2008 (\$ BILLION)



Source: OECD (2010a), in [http://whqlibdoc.who.int/publications/2010/9789241599351\\_eng\\_Part1a.pdf](http://whqlibdoc.who.int/publications/2010/9789241599351_eng_Part1a.pdf).

<sup>57</sup> No assessments of the adequacy of this spending in relation to need could be found.

# CASE STUDY 4

## Fit for School in the Philippines – a model for a simple, scalable and sustainable school health programme to promote child well-being

School health programmes as a platform to deliver high-impact health interventions are currently underrated by decision-makers and do not get adequate attention from the international public health community. The award-winning Fit for School Approach in the Philippines is an example of a large-scale, integrated, cost-effective and evidence-based programme that bridges the gap between sectors and between evidence and practice. The Fit for School Action Framework is a realistic and tested approach that helps to make schools places of public health for children and the wider communities surrounding them.

### THE CHALLENGE

While many countries have policies related to school health, the complexities of implementing large-scale school-based health programmes often lead to a gap between intention and practice. Difficulties in overcoming barriers to inter-sectoral collaboration between health, sanitation and education, administrations trapped in vertical thinking, and lack of leadership are among the reasons for an all-too-often untapped potential for school health. Basic health education is a topic on most national model curricula, but efforts often stop there and reduce schools to places of educational knowledge transfer, ignoring the huge potential for tangible and sustained behaviour change through a skills-based approach. Furthermore, schools are not only education facilities for children, but also centres of community life. They can play a pivotal leadership role in changing the living conditions of the surrounding communities by addressing some basic health issues.

### THE FIT FOR SCHOOL APPROACH

The Fit for School (FIT) Approach is an innovative integrated school health concept implemented in public elementary schools in the Philippines under the Department of Education's Essential Healthcare Programme (EHCP). It combines evidence-based interventions against some of the most prevalent diseases among schoolchildren: worm infections, hygiene-related infections such as diarrhoea, respiratory infections and rampant tooth decay. The programme includes hand-washing with soap and tooth-brushing with fluoride toothpaste as daily group activities for children organized by teachers, and is complemented by twice-yearly de-worming, also done by teachers. These activities are conducted in parallel with improvements in water and sanitation, where parents and the community are actively involved in the construction of washing facilities or the provision of clean water to schools without access, thus tackling key determinants of health. The programme currently targets more than 2 million Filipino children. Material costs of \$0.50/child/year for soap, toothpaste and toothbrushes make the programme very affordable: it can be integrated in the regular budgets of local government units, thus ensuring sustainability beyond external funding, donations or corporate sponsorship. In the Philippines, the NGO Fit for School Inc., supported by major international development agencies (GIZ, the Australian Agency for International Development [AusAID] and UNICEF) provides capacity development, technical support to management, monitoring and evaluation, and advocacy with all concerned partners.

Recognition through international awards such as the Award for Innovation in Global Health (2009) from the World Bank, the UN Development Programme

(UNDP) and WHO, and the Poverty Reduction, Equity and Growth Network Award for effectively linking research and practice, highlight the model character of FIT and the importance of school health in general. These factors have all contributed to increased interest from other countries throughout Asia, resulting in three other South-East Asian countries now implementing the FIT Approach, in partnership with the South-East Asian Ministers of Education Organization and GIZ.

## PROGRAMME IMPACTS

Programme impacts are assessed through a longitudinal health outcome study and a participatory monitoring and evaluation process looking into implementation quality. The one-year results of the health and education impacts are significant. The number of days of absence from school had reduced by almost 30%, the number of children with heavy worm infection had halved, prevalence of a body mass index below normal had reduced by 20% and incidence in oral infections due to tooth decay had reduced by almost 40%. These are very promising results after a relatively short time of implementation, and it is expected that these trends will continue in the years to come.

## THE FIT FOR SCHOOL ACTION FRAMEWORK

International policy frameworks for school health, particularly the Focusing Resources on Effective School Health of the UN Educational, Scientific and Cultural Organization (UNESCO), the WHO Health Promoting School concept and the UNICEF Child Friendly Schools framework provide the conceptual context for the innovative Fit for School Action Framework, which is the basis of operation for the FIT Approach. At the core of the Framework are the three Ss: simple, scalable and sustainable. These are key characteristics of any successful large-scale school health programme.

1. **Simple:** Interventions in school health must be based on best possible evidence, should be cost-effective and are more likely to achieve high impact if only a few key diseases are in focus and the interventions are packaged to make implementation as easy as possible.
2. **Scalable:** The FIT Approach shows that large-scale implementation is possible if interventions follow a modular structure and are based on uniform templates. Using existing structures and resources is an essential part of scalability, such as relying on a few simple interventions implemented by teachers, rather than health professionals.

## RESULTS OF THE EHCP INTERVENTION AND CONTROL GROUP AFTER ONE YEAR OF IMPLEMENTATION:

Indicator	Intervention	Control	Difference
	n = 544	n = 173	
Days of absence in 2009	3.2 ± 3.9	4.4 ± 4.8	<b>-27.3%</b>
Prevalence of children below normal body mass index (thin)	28.1%	35.3%	<b>-20.4%</b>
Prevalence of children with heavy worm infection	10.4%	19.7%	<b>-47.2%</b>
Reduction in oral infection	0.08 ± 0.33	0.13 ± 0.40	<b>-38.5%</b>

3. Sustainable: Any programme will be successful in the long run only if it is not donor-dependent. The FIT Approach is based on the principle of sustained government funding after an initial start-up phase. It also actively involves communities and parents in the programme through a participatory monitoring and evaluation process or through the construction of required group washing facilities. A supporting policy framework that addresses macro- and micro-management issues alike is crucial in ensuring sustainability. Effective and appropriate research and monitoring complement and inform programme management as well as political decision-makers.

A set of simple enabling principles helps to pragmatically bridge the gap between well-intended policy and real-life implementation. These are: clear agreements between stakeholders on the vision and values of the programme; formalised inter-sectoral collaboration and advocacy at the different levels of the health and education system; and addressing the broad range of stakeholders at local, regional and national levels. In this way, the approach helps to demonstrate that effective school health contributes to different sectors of the overall development agenda.

## OUTLOOK

Using the existing close collaboration between Fit for School and UNICEF as a basis, it is intended to combine the scalable and cost-effective approach of FIT with current UNICEF water, sanitation and hygiene concepts in order to develop a uniform agreed basic hygiene package for schools worldwide. The package will address the essential infrastructure needs of schools in terms of water access as well as group washing and sanitation facilities. Furthermore, the package will promote daily skills-based activities, such as group hand-washing and tooth-brushing, to create healthy social norms. Complemented by regular de-worming where required and appropriate, the package will tackle key determinants of child health and well-being.

Effective school health, embedded in a community context, driven by the education sector and supported by the health and sanitation sectors, produces benefits across many areas, makes schools healthier places and contributes to greater health equity – all at exceptionally low cost. Preventing diseases at an early age and promoting healthy life skills promises huge long-term returns. Healthy children have better self-esteem, perform better at school, grow and develop better and stand higher chances of reaching their full potential. Effective school health can be a key contributor to better child well-being in the widest sense (Benzian et al., 2012).

### 3.5 EARLY CHILDHOOD DEVELOPMENT

Good-quality early childhood development (ECD) programmes can enhance physical well-being (health and nutrition) and motor development, social and emotional development, language development and basic cognitive skills.<sup>58</sup> There is also strong evidence that preschool experience increases school readiness, timely enrolment rates in the first grade of primary school, and primary school completion, and that it reduces school drop-out and repetition (UNESCO, 2007). These benefits can be long-lasting. For example, longitudinal evidence from Turkey indicates that children supported by the Early Enrichment Project in low-income suburbs of Istanbul were significantly more likely to complete primary school (by a difference of 19 percentage points), began working later, were more likely to attend university and had higher occupational status than children who had not taken part in the project (Kagitcibasi et al., 2001, in UNESCO, 2007). Evidence from the US and Brazil shows that low-income urban children who attended preschool or received home-based support were significantly less likely to commit crimes in later life (World Bank, 2010). The opportunity to help disadvantaged children have a more equal start in schooling arises in the earliest years of life. This is when children's brains are developing most rapidly and the basis for their cognitive, social and emotional development is being formed (UNICEF, 2006).

Given that ECD programmes help disadvantaged children, who are typically more likely to be lagging in key areas of development than their wealthier counterparts, they have the potential to help reduce educational and later employment inequalities, provided these children can be guaranteed access. However, it is important to stress that much ECD provision – in both developed and developing countries – is not of good quality and is principally custodial; that is, it provides a safe place for young children while parents are working (Penn, 2004) rather than being developmental. ECD programmes, on the other hand, typically involve centre-based education and care, such as kindergartens or day nurseries; home visits and/or parenting classes; and monitoring through the public health system, particularly for nutrition-based inputs.

#### How has progress in ECD been achieved?

Most data available concern young children's attendance at preschool educational institutions, such as kindergartens, and so we focus on this area. The number of children enrolled in pre-primary schools worldwide increased by nearly 21%, or 25.6 million, between 1999 and 2008, to 148 million. The increase is due mostly to gains in south and west Asia, sub-Saharan Africa and, to a lesser extent, Latin America and the Caribbean (UNESCO, 2008).

In much of the world, these increases have been from a very low starting point. The gross enrolment rate for pre-primary education in sub-Saharan Africa and the Middle East and North Africa remains extremely low – 14% and 17% respectively (UNESCO, 2008). There are exceptions, such as Ghana, where pre-primary enrolment jumped from 40% to 55% in 2005 following the introduction of two years of free kindergarten education in public schools, whereby the schools received a grant for every child enrolled (*ibid.*; Garcia et al., 2008). Similarly, in other middle-income African countries such as South Africa and São Tomé and Príncipe, enrolments have risen significantly to between 40% and 50% (UNESCO, 2011). In the poorest countries, such as Burundi, Chad, Mali and Niger, pre-primary enrolment rates are below 5% (*ibid.*).

In middle-income Latin American countries, increases in enrolment reflect a trend towards making one year of preschool education universal. In some countries, particularly in central Asia and the Caucasus, gross enrolment ratios declined between 1999 and 2004 in the aftermath of transition but have subsequently started to rise (UNESCO, 2011).

Worldwide, there has been an improvement in pupil-teacher ratios in pre-primary education in recent years, with an average of 22:1 in 2005 (UNESCO, 2008).<sup>59</sup> This reflects increasing investment in provision of pre-primary teachers, often as part of the general expansion of the education system, as in Ethiopia (see Section 3.6).

<sup>58</sup> Nadeau et al. (2010) summarise the evidence on the contribution of ECD programmes to children's well-being in each of these areas.

<sup>59</sup> The policy on free kindergarten in Ghanaian public schools was accompanied by a rise in the pupil-trained teacher ratio to 155:1, from an already high 103:1 (UNESCO, 2008).



## How have these reductions been financed?

Data on trends in government expenditure in ECD provision are not consistently available; however, as the discussion below on challenges in financing indicates, overall expenditure levels are extremely low. Aid has played an important role in extending provision in some countries, such as Ghana,<sup>60</sup> and the volume of aid has increased in recent years after falling in the early part of the decade (see Figure 13). A significant proportion of the growth in enrolment that has occurred may have been driven by private sector and NGO investments, but this could not be verified from the available data.<sup>61</sup>

Some countries, such as Ghana, Mauritius and Namibia, have developed – and then implemented – sector development plans for ECD. This has been part of an integrated process that has increased financing levels with the objective of meeting agreed goals for ECD (Boakye et al., 2008). Political will and technical capacity to drive forward and implement these plans have been crucial.

## Ongoing challenges

### Increasing overall levels of access to and enrolment in ECD programmes

This is a major challenge, particularly in the poorest countries, where less than 5% of the population has any pre-primary education.

### Significant rural–urban differentials in enrolment

At between 10 and 30 percentage points in most countries, with rural children much less likely to attend (UNESCO, 2007), these differentials reflect the lack of both public and private preschool provision in many (particularly lower-income) countries. This means that many children in more marginalised contexts have little or no access to preschool. A few countries, such as Chile (see Case Study 5), Colombia (Vargas-Baron, 2009) and Cuba (Tinajero, 2010) have either universalised services or developed special initiatives to provide ECD programmes in remote rural areas.

## Significant rich–poor differentials

Overall, poorer children are much less likely to take part in preschool education programmes than their better-off counterparts. For example, in Ghana, which has the highest pre-primary enrolment levels in sub-Saharan Africa, the wealthiest children are four times as likely to be enrolled as the poorest children (UNESCO, 2011). However, these inequalities are not universal (UNESCO, 2007). Some countries principally provide publicly subsidised ECD programmes to poorer children – for example, India's Integrated Child Development System – whereas children from wealthier families attend private preschools. Others, such as Chile, are making significant efforts to close gaps in provision and uptake among different socio-economic groups (see Case Study 5). Many NGO ECD programmes also cater mainly for disadvantaged children. Data on numbers served or on trends in NGO provision are not available.

In most countries, although there are some striking exceptions, the gender gap in enrolment is relatively low, at less than 10%, and which gender is favoured varies (UNESCO, 2007). In the pre-primary gross enrolment ratio gender parity index recorded by UNESCO, the lowest ratio was found in the Arab States, at 0.92 females to males (thus discriminating against girls), sub-Saharan Africa showed 0.99, very close to equal, Latin America at 1, and the highest rates favouring girls are found in east Asia and the Caribbean at 1.01, and central Asia at 1.02 (UNESCO, 2011).

## Quality

Improved quality is necessary so ECD programmes can make a greater contribution to improving children's health, well-being and later educational prospects. This can involve measures such as reducing teacher–pupil ratios; increasing children's opportunities to use age-appropriate educational resources; including more play-based activities in some contexts; increasing teacher engagement with children (so ECD becomes more educative and less custodial); and integration of health and nutrition aspects into ECD programmes.

<sup>60</sup> Donor spending on pre-primary education in Ghana rose from 0.7% of total education allocations in 2004 to 5% in 2006 (Akwey, 2007).

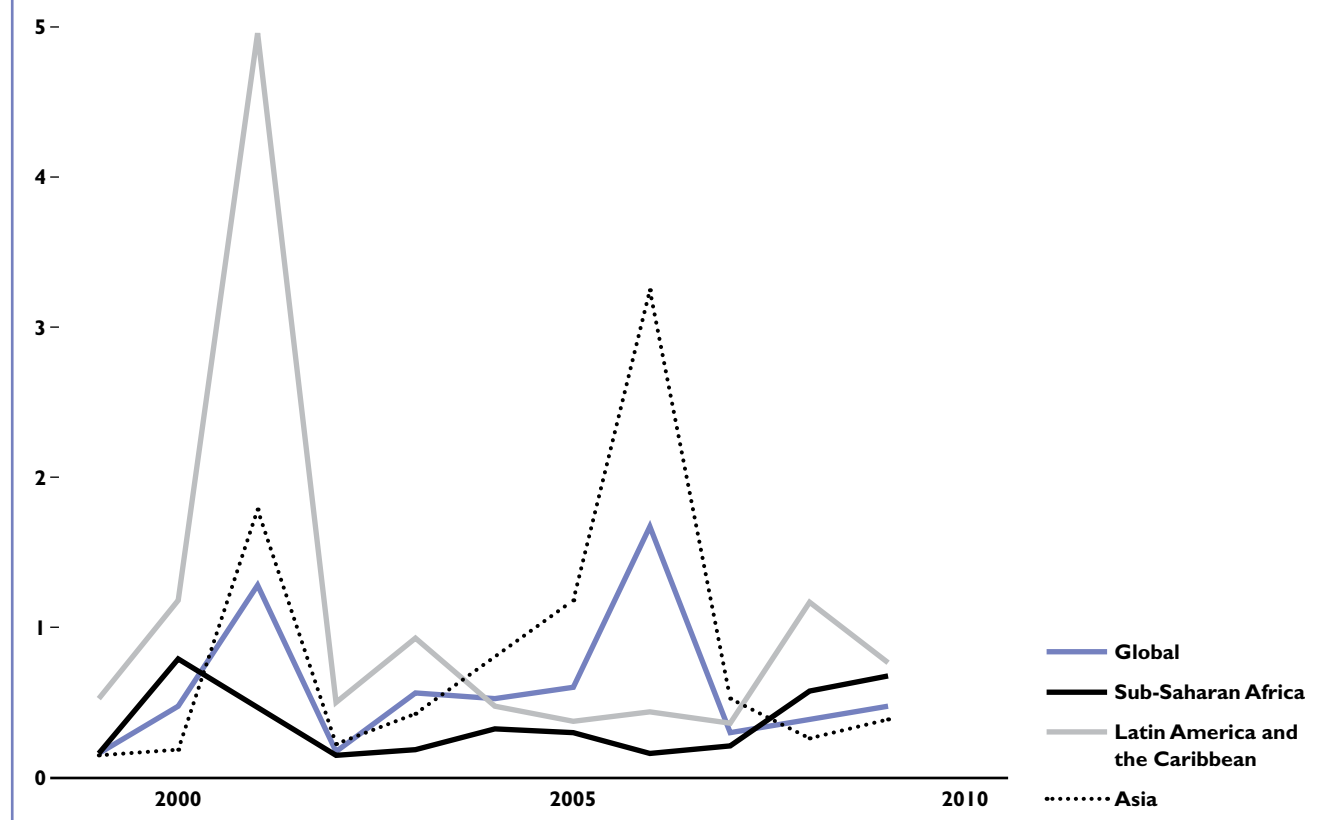
<sup>61</sup> A total of 64% of pre-primary enrolment in sub-Saharan Africa in 2004 was accounted for by private, NGO and religious provision (García et al., 2008).

## Financing and aid for ECD

In most low- and middle-income countries, government spending on ECD is low. In 1999–2004, even middle-income countries spent less than 1% of GDP on ECD programmes; for example, 0.5% in the former Soviet Union and 0.2% in Colombia (UNESCO, 2007).<sup>62</sup> In low- and lower-middle-income countries, expenditure was even lower (eg, 0.01% in Senegal, 0.03% in the Congo and 0.04% in Angola [ibid.]).<sup>63</sup> Expenditure on preschool education is also low as a proportion of educational expenditure; in most countries for which there were data, it constituted less than 10% of spending on primary schooling. In most of sub-Saharan Africa, preschool education constituted less than 1% of educational spending in the period 1999–2004 (Naudeau et al., 2010).

Most donors allocate a very small proportion of aid to education to ECD (see Figure 13). Between 1999 and 2004, 19 out of 22 donors allocated to ECD programmes less than 10% of funds allocated to primary education, on average 0.5% of all aid to education (UNESCO, 2007). These figures broadly mirror low government allocations to the sector. Even if funds for health aspects of ECD are considered separately, this figure is still extremely low. Furthermore, the overall trend is downward, as Figure 13 shows, with a small increase over the past few years. This may reflect donor emphasis on commitments to universalising primary education and increasing support to secondary education, whereas ECD is seen as important in principle but not a priority for financing in practice.<sup>64</sup>

FIGURE 13: AID TO ECD AS A PROPORTION OF EDUCATION AID, 2000–10 (%)



Source: OECD-DAC data, authors' calculations.

<sup>62</sup> However, these figures reflect the fact that classifications of expenditure vary between countries, and some health and nutrition programmes that might be classified as ECD in certain contexts are considered with MNCH or wider health programmes elsewhere.

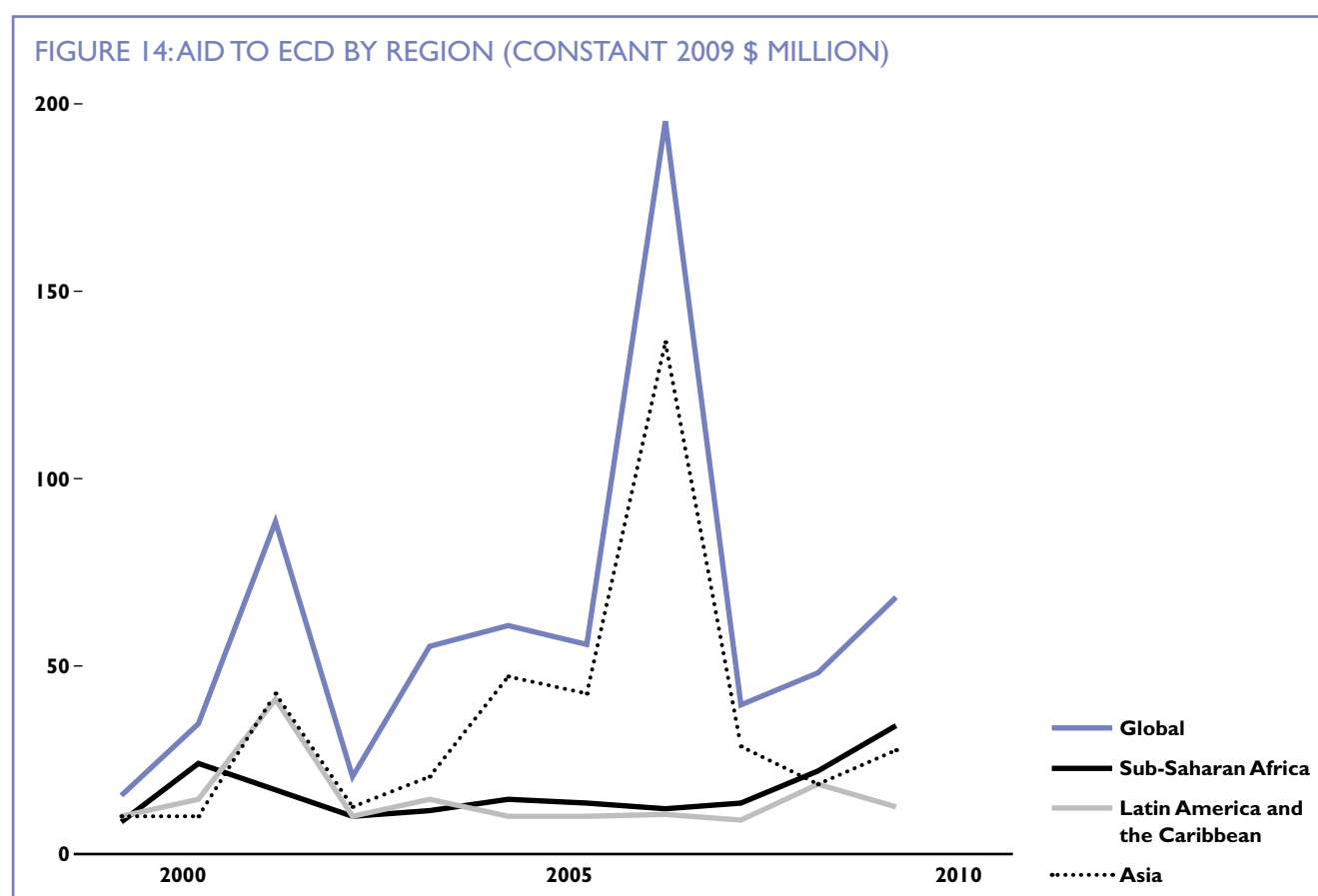
<sup>63</sup> These are among the very few countries for which such data are available.

<sup>64</sup> However, given the contribution ECD can make to increasing enrolment and completion rates among disadvantaged children, there are sound reasons for extending ECD provision as a tool for achieving universal primary education (as well as an objective in its own right). Jaramillo and Mingat (2003; 2006 in Garcia et al., 2008) found that, in countries with preschool enrolment of over 60%, 85% of children completed five years of primary school; in those with enrolments of under 10%, only 69% did.

The majority of bilateral aid to ECD supports centre-based programmes for children over three. Support to home-based programmes, programmes for children under three and interventions delivered through the health sector, while on a smaller scale than that to centre-based ECD, is more likely to come from UN agencies (UNESCO, 2007). Aid to ECD has typically been skewed towards middle-income countries; this may reflect greater levels of demand for improved and extended ECD when primary school coverage is universal or close to universal (ibid).

Figure 14 shows trends in aid to ECD in 2000–10, globally and for the main developing regions. It indicates that, in recent years, the volume of aid to ECD disbursed to sub-Saharan Africa, the region with the lowest enrolment levels, has grown.

No calculations of a ‘financing gap’ for ECD could be found. This may be because, unlike the other issues discussed in this report, there is no agreed quantified target for ECD. The Dakar Framework on Education for All simply mandates “expanding and improving comprehensive early childhood care and education, especially for the most vulnerable and disadvantaged children”.<sup>65</sup>



Source: OECD-DAC data, authors' calculations.

<sup>65</sup> [www.unesco.org/education/efa/ed\\_for\\_all/dakfram\\_eng.shtml](http://www.unesco.org/education/efa/ed_for_all/dakfram_eng.shtml) (accessed 1 October 2011).

# CASE STUDY 5

## Reducing inequalities – ECD programmes in Chile

Chile has a long history of preschool education (Peralta, 2011). This was small in scale and mostly private sector until the 1970s. There has been sustained investment in preschool education under both the Pinochet dictatorship and subsequent democratic governments, with the Bachelet government putting particular emphasis on investment in ECD. While private and NGO provision continues, 83% of ECD provision in Chile is publicly funded.

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The two main agencies providing preschool education in Chile are the National Nursery Schools Council Programme (JUNJI) – part of the Ministry of Education – which runs and oversees state nurseries and kindergartens, and INTEGRA, a non-profit private agency which receives public funding and which is regulated by the state and provides 15% of places (Peralta, 2011; Umayahara, 2006). During the 1980s, there were increasing efforts to reach the geographically disadvantaged, such as indigenous families and families in remote rural areas (Vargas-Baron, 2009), through seasonal and mobile nursery schools. INTEGRA was set up in 1990 to fill gaps in JUNJI's provision, with a particular focus on children from birth to age four living in poverty (91% of families served were in the lowest income quintiles) and home visits and parenting support for disadvantaged families. There is a particular focus on adolescent mothers, mothers who work or are looking for work, female heads of household and socially vulnerable families (Umayahara, 2006).

ECD provision in Chile is notable for its holistic focus and the provision of parent education, nutrition services, child assessments and interventions for

children with developmental delays as well as kindergartens (Vargas-Baron, 2009). It is seen as a key building block for primary education; hence, JUNJI and INTEGRA facilities were included in a six-year programme to improve the quality of education in Chile between 1990 and 1996 (Umayahara, 2006).

A third pillar of Chile's ECD programme has been the Know Your Child Programme (CASH), which provides parent support, nutrition and early education in remote rural areas; since 2007 it has been folded into a new umbrella programme for young children – *Chile Crece Contigo*. The evolution of the ECD strategy has thus reflected a need to work on complementary areas to ensure a more comprehensive system to support the different ECD needs of children in different contexts.

In addition to these programmes which have a particular focus on poor, indigenous and geographically remote households, there is also municipal preschool provision; private/voluntary provision which is subsidised by the state through vouchers which parents can use at establishments of their choice; and private fee-paying provision (Umayahara, 2006). Evidence suggests that NGO ECD provision in the 1980s helped to reduce child mortality and improve children's nutrition (Umayahara, 2006).

### CHILE CRECE CONTIGO

*Chile Crece Contigo* is part of Chile's social protection system and provides integrated financial, educational and health support to children's development from before birth until they enter the school system at four to five years of age. It was designed in explicit

<sup>66</sup> See [www.crececontigo.gob.cl/sobre-chile-crece-contigo/que-es/](http://www.crececontigo.gob.cl/sobre-chile-crece-contigo/que-es/).

recognition of the fact that children's development is multidimensional and links a series of initiatives, services and programmes focused on early childhood to develop a support network that responds to children's developmental needs at different stages of their childhood.<sup>66</sup> One of the main objectives of the programme is to equalise children's development opportunities and to erase the effects of socio-economic inequalities by the time children finish primary school. *Chile Crece Contigo* was a presidential priority of President Michelle Bachelet and continues to be a priority programme under the new president.

*Chile Crece Contigo's* programme offers differentiated support to different groups of children:

1. All young children are offered free pre-primary education through the programmes run by JUNJI, INTEGRA and CASH; their parents are offered parenting classes. TV and radio programmes aimed at engaging young children are part of this programme.
2. All young children in the public health system (75% of Chile's children) are offered antenatal care and subsequent growth monitoring and child health surveillance.
3. Children aged under two of the 60% most vulnerable households are eligible for free day nursery places (UNDP, 2008), and children of low-income non-working parents are eligible for free part-time nursery places. Children of adolescent mothers and in single-parent families or with unemployed parents have priority access to these nurseries (ibid.). Between 2008 and 2009, 1,800 new nurseries were built to serve these families. Families in receipt of *Chile Solidario* cash transfers are automatically eligible for such nursery places and access to social support networks. Thus the social protection system is to provide complementary services to achieve an optimal level of support (ibid.). Vulnerable children over age two, including children with disabilities, also have guaranteed access to preschool education (Peralta, 2011). The most vulnerable 40% of households also have access to a specific grant to support low-income families until their child is 18 years old, and to housing improvement programmes, work preparedness training, etc (Peralta, 2011).

## IMPACTS

Enrolment in ECD centres increased by 240% between 2005 and 2007 (Urzúa and Veramendi, 2011), while in 2009, 800,000 children under age four benefited from programmes under the Chile Crece Contigo umbrella (ECLAC, 2010). Uptake has been principally by older children: 63% of four-year-olds and 87% of five-year-olds attended ECD centres in 2006, whereas only 3% of under-threes did (Urzúa and Veramendi, 2011). However, the expansion of nursery places for disadvantaged younger children, and of preschool opportunities for the whole age group, which took place in 2008/09, is likely to change this situation and to result in a gross enrolment rate of over 50%, which compares well with other countries in the region (Peralta, 2011).

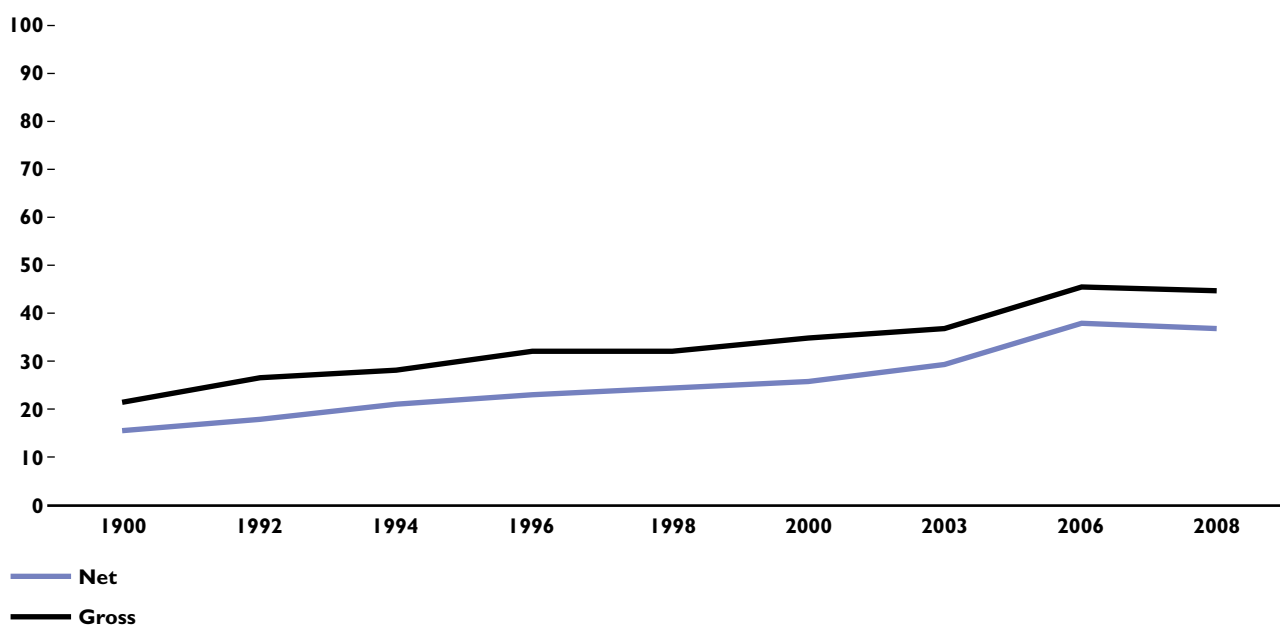
Furthermore, the JUNJI and INTEGRA programmes, which are aimed at the poorest quintiles, have been much more effective in reaching younger children: in 2004, 98% of JUNJI's clientele and 97% of INTEGRA's were under four years (Umayahara, 2006). The main reason – across the board – for children not taking part in ECD programmes is their parents' belief that they are not old enough (Umayahara, 2006).

Figure A presents net and gross enrolment rates in early childhood education programmes. The small decline since 2006 may reflect the strong emphasis on children under two years as part of government policy.

In 1990, children in the lowest two income quintiles were less than half as likely as children in the highest quintile to take part in ECD programmes. By 2006, thanks to ongoing efforts to reach particularly disadvantaged children, the gap had narrowed considerably, with participation rates of 33% for the lowest quintile and 48% for the top quintile (Urzúa and Veramendi, 2011) (see Figure B).

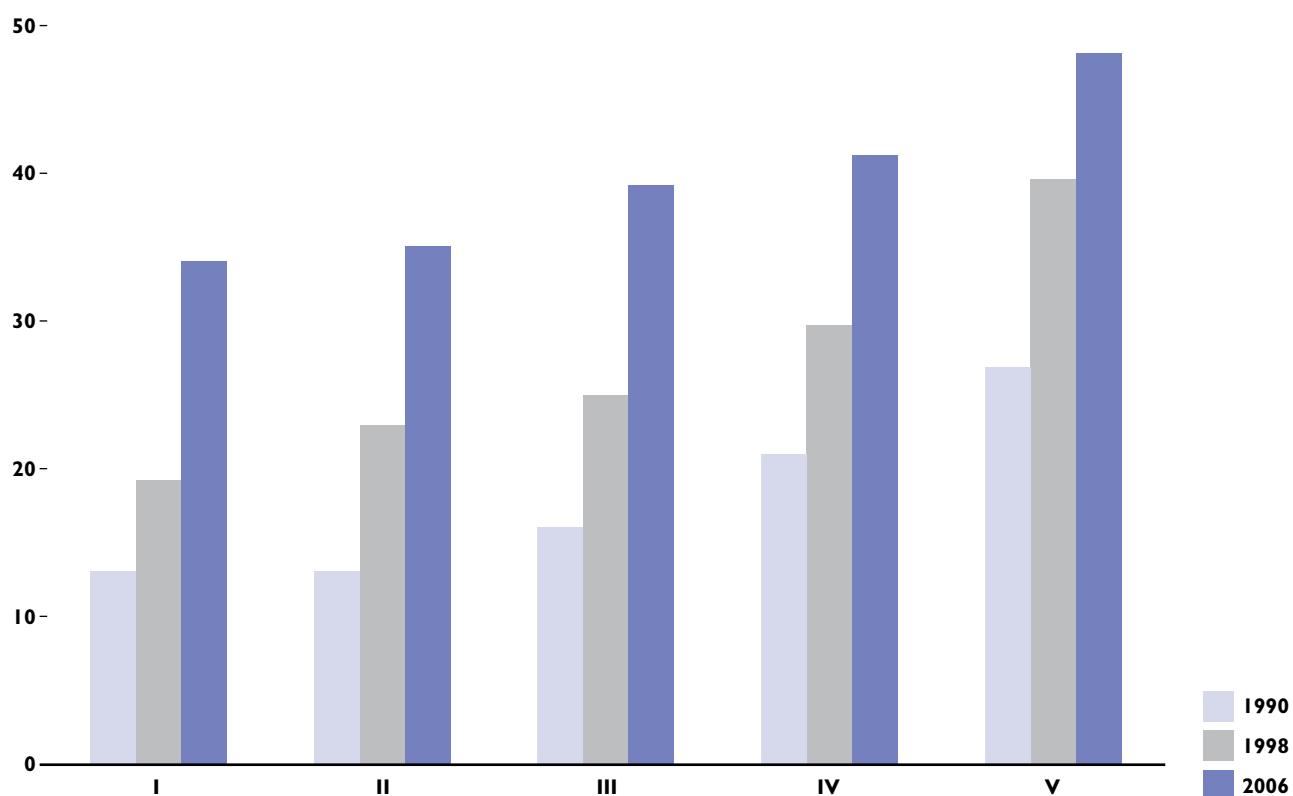
No recent data were available to assess how far Chile Crece Contigo has managed to further reduce inequalities in uptake.

FIGURE A: NET AND GROSS ATTENDANCE RATES IN EARLY CHILDHOOD EDUCATION AND CARE (0–6-YEAR-OLDS), 1990–2008 (%)



Source: CASEN (2009), in Peralta (2011).

FIGURE B: ECD ENROLMENT BY QUINTILE, 1990–2006 (%)



Source: Urzúa and Veramendi (2011).



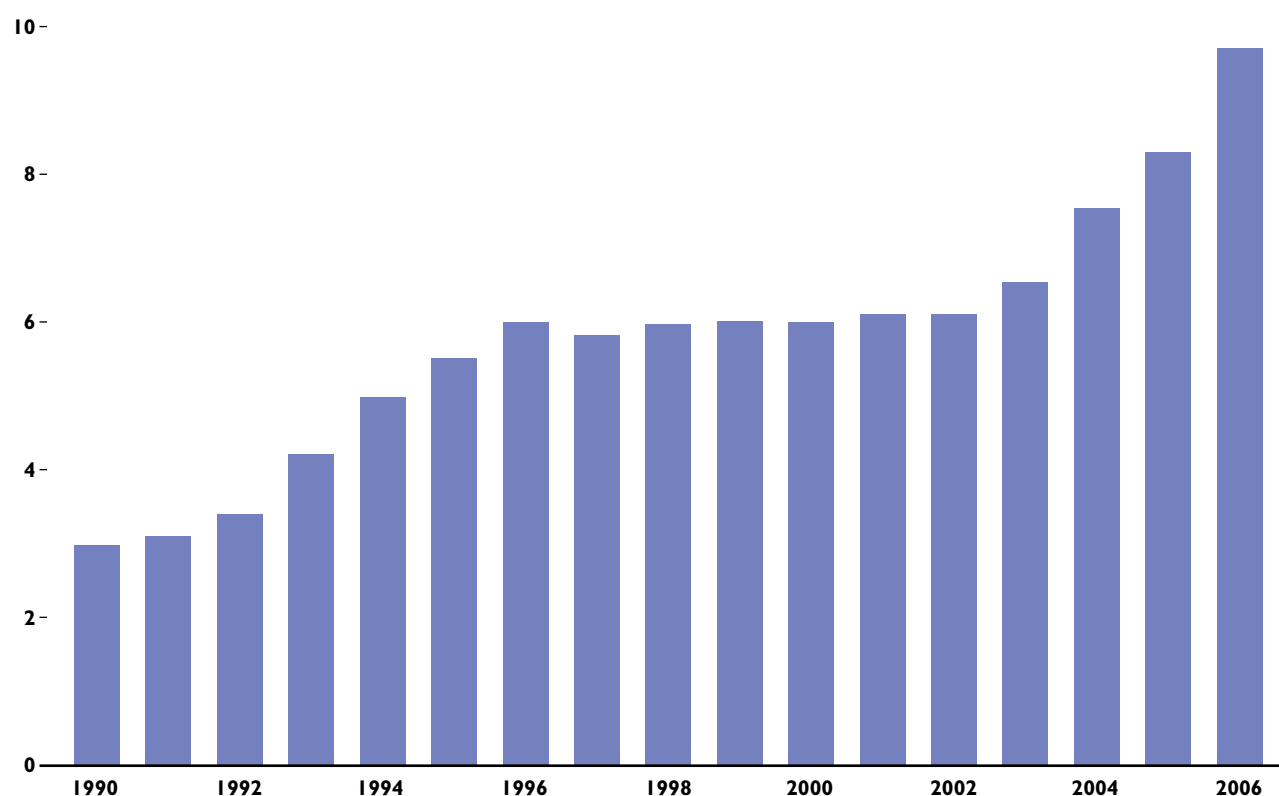
## FINANCING

Figure C illustrates that progress in extending ECD opportunities in Chile has cost a small proportion of GDP and constitutes a relatively small (though growing) proportion of the education budget.

Although coverage of young Chilean children is far from universal, the sustained expansion of ECD that has taken place since 1990 reflects strong political commitment from successive governments and a

cadre of highly trained professionals dedicated to improving and extending ECD opportunities. Chile is also unusual in its strength of commitment to increasing opportunities for the poorest children. However, inequality levels in Chile are still very high, with a Gini coefficient of 52.3 in 2009.<sup>67</sup> ECD can help to reduce socio-economic inequalities only over the longer term; broader investments are also needed.

FIGURE C: PUBLIC RESOURCES DEVOTED TO ECD PROGRAMMES, 1990–2006  
(% OF TOTAL EXPENDITURE ON EDUCATION)



Source: Urzúa and Veramendi (2011).

<sup>67</sup> Data from World Bank data bank: <http://data.worldbank.org/country/chile> (accessed 12 February 2012).

## 3.6 EDUCATION

### Trends in primary education

There have been rapid advances towards the goal of universal primary education over the past decades. From 1999 to 2008, an additional 58 million children enrolled in primary school. In the same period, the number of children of primary age but not in school fell by 39 million, with 80% of this decline in sub-Saharan Africa and south and west Asia. Sub-Saharan Africa increased its net enrolment ratio by over one-third, despite a large rise in the child population. South and west Asia halved the numbers of out-of-school primary-age children during this period; however, the two regions together still account for 69% of these children, who are concentrated mostly in 15 large countries (UNESCO, 2011).<sup>68</sup> Table 1 summarises progress by region in terms of net primary enrolment rates and reductions in numbers of out-of-school children of primary age.<sup>69</sup>

Over the period 2004 to 2009, the pace of progress towards universal primary education has slowed in many regions (UNESCO, 2011). This may reflect the fact that much of the world is now close to universal

primary education, and enrolling the last 5–10% of eligible children is particularly challenging, because they live in the most remote regions or in mobile communities (see Case Study 6 on Ethiopia), among the ultra-poor or in areas affected by conflict (see Box 7). It is notable that in the region with the lowest primary enrolment ratios – sub-Saharan Africa – and despite high rates of population growth, the rate of progress accelerated from around 1.4 million out-of-school children enrolling each year between 1999 and 2004 to 1.6 million per year between 2004 and 2008 (UNESCO, 2011). The slowdown in progress in 2004–09 may also reflect the effects of the global economic crisis from 2007 onwards.

The gender gap in school enrolment has narrowed. Globally, girls now make up 53% of the out-of-school population (UNESCO, 2011), compared with 60% in 1990.<sup>70</sup> However, in some regions, such as south and west Asia, where gender inequalities are particularly intense, girls account for 59% of out-of-school children (UNESCO, 2011). Where different dimensions intersect, such as ethnicity, wealth and location, even greater gaps appear. In Pakistan, 85% of boys and girls from the richest households go to school, but in the

TABLE 1: TRENDS IN PRIMARY SCHOOL ENROLMENT BY REGION, 1998–2008

Region	Primary net adjusted enrolment ratio		Out-of-school children	
	2008 (%)	Change since 1999 (%)	2008 ('000)	Change since 1999 (%)
Sub-Saharan Africa	77	31	28,867	–32
Arab States	86	11	6,188	–34
Central Asia	94	–1	322	–11
East Asia & Pacific	95	1	7,869	–27
South & West Asia	90	14	17,919	–51
Latin America & Caribbean	95	1	2,946	–21
Central & Eastern Europe	94	1	1,148	–32

Source: Adapted from UNESCO (2011).

<sup>68</sup> These were Bangladesh, Burkina Faso, Brazil, Ethiopia, Ghana, India, Kenya, Mozambique, Niger, Nigeria, Pakistan, the Philippines, South Africa, Thailand and Yemen (UNESCO, 2011).

<sup>69</sup> The regions in the table follow those used by UNESCO.

<sup>70</sup> [www.unesco.org/education/efa/ed\\_for\\_all/dakfram\\_eng.shtml](http://www.unesco.org/education/efa/ed_for_all/dakfram_eng.shtml) (accessed 1 October 2011).

poorest households, only one-third of girls go to school (UNESCO, 2010). In Yemen, in 2005, 30% of all out-of-school children were boys, but in the poorest quintile, this rises to 46% boys (UNESCO, 2010). The multidimensionality of poverty can exacerbate inequalities, such that in Guinea, a boy in the wealthiest quintile living in an urban area with an educated mother is 126 times more likely to be in school than a girl in the poorest quintile living in a rural area with an uneducated mother (UNESCO, 2007).

Progress has been particularly slow in conflict-affected countries. These are home to 18% of the world's children of primary school age, but 42% of the world's out-of-school primary school-age children (UNESCO, 2011). Secondary school enrolment rates are one-third lower in conflict-affected countries than in those not affected by conflict. However, there has been some progress as a result of initiatives to promote enrolment in conflict-affected areas (see Box 7).

Despite progress, 68 million primary school-age children worldwide were not in school in 2008 and, if current trends continue, with population growth there could be 72 million children<sup>71</sup> out of school in 2015 (UNESCO, 2011).<sup>72</sup>

### Trends in secondary school enrolment and retention

Globally, the proportion of adolescents of lower secondary age who were out of school fell by 19% between 1999 and 2008 (UNESCO, 2011). In east Asia and the Pacific, the numbers of out-of-school adolescents fell by 9% over this period. By contrast, in sub-Saharan Africa overall, there was almost no change (UNESCO, 2011), because population growth counteracted substantially increased enrolment rates (see Table 2).<sup>73</sup>

However, some African countries have made considerable progress in increasing secondary school

TABLE 2: CHANGES IN SECONDARY GROSS ENROLMENT RATES AND REDUCTIONS IN NUMBERS OF OUT-OF-SCHOOL ADOLESCENTS BY REGION, 1999–2008

Region	Total secondary gross enrolment ratio (%)		Out-of-school adolescents, lower secondary age	
	2008 (%)	Change since 1999 (%)	2008 ('000)	Change since 1999 (%)
Sub-Saharan Africa	34	14	19,675	1
Arab states	68	20	4,571	-16
Central Asia	97	13	325	-50
East Asia & Pacific	77	22	13,277	-41
South & West Asia	54	21	31,486	-12
Latin America & Caribbean	89	11	2,100	-45
Central & Eastern Europe	88	1	1,699	-46

Source: Adapted from UNESCO (2011).

<sup>71</sup> Out-of-school children refers to children of primary school age not enrolled in either primary or secondary school. The ages of children concerned vary from country to country depending on different ages for entry and lengths of the primary cycle.

<sup>72</sup> UNESCO (2011) provides two projections of the likely numbers of children out of school in 2015, based on the long-term trend since 1990 and the shorter-term trend since 2004. Given that progress has slowed down globally since 2004, and out-of-school numbers have risen in some of the most populous countries, such as India and Nigeria, the trend in more recent years may be more indicative of trends over the next three years. However, if the longer-term trend continued, an estimated 48 million children would be out of school in 2015.

<sup>73</sup> The regions in the table follow those used by UNESCO.

enrolment. In Mozambique, for example, secondary enrolment numbers have grown five-fold, and in Ethiopia (see Case Study 6), Guinea and Uganda they have more than doubled (UNESCO, 2011). This reflects rising government revenues and strong commitments to expanding education, including by abolishing school fees. However, secondary participation rates in sub-Saharan Africa are still the lowest in the world. Table A.8, Appendix I gives a more detailed analysis of progress in secondary school enrolment in different countries and regions.

As with primary school enrolment and retention, there are significant inequalities, by gender (not always biased against girls – in some labour markets boys are more likely to drop out as their employment opportunities are greater), location (enrolment rates are lower and drop-out higher in rural areas) and poverty.

### How have improvements in education been achieved?

#### Improvements to infrastructure

Providing more schools in rural areas has had an important impact on increasing enrolments, particularly of girls, whose parents are often more reluctant for them to travel long distances (see Case Study 6 on Ethiopia). Improving provision of school sanitation has also helped.

#### Reduced costs of schooling

Measures such as fee abolition (Ethiopia, Ghana, Mozambique, Tanzania [UNICEF and World Bank, 2009]), school scholarships and stipends (Bangladesh) and school feeding programmes (Bundy et al., 2009) have led to significant growth in enrolment and reduced drop-out.

#### Quality and curriculum-related factors

These include improvements in quality (research from Egypt and Pakistan shows much lower drop-out rates among children who learnt more the previous year [UNESCO, 2011]) and greater attention to issues of language so that children are learning in a language they understand (a study in Niger found that the drop-out rate from bilingual schools was 1% compared with 33% for all schools [Alidou et al., 2006, in UNESCO, 2011]).

#### Household poverty-related factors

Increases in household income have reduced the opportunity costs of children's time and the likelihood that they will work in preference to education. They have made the direct and indirect costs of education more affordable, as in Brazil, where a combination of economic growth, improved employment opportunities for poor people and cash transfers such as social pensions and the *Bolsa Família* CCT have significantly reduced poverty and led to higher school enrolment rates (see Section 3.2) (UCW, 2011).

#### Socio-cultural change to increase the value parents put on education

This relates particularly to girls in patriarchal contexts, and a reduction in pressures for early marriage. A combination of increased school availability, financial incentives and social mobilisation has proved effective in raising girls' enrolment rates in Ethiopia (see Case Study 6) and Bangladesh (3ie, 2009).

#### Improving preventive healthcare and nutrition for school-age children

School feeding programmes have the dual purpose of promoting nutrition and increasing school enrolment or attendance (Bundy et al., 2009). In Mali, for example, data on the school feeding programme show that, while national school enrolment rates in public and community schools without the programme rose 5.9% between 2006 and 2007, enrolment in schools with the programme rose 20% during the same period, with enrolment for girls up by 23% (Ministry of Basic Education 2008, in Bundy et al., 2009). While school feeding programmes may in themselves provide an incentive for higher enrolment, including among the poor, they face difficulties in reaching the poorest wherever enrolment is less than universal, because enrolment rates are always lowest among the poorest (Bundy et al., 2009). In many countries, school feeding programmes are partially or substantially financed by the World Food Programme (WFP).<sup>74</sup> According to WFP figures, in 2010, 22.4 million children were reached by school feeding programmes in 62 countries.

<sup>74</sup> <http://docustore.wfp.org/stellent/groups/public/documents/newsroom/wfp236983.pdf>.

## How have these reductions been financed?

### Government investment in education

The progress discussed above has been financed by a combination of greater public investment in developing countries and aid. The share of national income spent on education increased globally from 2.9% to 3.9% between 1999 and 2009 (UNESCO, 2011). Per capita spending on education rose in every region, although only marginally in some (such as the Arab states). Public spending on education in sub-Saharan Africa went up by 29% between 2000 and 2005 (UNESCO, 2010). Three-quarters of this increased investment has been the result of economic growth, with the rest attributable to improved revenue collection and a redistribution of budgets in favour of education. Given uncertain global economic conditions, the growth levels of the early 2000s and thus of increasing public investment in education cannot be assumed. Furthermore, converting proceeds of growth to educational investment requires political commitment to continue prioritising education spending, as well as implementing the necessary public financial management. For example, Ghana, Mali, Mozambique and Rwanda have all succeeded in improving revenue collection levels

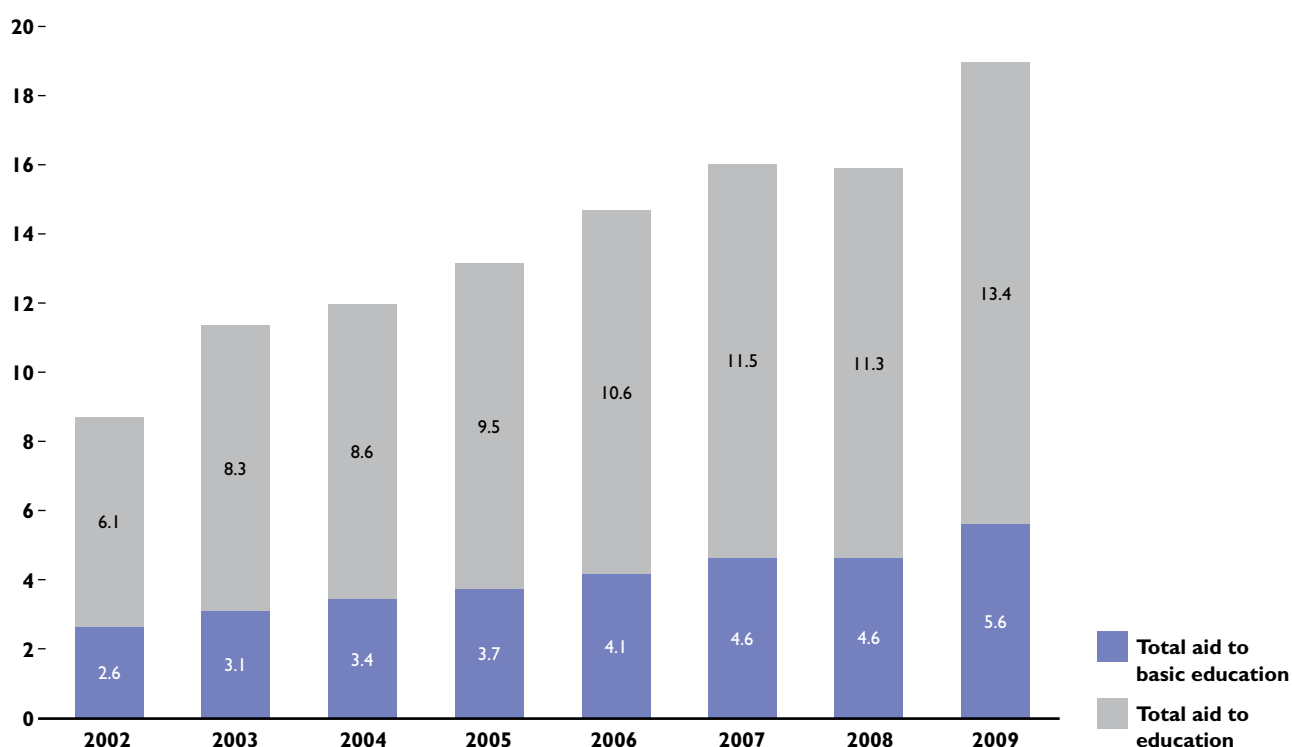
and creating a policy environment favourable to investment in education, which has contributed to an increase in this investment (UNESCO, 2011).

### Aid

Overall, aid to education in 2009 had doubled to \$4.7 billion per year since 2002 (UNESCO, 2011). This increase reflects commitments to Education for All and MDG2 from 2000. The Education for All Fast Track Initiative (now renamed the Global Partnership for Education) has become a global multilateral education funder. It has provided significant financial support to 46 countries, helping 19 million children attend school (\$2.1 billion since 2002).<sup>75</sup>

Despite increasing public expenditure on education, many developing countries depend on aid for educational investments (through either direct sector funding or budget support). In 15 sub-Saharan African countries, aid accounts for one-quarter of education spending (Abetti et al., 2011). Aid has been important in enabling developing countries to expand provision, train and deploy more teachers, abolish school fees, enact curriculum reforms and improve quality (see Case Study 6 on Ethiopia). Figure 15 shows trends in aid for education for 2002–09.

FIGURE 15: TOTAL BILATERAL AND MULTILATERAL AID DISBURSEMENTS TO EDUCATION, 2002–09 (CONSTANT 2009 \$ BILLION)



Source: Calculation based on OECD-DAC CRS in Abetti et al. (2011).

<sup>75</sup> [www.globalpartnership.org/about-us/our-new-name/](http://www.globalpartnership.org/about-us/our-new-name/) (accessed 1 October 2011).

As Figure 15 shows, both overall allocations and allocations to basic education – the focus of Education for All and the MDGs – have increased. It is not clear, however, whether this pro-poor focus on basic education will continue, as a number of donors are reducing their support to education and/or to countries in which they currently fund education programmes (Abetti et al., 2011). This reflects some donors reducing overall aid budgets in the context of economic crisis (UNESCO, 2011).

### Ongoing challenges

#### Ensuring children complete a full cycle of basic education

This requires addressing two main system-related problems: children starting school late and dropping out early. Children who start school late are less likely to complete a full cycle of basic schooling and more likely to have to repeat grades and drop out. Evidence from Senegal, for example, suggests that children who start up to two years late are 10% less likely to complete five years of schooling (UNESCO, 2011). In 2007, only 56% of children in sub-Saharan Africa started primary school on time (*ibid.*). However, some countries have made major progress in this area. In Tanzania, for example, the share of children starting school at the official age increased from 14% in 1997 to 87% in 2007. The main factors behind this enormous increase were fee abolition, more stringent enforcement of age regulations

and the development of alternative provision for ‘over-age’ children (UNESCO, 2011) (see Box 6).

Overall, only 70% of children who enrol in school in sub-Saharan Africa and south and west Asia complete the final primary grade, and 10 million primary school-age children drop out of school in sub-Saharan Africa every year (UNESCO, 2011). Half of all out-of-school children in south and west Asia drop out (*ibid.*). Data constraints make global conclusions about progress in this area difficult. However, of 50 low- and middle-income countries for which there are data, survival rates until the last year of primary school have increased in half (26/50), remained stable in just under one-third (15/50) and decreased in approximately one-fifth (9/50).

#### Addressing financial, cultural and infrastructure barriers

Despite the progress made in abolishing school fees and charges and/or poverty reduction that has made schooling costs more affordable, poverty remains a significant barrier, to both entry and school completion. In Afghanistan, 70% of out-of-school primary-age children were not in school because they needed to work (Save the Children, 2010c). In Burkina Faso, completion rates for the richest 20% of the population are 10 times higher than for the poorest 20% (UNESCO, 2011). Lack of schools or long journeys to school continue to deter children, particularly girls and their families, who

### BOX 6: REDUCING PRIMARY SCHOOL DROP-OUT IN TANZANIA

After primary school fees were abolished, the Tanzanian government in 2001 put a ceiling of seven years as the maximum age to start primary school, recognising that a surge of over-age children could undermine school retention. It also developed the Complementary Basic Education in Tanzania project (Cohort 1), which provides alternative formal education to over-age children (8–13), allowing them to re-enter the formal education system in Grade 5. By 2006, 8% of the primary school-age population had enrolled in these centres. Teachers in the early grades were given additional training to reduce drop-out related to poor learning outcomes. Finally, the primary

Grade 4 examination requirement for progression to Grade 5 was removed as part of a reform system to improve progression at the primary level. In the past, this examination had served as a diagnostic tool for identifying areas where students needed further support and as a barrier to progression into later primary grades for under-achieving children. As a result of these measures, the number of out-of-school children in Tanzania fell from 3.2 million in 1999 to 33,000 in 2008. From 2001 to 2006, the drop-out rate fell from 26% to 17%. The downside of the removal of the Grade 4 examination requirement is the decline in pass rates in the grade 7 examination.

Source: UNESCO (2011).



are concerned about security, as does lack of toilets. Additional funding to address these barriers is crucial.

Corporal punishment and sexual abuse at school are other major causes of drop-out (Plan International, 2008; UNESCO, 2011). This, and discriminatory treatment of children from minority ethnic communities, can be addressed through raising teachers' awareness of the issues; the general undervaluing of girls' education may be addressed by gender-specific stipends and awareness-raising among parents and communities.

### **Addressing quality problems**

Poor-quality education wastes children's time and families' resources; it also makes it more likely that children will drop out of school (UNESCO, 2011). Despite progress, the quality of education remains low in most developing countries, particularly in rural areas and in schools serving poor communities (ibid).

Solutions include increasing the number of teachers – 1.9 million additional teachers are needed to universalise primary education (UNESCO, 2011), reducing pupil-teacher ratios and reducing teacher absenteeism. Major training programmes to improve teacher knowledge and instruction quality are also vital, as is extending teaching in languages children understand, and the provision of textbooks and other educational equipment. The relatively unacknowledged but widespread physical and sexual violence against children perpetrated both by teachers and other school staff and by students in schools must also be addressed (Plan International, 2010).

### **Special challenges in conflict-affected countries**

The 30 low-income and lower-middle-income countries that experienced armed conflict during the period 1999 to 2008 are home to 116 million children (UNESCO, 2011). Among poorer developing countries, they account for about one-quarter of the primary school-age population but nearly half of the out-of-school population. Further, survival to the last grade of primary school in poorer conflict-affected countries is 65% compared with 86% in other poor countries. Gross enrolment ratios in secondary school are nearly 30% lower in conflict-affected countries than in countries not affected by conflict (ibid.).

Development assistance has a vital role to play in conflict-affected countries. It has the potential

to maintain basic services during episodes of violence and support strategies for post-conflict reconstruction. More effective aid to education in conflict-affected countries is crucial to speeding up progress to meet the Education for All goals, such as universal primary education, and to achieve children's rights to education. Humanitarian aid can help to maintain education during emergencies, and long-term development assistance can support the efforts of post-conflict governments to reconstruct education. Greater continuity between different types of funding stream is vital.

Although levels of aid to countries in armed conflict have increased markedly in recent years, aggregate aid data hide a highly skewed pattern of distribution across countries (UNESCO, 2011). Most ODA to conflict-affected states goes to Iraq which, together with Afghanistan, accounted for 38% of the aid received by the 27 low-income and lower-middle-income countries affected by conflict. Recently, the 16 poorest conflict-affected countries have seen their share of overall aid rise. Aid to education in these countries has increased faster than overall aid and faster than global aid to education. Still, given the large number of out-of-school children, low levels of literacy and costs associated with classroom construction and teacher recruitment, low-income conflict-affected countries face far higher costs than other low-income countries. On average, their estimated per pupil financing gap is around \$69, compared with \$55 for all low-income countries. Yet low-income conflict-affected countries receive \$16 per pupil in aid to basic education, compared with the \$22 average for other low-income countries, with large variations around the global average (ibid.).

In the recent past, the international community has made important commitments to supporting education for children affected by conflict. Organisations such as Save the Children and UNICEF have advocated for prioritising education for these children as part of development and humanitarian policies included in peace agreements. Following a UN General Assembly debate on education in emergency and post-crisis situations in 2009, the G8 promised to pay special attention to conflict-affected countries in order to reach the Education for All goal. Efforts by governments, donors and UN agencies have led to increased accessibility and improved quality of education (Save the Children, 2010c), although continued efforts are still needed.

## BOX 7: EDUCATION AND CONFLICT IN AFGHANISTAN

Afghanistan has experienced conflict since the 1970s. Education opportunities, particularly for girls, have historically been limited, and restricted by conflict. In 1970, 14% of Afghan students were girls, and the numbers of girls enrolled in school increased steadily until the Mujahideen government policies of the 1990s, which limited girls' participation in education. In 1995, the Taliban began closing all girls' schools such that, by 2001, girls' enrolment in many provinces was almost zero (Samady, 2001). Since the overthrow of the Taliban there has been huge progress in the expansion of the education system, with primary enrolment increasing from 770,000 in 2001 to over 6 million in 2007,<sup>76</sup> with girls' enrolment reaching 42% compared with 60% for boys. In 2009, the ratio of female to male primary enrolment was 67% (up from 42% in 2002), meaning that around one-third of primary school children are girls.<sup>77</sup>

The damage to the education system caused by the conflict has slowed progress. Only 25% of schools in the country are categorised as having useable buildings, so that around half of schooling occurs in tents or open spaces. The long distances between homes and schools is a key barrier to enrolment, especially for girls. Other barriers include parents' unwillingness to allow their daughters to be taught in mixed schools and to be taught by male teachers beyond a certain age (around 11 years old) (HRRAC, 2007). Nationally, only 28% of teachers are female, with stark regional variations: while 64% of teachers in Kabul are female, this figure is less than 1% in Uruzgan (Islamic Republic of Afghanistan Ministry of Education, 2007). A study in Baghlan province demonstrated that, in schools where female teachers are available, girls' enrolment is much higher: 15% of girls complete Grade 6 in schools with female teachers, but only 4% do so in schools without female teachers (Jones, 2008, in Save the Children, 2010d). Schools are often victims of threats and attacks by extremists who oppose girls' education.

### Example: supporting girls' education through community-based education in Afghanistan

In 2006, Save the Children launched the Better Education Better Future campaign in Afghanistan. One of its components – community-based education – has focused particularly on increasing

girls' and boys' access in remote areas. Community-based classes are usually held in local homes or mosques and taught by a mentor selected from the local community. Some follow the normal school curriculum and school year and others deliver an accelerated curriculum covering two years' content in one year (accelerated learning classes [ALCs]). Between 2008 and 2010, Save the Children worked with local partners in Kabul and five provinces – Balkh, Jawzjan, Sar-i-pul, Kandahar and Uruzgan – to set up 455 ALCs (Save the Children, 2009).

These classes do not specifically target girls, but are more appealing to girls and their families than formal schools because they have confidence in the small community-based structure and they avoid long journeys to schools. Save the Children supports these classes through training of mentors, providing carpets and textbooks for the teachers and learners and paying the mentors' salaries. The mentors are also provided with ongoing support visits from Save the Children staff and their partners (Save the Children, 2010d). Save the Children also works with the community to establish community education councils or parents' councils. These are responsible for finding a learning space, appointing a teacher (or mentor) from the local community and identifying the students.

A mid-term evaluation of this strategy (Save the Children, 2010d) found that there was a much higher proportion of female teachers in community-based schools than in formal schools, partly because there are fewer cultural barriers to women working in community-based classes as, being often held in homes or mosques, they are less public than schools. The ALCs visited during the mid-term evaluation were found to be providing education to a level at least as good as school education. Therefore, they could be used more widely to provide access to education for difficult-to-reach groups.

This example provides an interesting model of how an NGO, working closely with government authorities and local communities, with financial support from donors, is able to contribute significantly to transforming the educational situation of children in a conflict-affected country, enabling greater equity in access.

<sup>76</sup> Data from Ministry of Education enrolment statistics, as reported in Save the Children (2009).

<sup>77</sup> World Development Indicator data, and data from Government of Afghanistan Central Statistics Organization (2008) as reported in Save the Children (2010d).

# CASE STUDY 6

## Rapid and equitable expansion in primary and secondary education in Ethiopia

### PROGRESS IN PRIMARY EDUCATION

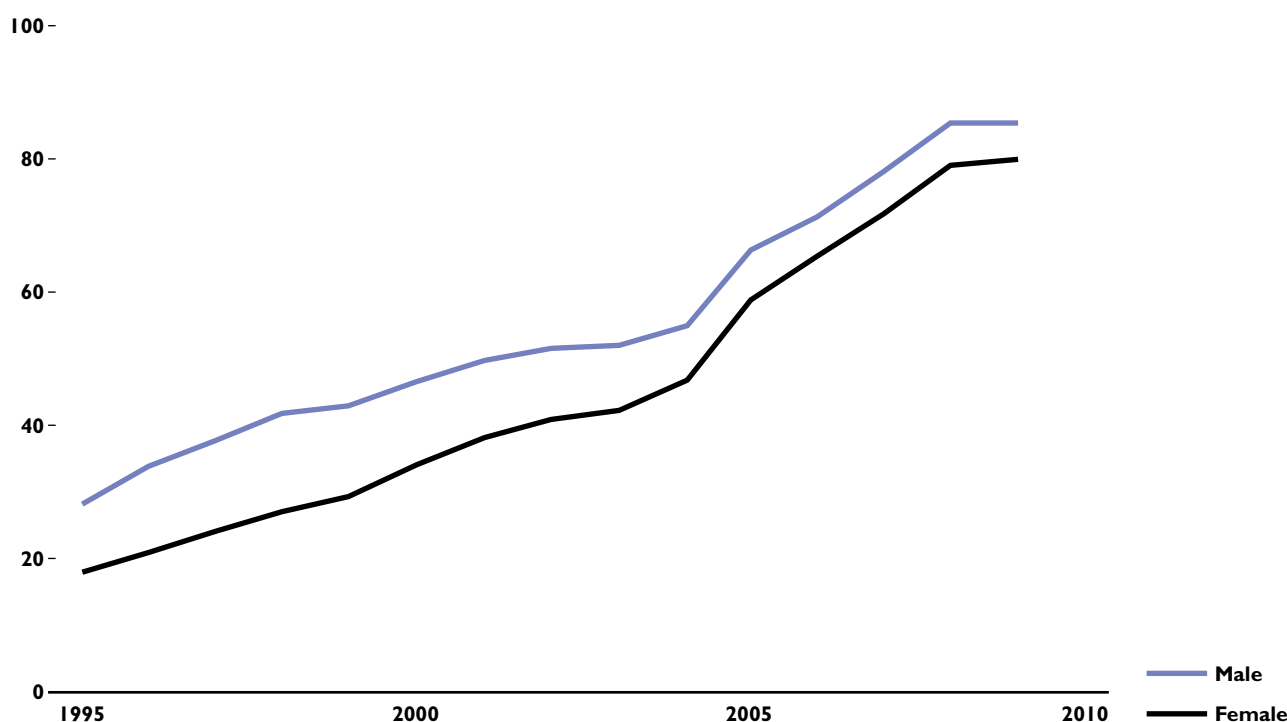
In 1971, the gross enrolment<sup>78</sup> rate for primary schools in Ethiopia was 14%, with 20% of boys and 9% of girls enrolled. Primary school enrolment has risen steadily, with particularly strong growth from 2000. Over the past decade, net enrolments have increased from 40% in 2000 to 83% in 2009, with girls' enrolment rates now only 5% below those of boys.<sup>79</sup>

Between 1998 and 2006, Ethiopia reduced the number of out-of-school children from 6.5 million to 2.7 million (UNESCO, 2011), largely through increasing the Grade 1 gross intake, which reached 153% in 2008 (ibid.).

### PROGRESS IN SECONDARY EDUCATION

In 1971, gross secondary school enrolment rates were only 3.73% (5.6% for boys and 1.8% for girls). There has been a sustained increase in secondary school enrolment over the past three decades, so that, in 2009, the gross enrolment rate was 34.4% (30% for girls and 38% for boys).<sup>80</sup> Secondary enrolments are likely to rise significantly in the coming years as the vastly increased numbers of children now attending primary school move on to secondary education.

FIGURE A: GROSS ENROLMENT RATE IN PRIMARY EDUCATION IN ETHIOPIA, 1995–2010 (%)



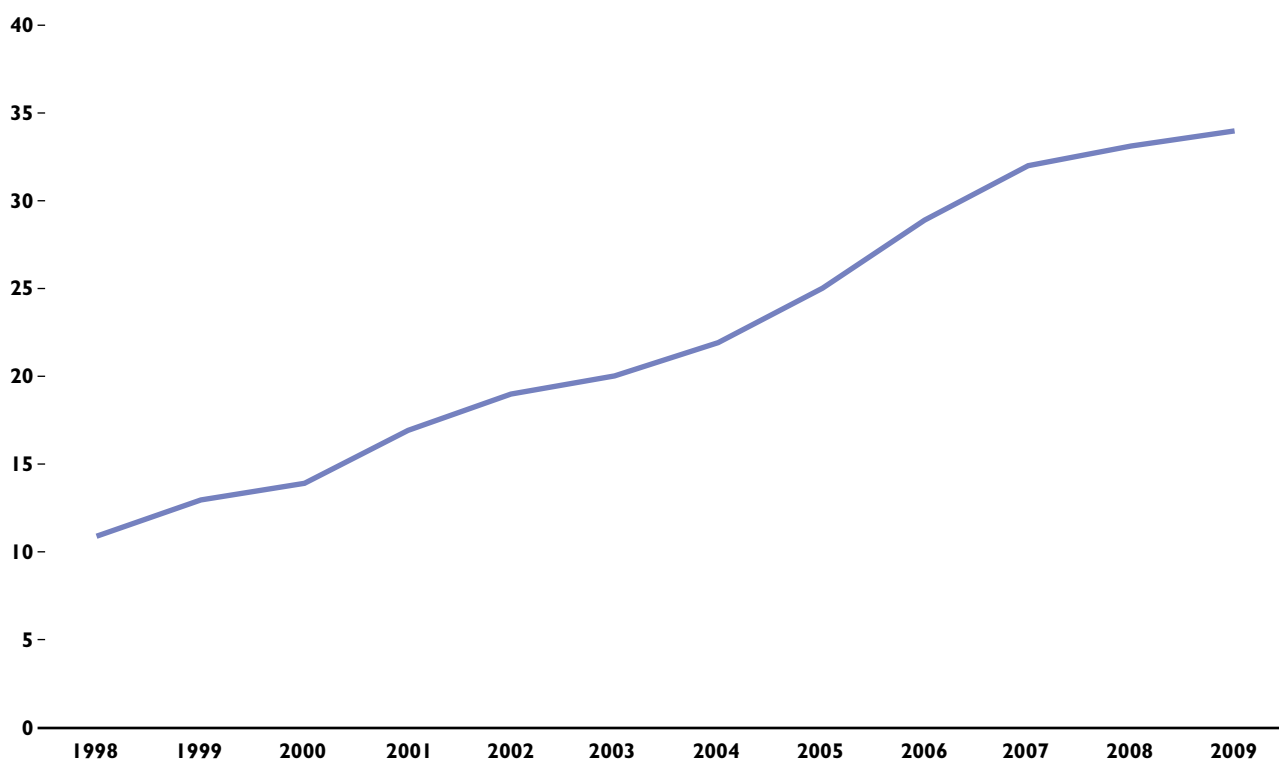
Source: World Development Indicators.

<sup>78</sup> Net enrolment figures for 1971 were not available.

<sup>79</sup> World Development Indicator database.

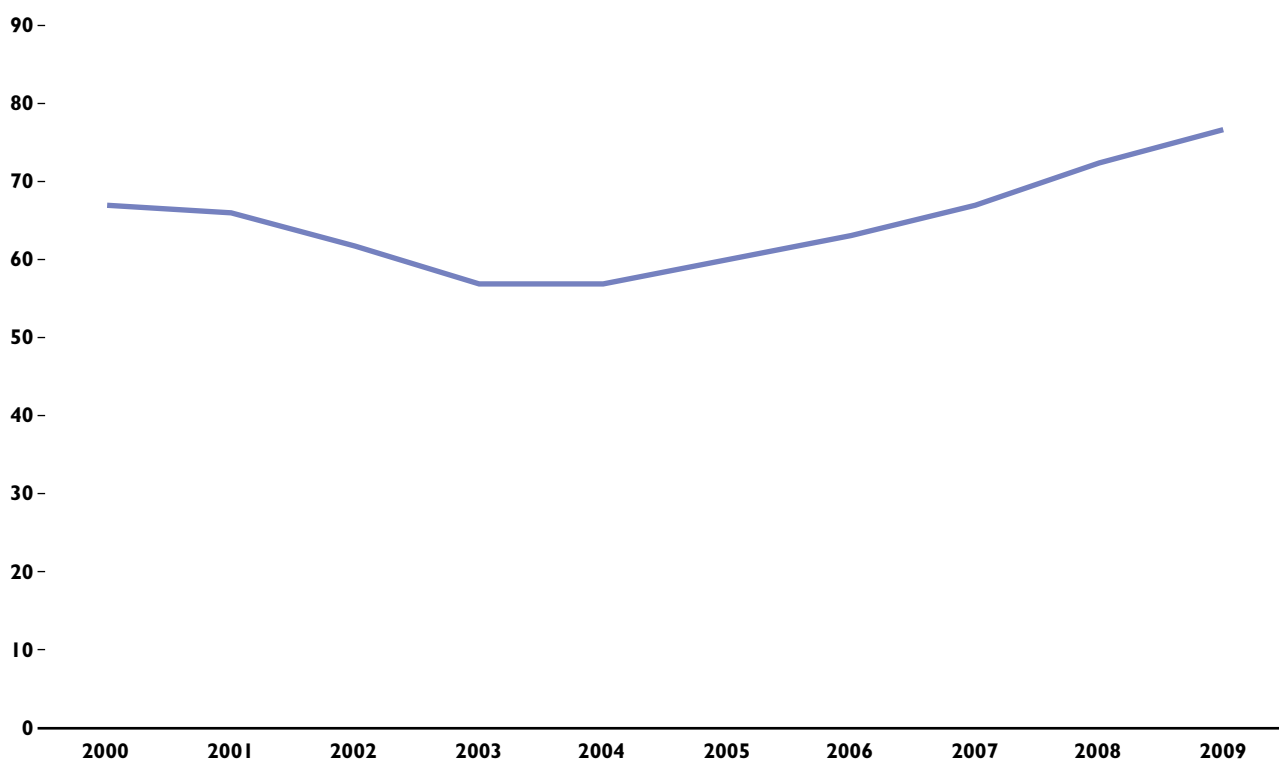
<sup>80</sup> World Development Indicator database.

FIGURE B: GROSS SECONDARY ENROLMENT, 1998–2009(%)



Source: World Development Indicators.

FIGURE C: RATIO OF FEMALES TO MALES IN SECONDARY ENROLMENT, 2000–09 (%)



Source: World Development Indicators.

## KEY FACTORS UNDERPINNING PROGRESS

**Policy emphasis:** Achieving universal primary education has been a central part of government policy, with three successive Education Sector Development Plans (1997/98–2001/02, 2002/03–2004/05 and 2005/06–2009/10) (Dom, 2010).

**Increased spending:** Government spending on education rose from 19.8% of the national budget in 2004/05 to 22.8% in 2009/10 (MoFED, 2010). The decentralisation of education financing and increases in central government grants to local administrations often further boosted education spending. Some woredas (local authorities) allocated over 50% of their budgets to education (Dom, 2010). However, even these increases plus aid funding have been insufficient to meet the ambitious targets of the Education Sector Development Plans (Dom, 2010).

**Massive increase in school facilities:** 9,000 new schools were constructed between 2004/05 and 2008/09, bringing the total to 25,000. Of these, 80% were in rural areas (MoFED, 2010). These were not fully financed by government or donors – substantial community contributions were expected (Dom, 2010).

**Abolition of school fees:** Primary school enrolment in Ethiopia increased by 23% in 1995, the year after school fees were abolished for Grades 1–10 (UNICEF and World Bank, 2009). In 1995/96, schools were meant to receive capitation grants per pupil, designed to replace user fees, but in some cases shortages of funds meant schools did not receive full funding (*ibid.*). Furthermore, some schools still make additional charges for sports, sanitation, construction, stationery, etc (*ibid.*).

**Action on poverty:** Ethiopia's large social protection scheme – the Productive Safety Net Programme – has helped to address some of the financial barriers to schooling. Among the households receiving support through this programme, 69% have been able to keep children in school longer (Slater, 2006, in UNESCO, 2011). Poverty levels declined from 49% in 1996 to an estimated 29% of the population under the poverty line in 2009/10 (MoFED, 2010), although the threshold is very low (Dom, 2010).

Programmes to reach disadvantaged children, such as an Alternative Basic Education programme for out-of-school youth, school feeding programmes and mobile education for pastoral communities (UNICEF and World Bank, 2009) have all helped particularly disadvantaged children to gain an education. Enrolment rates have risen sharply among the poorest groups: only 56% of the poorest households could afford to send their children to school in 2001 compared with 91% in 2006 (Woldehanna, 2008, in Tafere et al., 2009). The enrolment rate for orphans improved from 60% to 90% between 2000 and 2005 (ODI, 2010d).

**Action on gender inequalities:** Action to improve gender parity has included publicity campaigns encouraging parents to educate girls; provision of sufficient toilets for girls and establishing girls' clubs in schools; targeted recruiting of women as teachers and administrators; micro-level initiatives to address specific needs of girls out of school; strengthening monitoring; and making the school system accountable for actions detrimental to the access and survival of girls.<sup>81</sup> In Amhara region, a government-run project funded by the UN Population Fund (UNFPA) aiming to counter early marriage has provided financial incentives to girls aged 10–14 to stay in or return to school if they remain unmarried for the project's duration (PMNCH, 2011). The government's rural school-building programme also helped to increase girls' enrolment by reducing the distances they had to travel and allaying concerns about their vulnerability to sexual violence en route to school (ODI, 2010d).

Efforts to increase quality have included in-service teacher training, greater use of regional language instruction and reducing pupil–teacher and pupil–textbook ratios through extra provision. These remain very high, however, with up to 60 children per teacher and one textbook shared between ten children.<sup>82</sup>

**Support by NGOs and international agencies:** There is a strong presence of national and international NGOs (such as Plan International and Save the Children) and international child-sensitive agencies (such as UNICEF) working on education in Ethiopia. For example, Save the Children focuses on supporting innovative approaches to education

<sup>81</sup> [www.educationfasttrack.org/news/198/291/Ethiopia-s-Political-Leaders-Champion-Girls-Education/d,Stories/](http://www.educationfasttrack.org/news/198/291/Ethiopia-s-Political-Leaders-Champion-Girls-Education/d,Stories/) (accessed 21 September 2011).

<sup>82</sup> <http://ddp-ext.worldbank.org/EdStats/ETHgmrpro04.pdf> (accessed 14 February 2012).

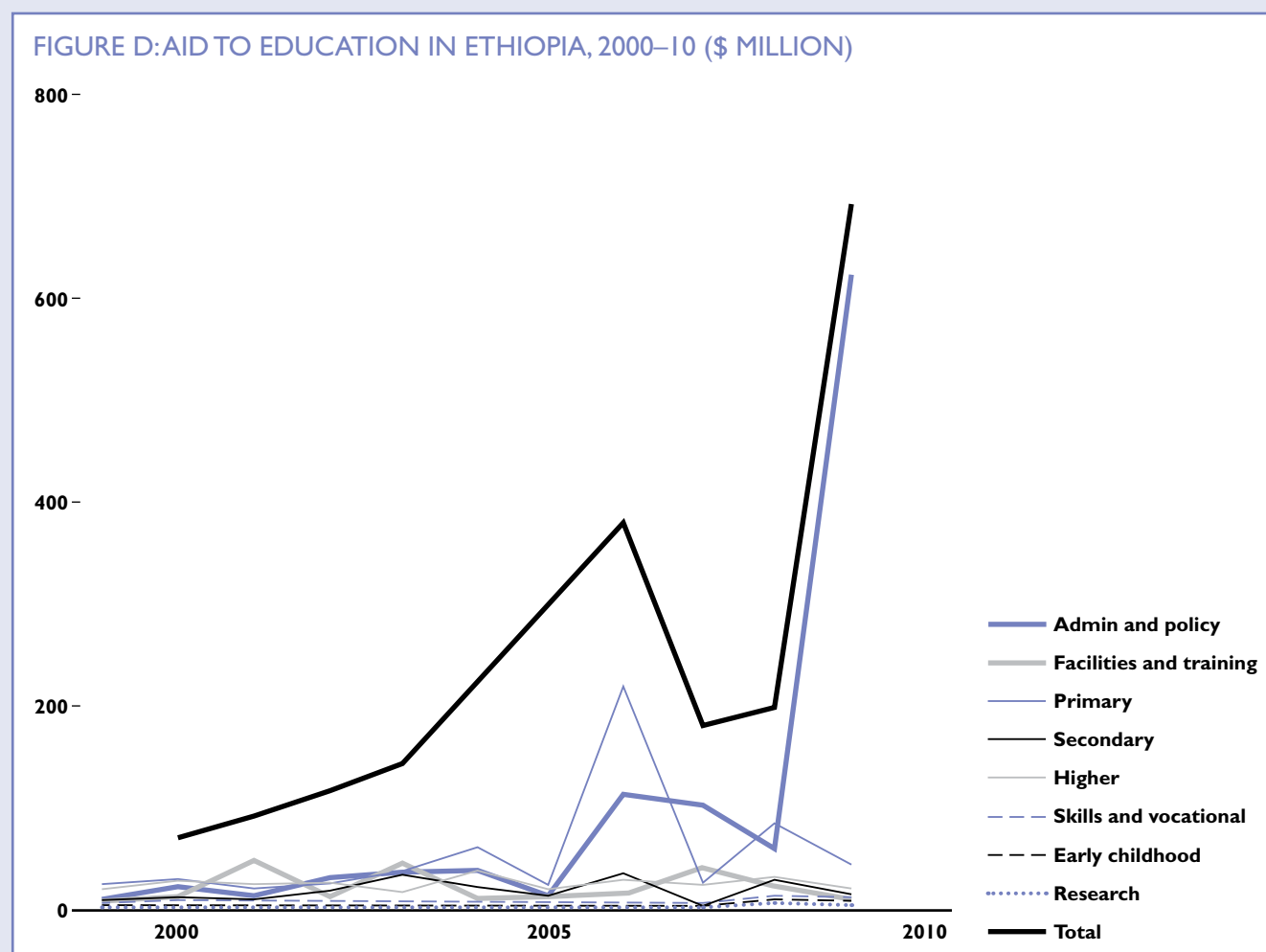
in areas the government has not reached. Rather than providing direct services, it has strengthened ten local NGOs to become major education actors by providing support and guidance in such areas as capacity-building, networking and negotiating with the government.

## ROLE OF AID

Aid has supported a government-led process of educational expansion (ODI, 2010d). Aid to education in Ethiopia increased substantially over the 2000s (Figure D), as donors which had not supported the first Education Sector Development Plan slowly came on board. However, given the country's large population, per capita aid continues to be well below the average for sub-Saharan Africa – \$11 compared with \$23 for the continent as a whole (Dom, 2010).<sup>83</sup> Aid to education was affected by the temporary suspensions of aid on political and human rights grounds that occurred after the Ethiopia–Eritrea

war of 2000 and following the 2005 elections. By contrast, government funding to basic services was largely protected during these periods of resource squeeze (ibid.). The Protecting Basic Services (PBS) programme was set up to overcome donors' unwillingness to provide direct budget support following the 2005 elections while not wishing to pull funding on aid for basic services. It is now the main channel for aid to education, with 50–70% of funding channelled through PBS in 2006 (ibid.). Although it is difficult to derive an exact figure, approximately 38% of PBS funds go to basic education (ibid.).

Consistent with the government's emphasis on universalising primary education, aid to education in Ethiopia has focused largely on primary education (Figure D), with strikingly little devoted to secondary education – less than to the tertiary sector. This reflects both the preferences of certain donors, which emphasise university and technical and vocational education and training, and the government's own emphasis on expanding tertiary education.



Source: OECD-DAC data; author's calculations.

<sup>83</sup> The largest donors over the period 1999–2006 were the International Development Association (IDA) (the World Bank), the US, the European Commission (EC) and the UK (Dom, 2010).



## ONGOING CHALLENGES

**Population growth:** Ethiopia's population grew 2.6% per year between 1994 and 2004. This meant the population of children under 15 rose to 33 million in 2007, compared with 25 million in 2004, so the "education system has had to run to stand still" (Dom, 2010). The progression of children who have moved through the primary system into the secondary system will further strain resources. This needs urgent policy and funding attention.

**Drop-out rates:** The rapid expansion of primary enrolment and an associated decline in quality led to a decline in school survival rates – more than a quarter of children dropped out in Grade 1 (MoFED data, in UNESCO, 2011). In 2009/10, the completion rate for the first cycle of primary school (Grades 1–4) was 78%, but it was only 46% for the second cycle (Grades 5–8) (MoFED, 2010), with a significant discrepancy between richer and poorer children (Tafere et al., 2009). However, there is some evidence of increased Grade 8 completion rates (Dom, 2010).

**Quality:** The donor-supported General Education Quality Improvement Programme, along with other strategic investment, is intended to tackle problems of education quality. The number of primary school teachers grew from 171,000 in 2005 to 290,000 in 2009 (Ministry of Education data, in Berry and Bogale, 2011) in order to reduce pupil–teacher ratios. The number of secondary school teachers also increased by over 20% from 2004/05 to 2008/09 (ODI, 2010d). Teacher certification rates have risen to 89% in Grades 1–4 and 71% in Grades 5–8 (MoFED, 2010). It is too early to assess how effective this will be in addressing the trend towards deteriorating learning outcomes in some areas as revealed by national tests. In part, this decline may reflect the inclusion of children with more difficult learning conditions (Dom, 2010).

**Increasing enrolment in pastoral and semi-pastoral regions (Afar and Somali):** The gross enrolment rate for primary education in Afar rose from 26.2% in 2004/05 to 58% in 2009/10. In Somali region, the gross enrolment rate rose from 32.7% to 62.8% over the same period (MoFED, 2010). These increases are attributed to an ongoing regional focus in the government's development programme: that is, mobile and community schools for pastoral areas and a national programme of alternative basic education. However, enrolments in these areas lag far behind those for other regions, and gender disparities are often even more severe (girls' primary school enrolment in Afar is half the national average (UNESCO, 2010, in ODI, 2010d)), indicating a need for further effort and investments.

**Financing:** There continues to be a significant gap between the funding required to meet Ethiopia's education sector goals (in particular, universalising primary education and meeting the unmet demand for secondary education). One reason for this is the increasing share of government expenditure on tertiary education, which rose from 13% in 1996/97 to 20% in 2000/01 (while the share devoted to primary education fell from 52% to 41% (Dom, 2010)).<sup>84</sup> With the demand for secondary schooling increasing, budgets for secondary education have also been increased at sub-national level. Furthermore, the share of federal government expenditure allocated to education is expected to fall, as the shares of other sectors, particularly health and agriculture, are increased. Dom (2010) estimates that total aid to education would need to double to meet the financing gap and to support continuing progress.

<sup>84</sup> More recent data are not available.

### 3.7 CHILD PROTECTION

Children's rights to care and protection are outlined in the UNCRC, which states that every child has the right to be free from abuse, exploitation and neglect (UN, 1989). However, millions of children worldwide face violence and abuse, even in 'safe' places such as at home and in school. Many are trafficked or abducted, and made to work in harmful conditions or serve in the armed forces, where they are exposed to violent atrocities or even forced to commit them themselves (UNICEF, 2009b). Because of the hidden and criminal nature of many these violations of children's rights, it is extremely difficult to find reliable statistics for the numbers of abused and exploited children (Save the Children, 2003). This section focuses on selected areas of child protection.

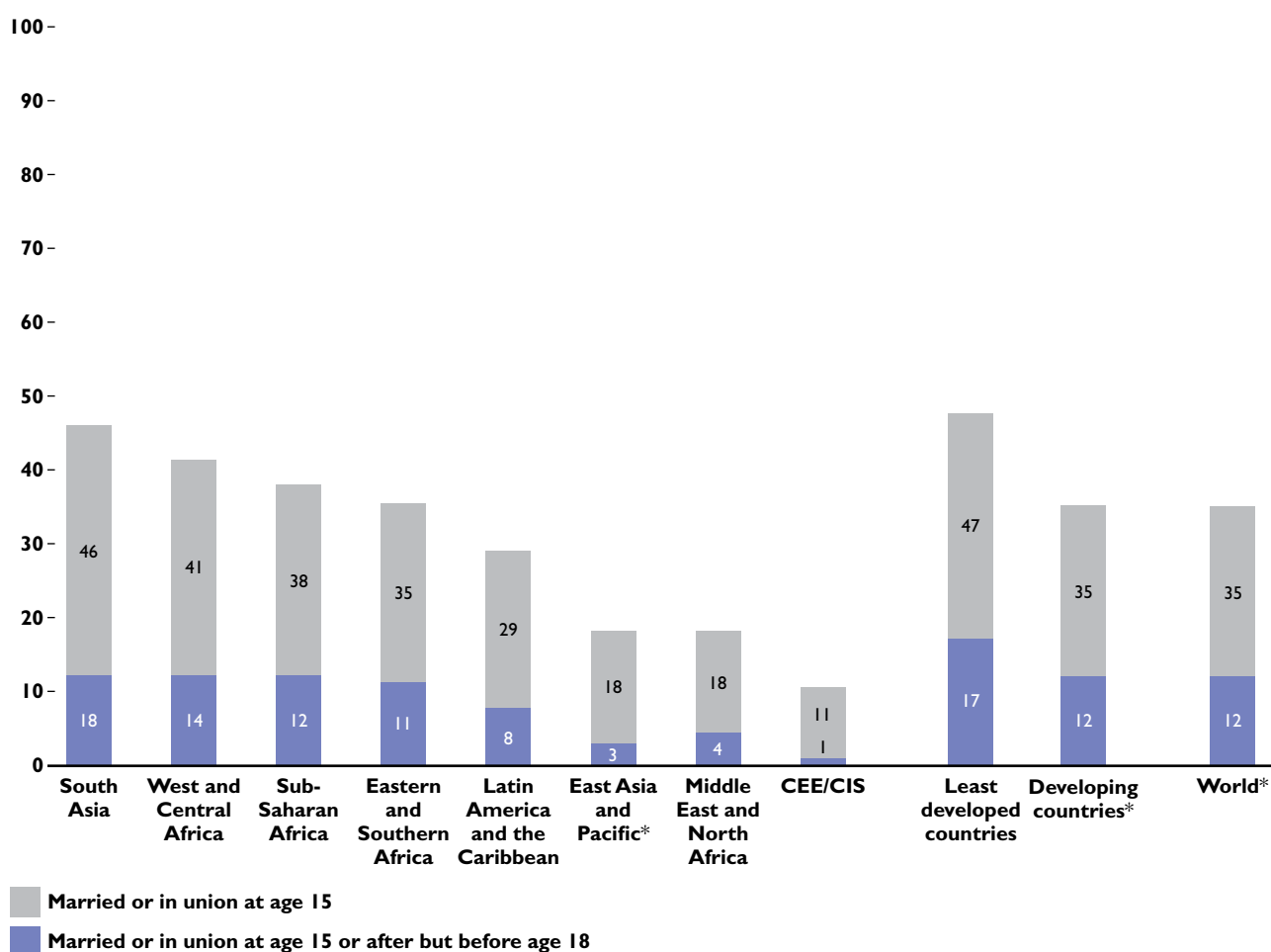
#### Progress in protecting children from abuse and exploitation

##### Early marriage

Child marriage puts girls at risk of sexual and domestic abuse, early (and often complicated) pregnancy and truncated educational and developmental opportunities. Nearly 50% of all child marriages take place in south Asia, followed by sub-Saharan Africa (UNICEF, 2005b) (see Figure 16). The practice is most common among poor rural populations, with more than 64 million (over one-third of) women aged 20–24 in developing countries reporting that they were married or in union before the age of 18 (UNICEF, 2009b).

The median age at first marriage is gradually increasing in 47 countries, with steady increases in some parts of south Asia (Bangladesh and Nepal) between 2003 and 2006. However, child marriage

FIGURE 16: PERCENTAGE OF WOMEN AGED 20–24 YEARS WHO WERE FIRST MARRIED OR IN UNION BY AGES 15 AND 18, BY REGION



\* Excludes China

Note: Regional estimates represent data from countries covering at least 50% of the regional population. Data coverage was insufficient to calculate a regional average for industrialized countries.

Source: UNICEF global databases, 2011. Based on DHS, MICS and other national surveys, 2000–2010

## BOX 8: UNIVERSAL BIRTH REGISTRATION

Through its Universal Birth Registration campaign, Plan International, alongside partner NGOs and governments, has facilitated the registration of over 40 million people – mainly children – in 32 countries, including:

**Bangladesh:** Nearly 6 million children and adults have acquired official identities since 2003. Through work with the government, Plan also mobilised NGOs to record nearly 50,000 street children in Dhaka – over half of whom received birth certificates in 2008.

**Cambodia:** Over 7 million children and adults received birth certificates within 10 months of the programme's commencement.

**Tanzania:** Over 1 million new registrations of children and adults were achieved between 2005 and 2009.

**Uganda:** The Revitalisation of Births and Deaths Registration project resulted in an almost 25% increase in registrations, including over 550,000 children under eight, from 2006 and 2007.

**Vietnam:** A focus on specific groups led to registration of 19,000 children from 66 minority ethnic communities in Quang Ngai province and 10,000 children in Lang Son and Lai Chau mountainous provinces in 2007/08.

Source: Cody (2009).

continues to affect significant numbers of girls (UNICEF, 2009b). More than one third of women in the developing world marry during childhood.

### Female genital mutilation/cutting

Support for female genital mutilation/cutting (FGM/C) also declined significantly in some parts of sub-Saharan Africa (such as Burkina Faso, Eritrea and Mauritania) between 2002 and 2007. However, millions of girls remain exposed to the risk of genital cutting, particularly in areas of high incidence such as the Middle East and sub-Saharan Africa (UNICEF, 2009b).

### Birth registration

Birth registration, and the legal identity and protection it secures, is a basic human right, and is critical to access to legal entitlements, including social assistance, healthcare, education and inheritance in childhood and later life. As proof of age, it also provides a degree of protection against early marriage, child labour and conscription into armed forces. Some progress has been noted since 2002, when 40% of births were unregistered (UNICEF, 2002), particularly in east Asia and the Pacific (see Box 8).

### Child labour

Between 2004 and 2008, the overall number of child labourers worldwide declined by 3% (from 222 million to 215 million), and the number of girls and boys aged 5–14 in hazardous work decreased by 15% and 10% respectively. The reduction in labour among 5–14-year-olds between 2000 and 2008 was

greatest in the Asia Pacific region (from 19% to 14.8%) and in Latin America and the Caribbean (from 16% to 9%) (Diallo et al., 2010).

### Children affected by armed conflict

Between 2002 and 2006, two-thirds of the world's child population – some 1.5 billion children – lived in countries affected by violent, high-intensity conflict. It is estimated that more than 8.8 million children were internally displaced by conflict, and another 5.8 million sought refuge outside their country's borders (UNICEF, 2007a). The use of children under 18 in hostilities continues to take place in one form or another in over 86 countries and territories worldwide (CSUCS, 2008), while armed groups in at least 24 countries have recruited or kidnapped children, many of whom are forced to take part in hostilities as fighters or spies or used as porters, cooks or sex slaves. Such children are most often found in the armed forces of sub-Saharan Africa (Save the Children, 2010b).

Against this background, there has been some progress. More than 100,000 children have been demobilised and reintegrated since 1998 (UNICEF, 2007a) (see Case Study 7 on Sierra Leone), and international and community pressure has led some armed groups to commit themselves to ending child recruitment, such as in Côte d'Ivoire, Myanmar and Sri Lanka. However, significant gaps in implementation remain, and tens of thousands more children either remain in or have been newly recruited into armed conflicts (CSUCS, 2008).

# CASE STUDY 7

## Post-conflict child protection in Sierra Leone

The 1991–2002 conflict in Sierra Leone was characterised by extreme violence, with children singled out for “some of the most brutal violations of human rights recorded in any conflict” (SLTRC, 2004). Children between the ages of 10 and 14 were widely targeted for rape and sexual slavery, and between 5,000 and 10,000 were forcibly conscripted into the armed forces, where they were often made to witness and commit terrible atrocities – at times in their own communities (*ibid.*).

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Nearly 30% of all people forced into recruitment were under the age of 12. Some were children who were already abused, exploited or neglected and were easily targeted by recruiters. Most were abducted by the rebels after an attack where the parents were killed or the children got separated from their families while fleeing to safety. Some were abducted in the presence of their parent(s) who were helpless to stop the rebels. By 1998, children comprised 25% of the fighting forces. Since the cessation of hostilities, many children formerly associated with the armed forces have been rehabilitated and reintegrated.

The Child Protection Committee (CPCoM),<sup>85</sup> managed by the Ministry of Social Welfare, Gender and Children’s Affairs and supported by UNICEF, coordinated activities in the disarmament, demobilisation and reintegration (DDR) process. These activities, which included family tracing and reunification, interim and alternative care, education and employment programmes, were conducted

by various NGOs, international organisations, government agencies and peacekeeping forces. A key objective was community mediation: working to overcome the stigma facing children involved in armed conflict and to reintegrate them peacefully into their communities. By 2002, a total of 7,141 children under 15 (70% were demobilised ex-combatants and 30% separated children) were sent to interim care centres under the care of UNICEF and child protection NGOs. By 2003, 6,977 of these children had been reunited with their families through the Family Tracing and Reunification Programme (Brooks, 2005). This programme was supported by key stakeholders including the police, the Red Cross and the UN High Commissioner for Refugees (UNHCR). Children orphaned by the conflict or whose parents/guardians could not be traced were placed in foster care.

In order to better integrate children into their communities, child protection and specialist education agencies helped to fund and coordinate the Community Education and Investment Programme (CEIP), which provided education, primarily for 2,788 former child soldiers but also accommodated children who had not been soldiers. In addition, 1,441 former child soldiers aged 15–17 years entered the National Committee for DDR Training and Employment Programme, which provided skills training and business start-up kits (Brooks, 2005). NGOs such as the Forum for African Women Educationalists also provided medical assistance for child victims of drug abuse, sex-related diseases or early/forced pregnancies. To ensure full integration into the community, community-level child welfare

<sup>85</sup> A national network of partners implementing programmes in Child Protection, coordinated by the MSWGCA, supported by UNICEF and comprising 40 members from UN agencies, national and international NGOs and government ministries.

committees and children's clubs were also set up, with periodic follow-up visits by NGO social workers focused on strengthening community capacity to enable children to readjust to their new social roles. The child welfare committees were so successful that they were formalised in Sierra Leone under the Child Rights Act of 2007. War Child and other CPro partners provided recreation and psychosocial healing for affected children. UNICEF, as the focal partner of the Ministry for Social Welfare, provided teaching, learning and recreational materials to schools in lieu of fees for over 3,000 children, and reintegrated 1,414 girl mothers who had not been included in the original DDR programme (Committee on the Rights of the Child, 2006).

## AID

Foreign aid was critical to Sierra Leone's post-conflict recovery: from 2002 to 2004, it encompassed 94% of the country's development budget, and nearly a quarter of this budget went towards reintegration, rehabilitation and recovery programmes (World Bank, 2003).<sup>86</sup>

## CHALLENGES

Sierra Leone's DDR process was largely seen as a success and has served as a model for other post-conflict regions (Solomon and Ginifer, 2008). However, it provided insufficient support to girls associated with the armed forces. A quarter of girls abducted by soldiers and targeted for sexual slavery were 12 years or under, and an estimated 30% of child soldiers were girls. However, their complex situations (as servants and captive 'bush wives' as well as fighters) within the armed forces went largely unrecognised, and only 8% of DDR participants were female (CSUCS, 2008). In response, UNICEF established the Girls Left Behind Project, supporting girls overlooked by the DDR process in collaboration with the government and NGOs such as Save the Children, Caritas and the International Rescue Committee (IRC). Other issues such as drug use and psychological problems stemming from the conflict have also been addressed but only on a small scale, and some of these problems have led to increases in the number of street children and young girls engaged in sex work (CSUCS, 2008).

<sup>86</sup> Thanks to Save the Children Sierra Leone for this observation. Bilateral and multilateral aid (administered by the World Bank and government of Sierra Leone through a Multi-donor Trust Fund) were provided primarily by the World Bank, the European Union (EU), the African Development Bank (AfDB), DFID, USAID and UN agencies (World Bank, 2003).

## How has progress in improving child protection been achieved?

### Legal reform

Legal reform has set a framework for action on child protection, and particular forms of child maltreatment have been banned, such as corporal punishment in schools (Jones et al., 2008a) and marriage under age 18 in many countries. There has been near-universal ratification of the Worst Forms of Child Labour Convention. The Minimum Age Convention is among the International Labour Organization's (ILO's) most ratified Conventions.

Over three-quarters of states have signed, ratified or acceded to the Optional Protocol to the Convention on the Rights of the Child on the Involvement of Children in Armed Conflict. More than 100 countries have signed and ratified the Optional Protocol on the Sale of Children, Child Prostitution and Child Pornography. Legal reforms have been introduced in many countries as a result of global efforts to end impunity for crimes against children. These efforts include implementation of a monitoring and reporting mechanism on child rights violations during armed conflict and the adoption by many states of the Paris Commitments and Paris Principles to prevent the unlawful recruitment of children (UNICEF, 2009c). However, implementation of many child protection laws has been patchy.

### Focused action

Where there has been a consensus between government, donors and civil society concerning the importance of particular problems, focused action has played an important role. Examples include programmes to eradicate child labour (see Case Study 8 on India) and to demobilise former child soldiers (see Case Study 7 on Sierra Leone).

### Active role of civil society

More so than in other areas, progress on child protection has often been spearheaded by civil society organisations, partly reflecting gaps in governmental capacity or motivation. For example, progress on birth registration is largely a result of wide-scale registration campaigns by the international NGO Plan International (Cody, 2009) (see Box 8). Civil society has often been instrumental in changing attitudes, for example with respect to early marriage and FGM/C (Mackie and Lejeune, 2009).

### Social mobilisation

Awareness-raising on children's rights and social mobilisation against particular practices have contributed to some improvements in child protection, particularly reductions in FGM/C and early marriage (Mackie and Lejeune, 2009).

### Poverty reduction

Poverty reduction has contributed to progress on some issues, in particular child labour and early marriage, which are especially responsive to improvements in household incomes, although other factors, such as social mobilisation, have also been important. Improvements in social welfare, employment and education levels have been associated with later marriage age in the Republic of Korea, Sri Lanka, Taiwan and Thailand (Mathur et al., 2003).

### Aid

Although aggregated data on levels of aid to promoting child protection could not be found, it is likely to be lower than for the other areas of child well-being examined, reflecting generally low donor allocations to social welfare. However, aid has contributed to some of the improvements witnessed (see Case Studies 7 and 8 on Sierra Leone and India respectively). More broadly, aid to poverty and education has contributed to the decline in child labour, as has dedicated funding from ILO-IPEC.



# CASE STUDY 8

## Child labour in India

India is home to the largest population of child labourers under 14 in the world: over 12.6 million (NCPCR, 2007a, 2007b), or nearly 7% of the global population of working children (ILO, 2006b). Two million of these children are employed in hazardous industries, and the majority are engaged in exploitative and hazardous occupations that are detrimental to their development and undermine their basic human rights. The numbers involved may be considerably higher: NGOs have estimated there to be between 25 and 115 million working children in India (ILO, 2007b).

In addition to action on factors underlying child labour, such as poverty and education, the government and its partners, including NGOs, ILO and US Department of Labor, have committed substantial resources to tackling child labour in the past 20 years. India was the first country to join the International Programme for the Elimination of Child Labour (IPEC), in 1992, and has since launched various anti-child-labour programmes, including the National Child Labour Project (NCLP) and the Indo-US Child Labour Project (INDUS).

The NCLP is India's largest programme aimed at eradicating child labour. It is implemented through the Ministry of Labour and Employment across 266 of the districts where child labour is most markedly endemic (Department of Labour, 2011), and works to withdraw and rehabilitate children from hazardous occupations (ILO, 2004). The projects focus primarily on non-formal education. Special schools provide former child workers with formal and non-formal education, vocational training, supplementary nutrition, monthly stipends and health examinations, and children are eventually mainstreamed into ordinary schools or employment.

### IMPACTS

In 2010, approximately 280,000 former child labourers (100,000 from hazardous occupations) were enrolled in the project.<sup>87</sup> Since the project's inception in 1988, 486,000 children have progressed into mainstream education (2% of child labourers and 4% of children working in hazardous occupations in India); most of this has taken place between 2008 and 2011 (Satpathy et al., 2010). Around 52% of enrolled children have progressed from non-formal to mainstream education (ibid.). Targeting has not been entirely successful, as only 79% of sampled children are of the targeted age range (9–14), and at the national level, enrolment in the programme of children who are not child labourers is around 30% (ibid.).

The government plans to extend the NCLP to all 602 districts in the country by 2012 (USDOL, 2009). Under the 10th five-year plan, 90% of funding for child labour schemes went to the NCLP (Satpathy et al., 2010). However, expenditure on the scheme has been declining in recent years, from Rs 1.57 billion in 2008/09 to Rs 927.1 million in 2010/11, despite the continuing large scale of the problem.

The six-year INDUS (co-financed by the government of India, the US Department of Labor [USDOL] and ILO-IPEC), initiated in 2004, aimed to eliminate the worst forms of child labour in India by addressing gaps in the NCLP and other national initiatives and piloting additional components. Examples of the focus of INDUS were income-generation activities for parents, capacity-building of government departments and civil society partners, and beneficiary tracking and monitoring. It also worked to strengthen the public education system and formal schools in the project areas (ILO, 2006a). Other activities included the withdrawal of children from hazardous occupations

<sup>87</sup> The following data were collected when only 250 districts were participating in the NCLP.

and provision of transitional education, nutritional supplementation, primary healthcare, monthly stipends, vocational training and awareness-raising/ social mobilisation (USDoL, 2009). It sought to build on and complement the NCLP and other national initiatives by bringing together the Departments of Labour and Education in 21 districts across 5 major states; in 6 of these districts the NCLP was previously operating but handed work over to INDUS (ILO, 2006a).

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## IMPACTS

By 2009, the \$40 million initiative had withdrawn more than 100,000 children from hazardous work (USDoL, 2009). This was 20,000 more than the target figure. Around 57% of adolescents who graduated from vocational training are now 'gainfully employed' (against a target of 40%), with gender parity in transitional education centres achieved (ILO, 2007a).

## CHALLENGES

India has not yet ratified ILO Convention 182 on the Worst Forms of Child Labour. Its 1987 Child Labour Prohibition and Regulation Act addresses hazardous industrial child labour; however, this covers only organised sectors of production, whereas over 90% of the labour force is employed in unorganised sectors (NCPCR, 2007a). Supplementary bans on children under 14 working as domestic servants or in the hospitality trade were appended to the Child Labour Act in 2006. Nevertheless, child domestic labour (as well as work in hazardous conditions) continues to be culturally accepted and widely prevalent, reflecting family dependence on children's income.<sup>88</sup>

<sup>88</sup> [www.unicef.org/india/child\\_protection\\_2053.htm](http://www.unicef.org/india/child_protection_2053.htm) (accessed 14 February 2012).

## Ongoing challenges

### Birth registration

An estimated 48 million children – 36% of all births worldwide – remain unregistered. The majority come from poor populations in south Asia and sub-Saharan Africa, where 64% and 62% respectively go unregistered (UNICEF, 2012).

### Child labour

Despite progress on child labour, about 215 million children worldwide work in some capacity, the majority concentrated in sub-Saharan Africa (where 25.3% of all children are labourers) and Asia Pacific (where 13.3% of children are labourers). Around 70% (153 million) are aged 5–14 years, and 91 million are under 11. More than half (115 million) are involved in hazardous work situations such as dangerous or unhealthy environments, or are vulnerable to physical, psychological or sexual abuse, forced labour or slavery, with some children engaged in illicit activities such as drug trafficking or sex work. Furthermore, there has been an increase in the overall involvement of boys in labour (by 7%) and of older children (aged 15–17) in hazardous work (by 16%) (Diallo et al, 2010).

### Violence against children in schools

School violence, including corporal punishment, sexual abuse by teachers, school authorities and other pupils and bullying, is a pervasive problem for children all over the world (Plan International, 2008).<sup>89</sup> Only 93 countries have banned corporal punishment in schools, and the law is often not effectively enforced (Jones et al., 2008a). Research conducted between 2003 and 2005 in a range of developing countries found that between one-fifth (China) and two-thirds (Zambia) of children reported being verbally or physically bullied in the previous 30 days (Plan International, 2008).

## Taking action

Greater progress in protecting children requires action on numerous fronts:

### Greater visibility for and understanding of the necessity of enhanced child protection

Much child maltreatment is simply invisible to policy-makers and donors. Without reliable data and understanding of its causes and consequences, it can often be dismissed as a relatively small-scale problem and/or insignificant to overall development. However, without a greater focus on child protection, global progress towards achieving the MDGs will be hindered (EveryChild, 2010). For example, achieving the education MDGs (2 and 3) requires the educational inclusion of all children (including young married girls and children in extended family care, prison or work) and addressing violence in schools. For progress towards the health MDGs (4, 5 and 6), more effective action on sexual abuse and exploitation of children and preventing early marriage is vital (ibid).

### Greater policy emphasis on and resources for child protection

Government ministries and agencies working on child protection are often grossly underfunded, and their allocations minimal compared with other sectors. For example, one review found that only 4% of social protection budgets in the Asia Pacific region were dedicated to child protection (ADB, 2007, in EveryChild, 2010). Among many donors, child protection is also a lower priority than concerns such as food and healthcare. For example, a recent UNICEF report found that child protection continues to be significantly underfunded in emergencies. In 2009, \$129 million was required to respond to child protection in emergencies by the UN Central Emergency Fund, but less than one-third of this was received (Lilley et al., 2011).<sup>90</sup> Donor funding to ILO-IPEC has been cut in recent years, including a 20% reduction from 2008 to 2009 (from \$60 million to \$53.7 million) (ILO, 2010b).

<sup>89</sup> In 2002, an estimated 150 million girls and 73 million boys under 18 had been raped or suffered other forms of sexual violence (Krug et al., 2002, in Plan International, 2008).

<sup>90</sup> This is compared with \$7 billion received for all sectors.

Donor responses to child protection concerns have often involved the important area of codes of conduct for their staff, rather than an enhanced focus on programming. Successes have been driven by a few key agencies active in child protection, which accounts for their relatively small scale.

### **Strengthening child protection systems**

Much action on child protection is fragmented, focused on individual forms of child maltreatment, ignoring the fact that abused and exploited children often need support in several areas simultaneously. Further action is needed to strengthen child protection systems so that abuse and exploitation can be prevented more effectively and more holistic support offered to affected children (Wulczyn et al., 2010).

### **Action on entrenched cultural norms**

Entrenched cultural norms often condone violence against children, child labour, child marriage or FGM/C (Pinheiro, 2006; UNICEF, 2005b; 2009b). Child maltreatment – particularly physical and sexual abuse – is often seen as a family matter, one in which it is beyond the power of the state or NGOs to intervene. Awareness-raising campaigns have, however, been successful in mobilising social change, even on these entrenched and sensitive issues (Mackie and Lejeune, 2009).

### **Action on poverty**

Poverty underpins many child protection problems, including child labour (ILO, 2010a) and early marriage (eg, Mathur et al., 2003), and anti-poverty action must be better integrated into action to protect children, potentially through stronger linkages between social protection programmes, such as cash transfers and child protection initiatives (Jones and Holmes, 2011).

### **Addressing powerful interests**

Powerful interests, such as those of militias or organised crime rings, are involved in some forms of child rights abuse, including enlistment into armed forces (UNICEF, 2009c) and child trafficking (Skinner and Maher, n.d.). Tackling these requires enhanced capacity in the police and criminal justice system, with attention to problems of corruption if necessary.

## **3.8 MULTIDIMENSIONAL POVERTY REDUCTION AND PROGRESS ACROSS DIFFERENT DIMENSIONS OF CHILD WELL-BEING**

Having analysed progress in specific sectors that are important to children, we now focus on the importance of maximising synergies between sectors to improve child well-being in a comprehensive and holistic manner. This reflects the multidimensional nature of child well-being and the fact that effective action to enhance child well-being requires investment of resources and focused policy attention on the various contributory elements simultaneously.

Some of the case studies presented earlier have shown how some sector-specific interventions have been strengthened through synergies with progress in other sectors. For example, improvements in child mortality have been linked to improvements in education (particularly girls' education); and better nutritional outcomes in Brazil have been achieved by, among other improvements, reducing household monetary poverty and improving education and hygiene.

This last case study focuses on Vietnam, one of the countries that have made the most impressive progress across most child well-being indicators except nutrition. This progress has been fuelled by a planned approach to work on different areas of social well-being for households, and particularly for children. However, progress has been concentrated among the Kinh majority, despite programmes to improve the situation of minority ethnic groups.

# CASE STUDY 9

## Exceptional poverty reduction and multi-sectoral development with positive impacts on children in Vietnam

Vietnam has exhibited one of the sharpest declines in absolute poverty in the world in recent decades and, despite the global economic slowdown, poverty levels continue to decline (World Bank, 2009a).

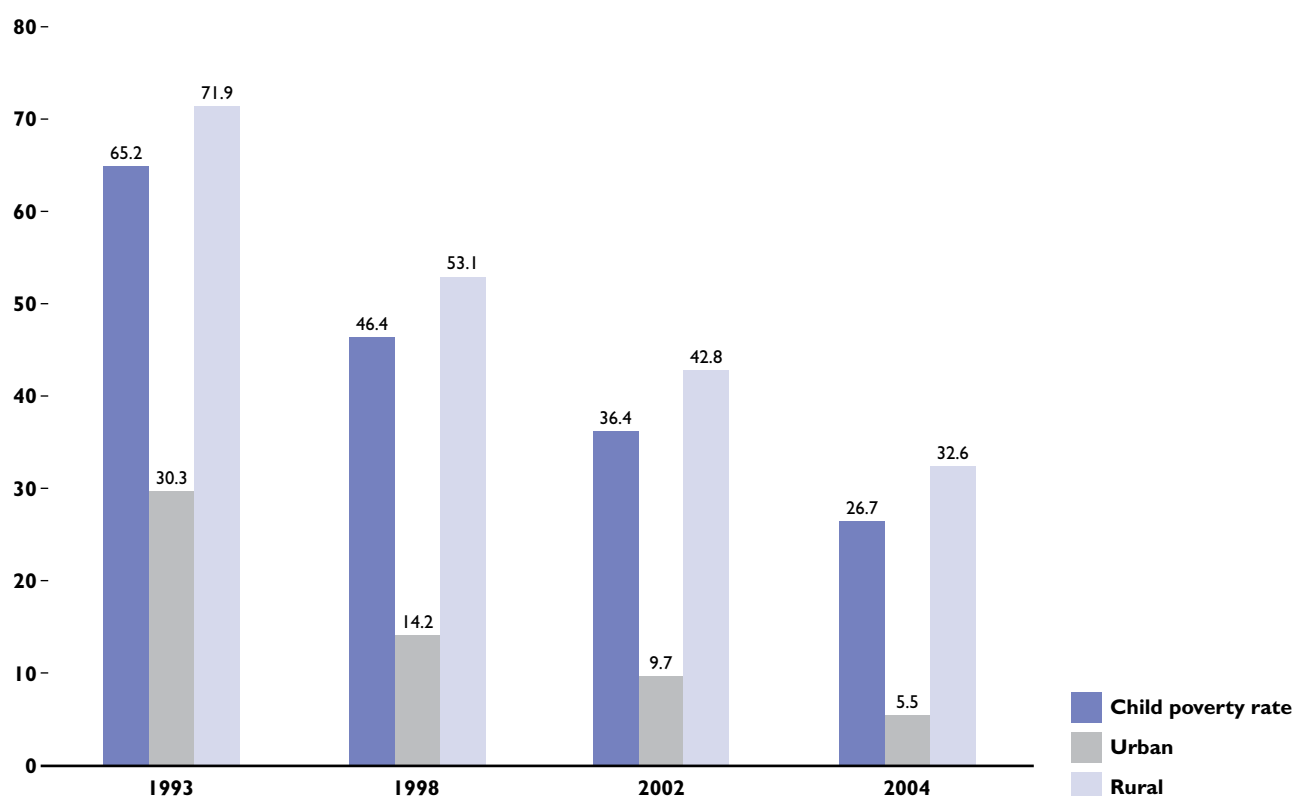
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The country has far exceeded the MDG goal of halving the proportion of those living on less than \$1 per day, reducing it from 40% in 1993 to 4% in 2008. The population living below the national poverty line has decreased by over 80%: from 58% in 1993 to 14.5% in 2008 (SRV, 2010). By 2010 the proportion

was estimated to have fallen to 9.4% (AEF, 2010). The child poverty rate has reduced dramatically in recent years: from 65% in 1993 to 26.7% in 2004 (Nguyen, 2008) (see Figure A).

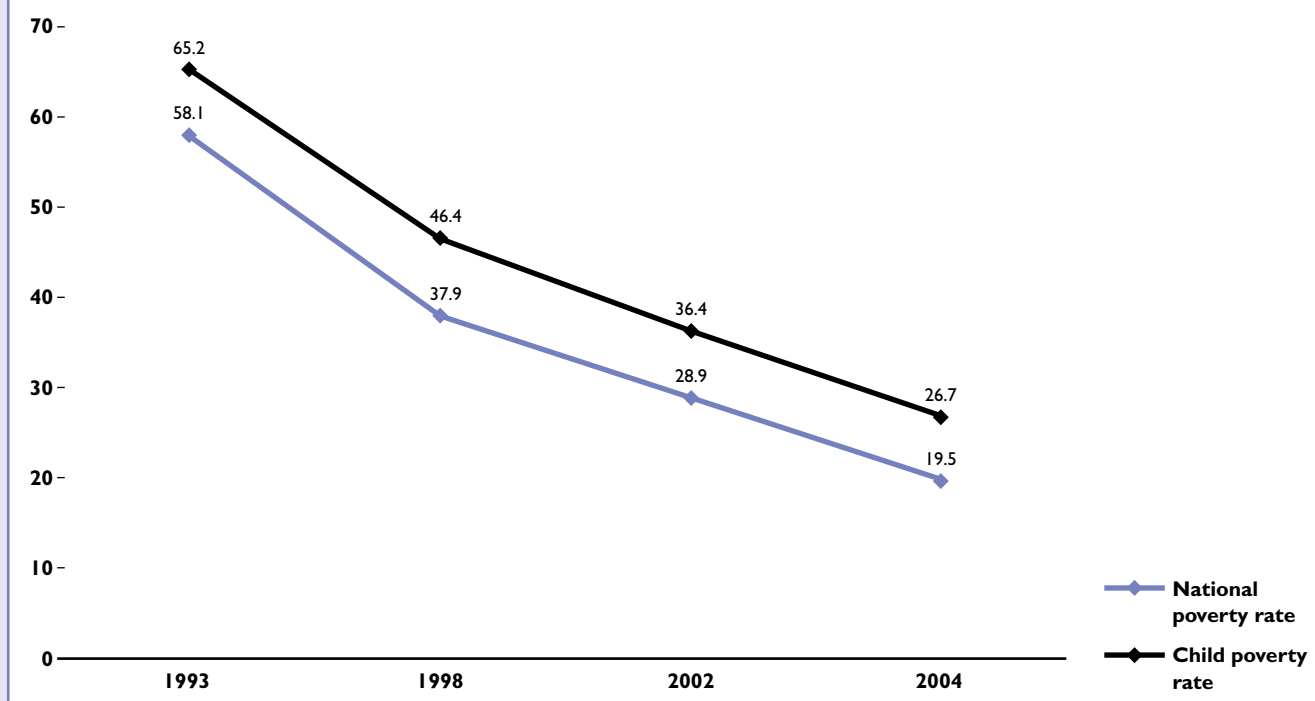
The number of children suffering from monetary poverty has dropped dramatically in recent decades, in line with the national poverty rate (Figure B). However, it is higher than the national poverty rate because poorer households tend to have larger families, and thus more children than other population groups live in poor households.

FIGURE A: CHILD POVERTY RATE BY RURAL/URBAN RESIDENCE, 1993–2004 (%)



Source: VLSS 1992/93, 1997/98, VHLSS 2002, 2004 in Nguyen (2008).

FIGURE B: CHILD MONETARY POVERTY RATE IN VIETNAM, 1993–2004 (%)



Source: VLSS 1992/93, 1997/98, VHLSS 2002, 2004, in Nguyen (2008).

## MULTIDIMENSIONAL CHILD POVERTY REDUCTION

The country ranks in the top 10 worldwide in terms of both absolute and relative progress towards achieving the MDGs (ODI, 2010c). These achievements in part reflect an explicitly child-sensitive development strategy, drawn up with support from UNICEF.

## EDUCATION

Net primary school enrolment rose from 89% in 1991 to 95% in 2006 (ODI, 2011c). Net lower secondary enrolment went up from 30% in 1993 to 90% in 2004, and upper secondary from 7% to 63% (VASS, 2006). These increases in secondary school enrolment put Vietnam in a leading position among countries in the sub-region. Progress has also been significant on preschool enrolment of 3–4-year-olds, which was at 92.5% in 2008, after constant increases in previous years (SRV, 2009a).

## HEALTH

Child health outcomes have improved dramatically, particularly among poor populations. Between 1990 and 2008, under-five mortality rates declined from 56 to 14 per 1,000 live births (ODI, 2011c), fulfilling

the government's 2010 target two years early and ranking above any but the highest-income countries (UNICEF, 2010b). Infant mortality among children also decreased, from 21 per 1,000 live births in 2003 to 15 per 1,000 live births in 2008 (SRV, 2009c).

## NUTRITION

The prevalence of underweight in children under five years old decreased from 45% in 1994 to 20% in 2008 (ODI, 2011c). Between 2001 and 2005, the deprived Highland region experienced the greatest decline in underweight: from 49.1% to 34% (Nguyen, 2009). The country has also reduced the male–female ratio of underweight children under five, from 1.13 in 1997 to 0.91 in 2006 (ODI, 2010c). However, Vietnam remains one of the 10 most-affected countries worldwide for underweight children (Jones and Thi Van Anh, 2011). Stunting continues to affect one-third of Vietnamese children, a very high rate, with minority ethnic groups in remote rural areas the worst affected (UNICEF, 2010b).

## WATER, SANITATION AND HYGIENE

Vietnam was one of the world's top 10 countries with regard to progress on securing access to improved water sources between 1995 and 2008, with a 38% increase in the proportion of the



population (to 94%) using improved drinking water (ODI, 2010c). Access to sanitation also improved over this period: the proportion of the population with access to hygienic latrines<sup>91</sup> rose from 10% in 1993 to 32% in 2004 (VASS, 2006). Most schools now have improved water sources and latrines (80% and 73% respectively), although many do not yet meet national standards (UNICEF and MoH, 2007). By 2005, 58% of clinics in poor rural areas had improved water and sanitation facilities (SRV, 2005).

## HOW HAS THIS PROGRESS BEEN ACHIEVED?

There has been strong government commitment to social investment, with policy commitments translated into concrete programmes. For example, the Comprehensive Poverty Reduction and Growth Strategy, the Secondary Education Master Plan, the Education Strategic Development Plan (2001–10) and the National Education for All campaign have all emphasised universalising primary and secondary education.

In addition to major investments in the health system, such as the Health Care Fund for the Poor (HCFP), Vietnam has worked with international organisations such as UNICEF to improve specific health indicators. In the past 15 years, the EPI has vaccinated over 90% of the population against tuberculosis, measles and diphtheria (UNICEF, 2009b). Polio and maternal and neonatal tetanus have been eradicated (Le, 2008), and Vietnam has also begun to control micronutrient deficiency diseases (World Bank, 2005). The National Nutrition Strategy (2001–10) has focused on improving child and maternal nutrition through enhancing food security and reducing nutrition-related disease, particularly among minority ethnic groups. An anti-malnutrition programme for children under five has been implemented in every province (IMF, 2006). The 2001–05 programme on child malnutrition prevention was ranked the most effective among all the National Health Target Programmes, with relatively consistent achievements throughout Vietnam (Nguyen, 2009). However, as with much public sector spending

in Vietnam, nutritional programmes are heavily dependent on donor funding (see below), creating a limited agenda and a lack of coordination across ministries, and they are generally underfunded (Jones and Thi Van Anh, 2011).

Clean water and sanitation are addressed in the Comprehensive Poverty Reduction and Growth Strategy and the National Rural Clean Water Supply and Sanitation Strategy, which aims to achieve universal access to safe water and sanitation services in rural areas by 2020. Vietnam's five-year Rural Water Supply and Sanitation National Target Programmes have been effective in meeting targets.

**A focus on children has been integrated into national development strategies and sector-specific plans and targets:** Three National Programmes of Action for Children have been implemented since 1991. These establish time-bound objectives for children's health, nutrition, education and access to clean water and hygiene, and for reductions in the numbers of children with specific vulnerabilities such as street children and those affected by HIV/AIDS, sexual abuse and trafficking (UNICEF, 2007a). These child-sensitive plans are integrated with National Target Programmes, the main implementation vehicles for government policy. They include the National Target Programme for Poverty Reduction, which supports access to basic services, including through school fee exemption and reduction (MOLISA et al., 2009). These are complemented by a range of specific programmes aimed at improving the situation of particularly disadvantaged children.

**A strong and longstanding orientation towards income equity:** The pre-1990 government invested substantially in education.<sup>92</sup> Equitable land and agricultural sector reforms in recent decades have underpinned growth,<sup>93</sup> financing government investment in education, healthcare and general poverty reduction (ODI, 2011c).

The fiscal decentralisation process has also been equitable and pro-poor: since 2006, provinces with higher poverty headcount ratios, lower levels of local revenue, greater presence of minority ethnic

<sup>91</sup> That is, double-vault composting latrines, ventilated pit latrines, pour/flush-water sealed latrines and septic tank latrines used in the household (MoH Decision No. 08/2005/QD-BYT).

<sup>92</sup> High education levels have in turn contributed to sustained economic progress and helped to attract large volumes of foreign direct investment (FDI). FDI projects employed 730,000 people (although this accounted for only 1.5% of workers) by 2006, and by 2002 contributed \$480 million towards state revenue.

<sup>93</sup> This, however, has been concentrated among the Kinh majority, with minority ethnic communities benefiting little from poverty reduction (World Bank, 2009c).

communities and more geographically disadvantaged districts receive larger per capita fiscal transfers from the central government. Provinces which cannot meet minimum expenditure needs are also exempted from sharing tax proceeds nationally (World Bank, 2009b).

A strong policy focus on securing poor and disadvantaged children's access to services has been reflected in budgets and concrete programmes.

For example:

1. Three of Vietnam's key development strategies are committed to ensuring educational access for disadvantaged children and those from minority ethnic groups, including early childhood education. The Ministry of Education and Training has experimented with alternative primary schools for difficult-to-access regions
2. A total of 95% of beneficiaries of the National Targeted Programme for Poverty Reduction received complete exemption from primary school tuition fees, as did 60% for lower secondary; more than 50% and 30%, respectively, reported exemptions from school contributions (which are often the most expensive component of education).
3. In 2004, 84% of the poor population (among whom children are over-represented) benefited from the government's HCFP, which provides free access to health services and essential drugs through healthcare cards or insurance (Nguyen, 2009; SRV, 2008). By 2006, 96% of children under six had been granted free medical cards, and many sick children from economically disadvantaged families are now entitled to free medical services (UNICEF, 2010d).

**Financing:** There have been significant increases in expenditure on key social sectors over the past decade. For example,

1. The education budget increased 10-fold from 2002 to 2006 (VND 15 billion to 150 billion) (SRV, 2009a), with most spending on primary education. Between 1999 and 2002, spending on primary school teacher salaries nearly doubled (World Bank, 2005).
2. Health expenditure (as a percentage of total government expenditure) rose from 6.6% to 9.3% between 2000 and 2008 (WHO, 2011b). Further, between 2005 and 2006, the government put \$25 million towards health insurance cards for children under six (UNICEF, 2010d) to support its commitment to expanding health coverage for children.

3. The state budget allocation to child malnutrition prevention programmes increased from \$1.87 million in 2000 to \$2.67 million in 2004 (Nguyen, 2009).

Aid has played an important role in bridging financing gaps in some sectors. Sixty per cent of the national AIDS response is funded by international development aid, and in 2006, the state only contributed 27 per cent of the total money spent on social healthcare (UNICEF, 2010b). For example, 30% of the National Targeted Programme for the Socio-economic Development of Extremely Difficult Communes in Ethnic Minority and Mountainous Areas (Programme 135-II) is provided by donors, as is 8.5% of funding for the National Targeted Programme for Poverty Reduction (2006–10) (SRV, 2009b). Vietnam's social protection system is fragmented, ad hoc and generally poorly funded from the state. This contributes to the donor dependency and unsustainability of some programmes (Jones and Thi Van Anh, 2010).

## ONGOING CHALLENGES

**Concentration of poverty in disadvantaged areas:** As overall poverty rates of fallen, poverty has become increasingly concentrated among remote rural and minority ethnic communities. There are significant persistent disparities between rural and urban areas on all child well-being indicators (Le et al., 2008) and, although minority ethnic groups comprise only 12.6% of the population, they account for 39% of Vietnam's poor (Nguyen, 2008). The costs of reaching such geographically isolated communities are generally higher and the infrastructural challenges greater, so continued commitment is needed to extend social services and other development programmes to these hard-to-reach areas.

**Reducing disparities between poor and better-off households:** Household income is a critical determinant of child outcomes. Those from the poorest households are two to three times more likely to die before the age of five than children from the richest households (Le et al., 2008; UN, 2011). In recent years, disparities in child mortality and malnutrition rates between the poorest and richest socio-economic groups have increased (ODI, 2010c). This underlines the need for continued focused attention to particularly disadvantaged households and areas.

# 4 DRIVERS OF PROGRESS

This section explores the critical economic, political, socio-cultural and technological drivers of progress identified through our analysis of progress in different areas of children's well-being, the wider literature on development progress and the country case studies. For an aggregated analysis of progress in different areas of child well-being such as this, it is difficult to make quantitative estimates of the contributions of different drivers of progress, such as aid, growth, the political economy, etc. Our quantitative analysis probed the role of aid, rather than comparing its role with that of other factors (see Appendix 2). Therefore, our analyses in this section rely principally on qualitative assessments of the roles of different factors in the achievements discussed in Section 3.

## 4.1 A SUPPORTIVE POLITICAL AND POLICY ENVIRONMENT

A supportive political environment is one of the most important factors underpinning progress for children. In most cases where sustained progress has been achieved on a significant scale, the leading role of the state has been crucial, providing direct policy support, creating an enabling environment, financing initiatives or allowing the space for NGOs and donors to fill government gaps. Mehrotra and Jolly's (1997) analysis of 10 "well-performing" countries on human development found that the state had played a critical role in ensuring that the vast majority of the population had access to basic services. Our sectoral and case study analysis in Section 3 bears this out.

Progress has often been driven by high-level political commitment to a particular area (eg, health, nutrition and poverty reduction in Brazil; education in Ethiopia), with the development of adequately

resourced sectoral strategies, in both human and financial terms, mobilising donor support where necessary.<sup>94</sup> Sustained policy commitment in the medium term through changes of government and dedicated civil service capacity have underpinned improvements, as they reduce the risks of programme discontinuation and capacity gaps that arise with political change. This is critical to child well-being because change takes time – particularly among the poorest children, where disadvantage is entrenched. Among our case studies, continued commitment to reducing child mortality in Bangladesh across several governments stands out.

The space that civil society organisations have in which to act can also be critical to child well-being. In some sectors, for example child protection, NGOs have helped to extend provision, particularly in geographically isolated areas and to socially marginalised groups. They have frequently developed innovative approaches to provision that address social or financial barriers to service use (see Box 7 on education in Afghanistan) and found ways to better protect extremely vulnerable children (such as child workers or former child soldiers – see Case Study 7 on Sierra Leone). NGO advocacy also has an important role to play in helping to build accountability of both public and private service providers. Success has been driven principally, however, by a state that is strong both as a provider and as a regulator (as in Chile's ECD programmes), reflecting state capacity to provide services on a large scale; NGOs can often only fill gaps in state or private sector provision.

Where service delivery is decentralised, local governance structures are sufficiently strong and adequate implementation capacity exists, devolution of resources and decision-making power has contributed to region- or state-level improvements in child well-being (Mehrotra, 2004; Case Studies 6 and 9 on Ethiopia and Vietnam, respectively). The rise of a social accountability movement, embodied

<sup>94</sup> This contrasts with a common situation where leaders express their support for the MDG agenda or for child well-being without putting in place policies, strategies and programmes to articulate this support.

in initiatives on the right to information, budget monitoring, advocacy and citizen scorecards, has also helped to improve the quality and accessibility of public services in some places (World Bank, 2004; see Case Study 2 on Brazil).

In fragile states and conflict-affected countries, achieving sufficient political stability to initiate and sustain policy commitments to social sector investment is an additional challenge. Incentives for the delivery of social services may be impaired by lack of government capacity, lack of government willingness or the breakdown of social order through conflict. The resulting problem of diminished central resources, exacerbated by poor continuity between humanitarian and development financing and declining control of services, gives greater prominence to local government solutions and to alternative, non-state provision (OECD, 2008). Therefore, interventions to benefit children have to be developed in a way that circumvents difficulties linked to weak governance. Some inroads have been made, for example, through work with different political stakeholders to garner multi-actor support for initiatives in the case of a quick-changing regime. Another example is NGOs and civil society working with local authorities (see the Afghanistan example in Box 7) to deliver services and programmes. In conflict-affected states, for instance, innovative approaches such as Immunisation Days have been based on agreements between all parties in conflict to cease hostilities so infants can be immunised.

## 4.2 WELL-PLANNED AND IMPLEMENTED PROGRAMMES

At an aggregate level, recent analysis (e.g. ODI, 2010c; UNICEF, 2011a) suggests that countries that have a range of policies in place to support children – both within and outside families – generally have lower severe child deprivation rates than those that do not. Our Brazil (Case Study 2) and Vietnam (Case Study 9) examples bear this out. Coherent policy frameworks lead to progress in child well-being only when implemented in the form of effective programmes and interventions. Effectiveness encompasses issues around design, financing, capacity for effective implementation, reach and coverage. The case studies in Section 3 looked at

both policies and programmes, stressing the importance of successful programme implementation for achievements in child well-being.

Further, as illustrated by sector analyses and the associated case studies, ambitious, detailed and properly resourced sector plans to articulate policies and bridge [meaning?] are crucial (see Sections 3.5 and 3.6 for examples on education and Case Study 9 for an example of converting policy commitments into effective programmes in Vietnam). Resourcing has comprised both administrative/managerial support and a greater number of front-line staff providing services at local level, as well as increased funding to infrastructure. However, critical challenges remain, such as meeting the need for larger numbers of teachers and health workers: globally, an additional 1.9 million primary school teachers (UNESCO, 2011) and 3.5 million health workers are needed (Save the Children, 2011c).

## 4.3 RESOURCING

The political traction and resource mobilisation associated with the MDGs have led to considerable investment in some MDGs and targets (eg, poverty reduction, primary education, HIV/AIDS, reducing child mortality and, to a lesser extent, water and sanitation) (UN, 2011). In addition, high-level commitment to some areas, such as the fight against HIV/AIDS backed by donors, individual countries, multilateral funds and NGOs, or the promotion of universal primary education through initiatives such as Education for All, have generated momentum to channel financial and human resources, knowledge-sharing and the establishment of concrete commitments by countries, with the support of the international community.

Even in the poorest countries, the majority of funding for improving children's well-being has been provided by governments. A key exception is in child protection, where government funding is often so low, in low- and middle-income countries alike, that, where NGOs are active on child protection issues, they are often reaching larger numbers of children and with greater resources (often provided directly by donors) than governments, and donor commitments often outweigh governmental contributions.<sup>95</sup>

<sup>95</sup> See for example, Jones et al. (2011), who discuss the low levels of government funding to child protection activities in Nigeria.



Across the areas analysed, low absolute levels of expenditure<sup>96</sup> have limited many low-income countries' ability to provide services that are either near enough to their goals or of high enough quality to be effective. For example, Niger's notable progress in reducing very high levels of child and maternal mortality has been hampered by very low absolute resources (Save the Children, 2010a). Even in middle-income countries, the quality and availability of services can be a brake on their contribution to children's well-being. For example, Case Study 2 on Brazil suggests that the *Bolsa Familia* cash transfer has not been as effective as it could in improving children's nutrition because of a lack of and poor-quality health facilities in some rural areas.

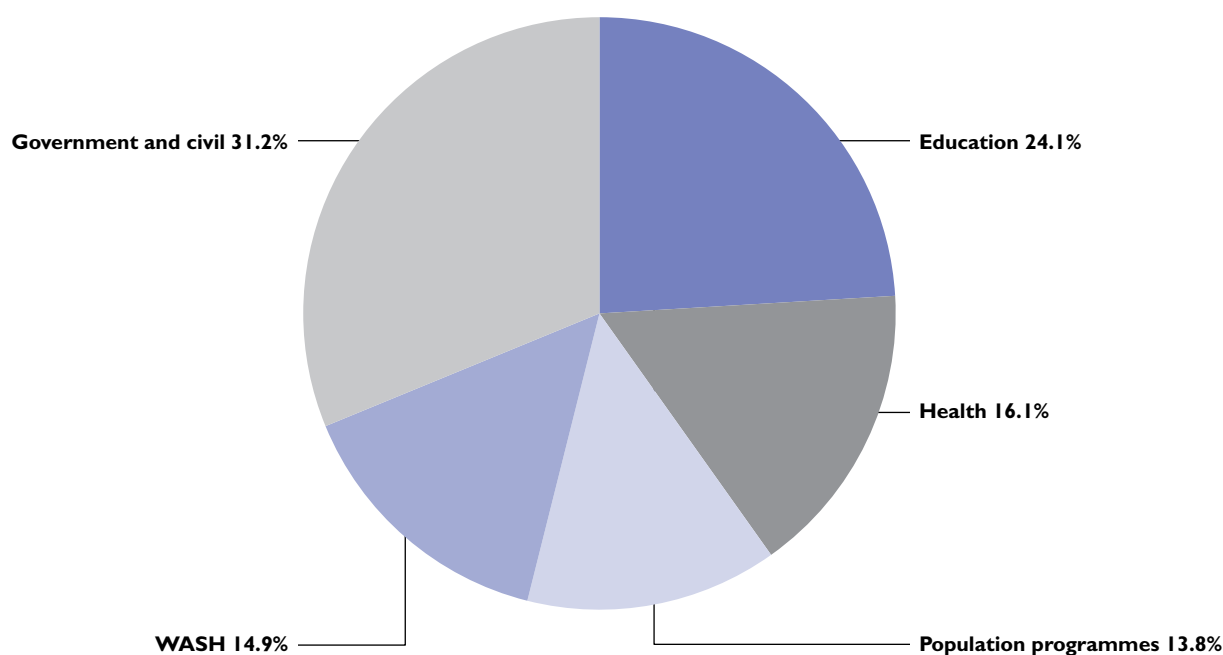
Effective programmes need to be affordable in a sustained manner, which requires minimising leakages and wastage of resources. Increasingly, government and donors are seeking to invest resources in programmes that can yield positive outcomes within a manageable level of costs. Progress in terms of transparency in the use of public funds and in the evaluation of interventions has enabled a better overall use of resources for some programmes (see discussion of *Bolsa Familia* in Case Study 2). Developing policies and programmes that can have a

maximum impact on children at a relatively low cost will continue to be critical to advancing children's well-being, particularly in the poorest countries.

Overall aid to child well-being has increased substantially in recent decades. Bilateral aid commitments to basic social services (education, health, water and sanitation) more than doubled between 1995 and 2004 (from \$3.2 billion to \$7.1 billion). Spending on primary education increased from \$2.3 billion in 2005 to \$3 billion in 2009. Between 2006 and 2008, the world's top 10 donors contributed \$13.7 billion in assistance to the health sector (\$1.8 billion of which went toward basic healthcare services) – an increase of \$11.8 billion since 1990 (OECD-DAC data). In 2007/08, total annual average bilateral and multilateral aid commitments to water and sanitation amounted to \$7.2 billion. Between 2003 and 2008, both bilateral and multilateral aid to clean water increased, by 15% and 4% respectively (OECD, 2010).

The literature on the contribution of aid to improvements in social well-being is surprisingly limited, and our review of the literature did not find compelling evidence demonstrating a causal link between aid and positive outcomes for children.<sup>97</sup>

FIGURE 17: ODA TO SOCIAL SECTORS BY SECTOR, 2005–08 (%)



Source: OECD-DAC data.

<sup>96</sup> For example, median health sector expenditure per person across sub-Saharan Africa in 2011 was \$13.4 (up from \$9.4 in 2001), with 33 countries spending less than \$33 on health (WHO, 2011a).

<sup>97</sup> Exceptions include Mishra and Newhouse's 2009 study which finds that doubling per capita health aid produces a 2% reduction in infant mortality, and a 7% increase in health spending per capita, which is small but significant.

However, our primary data analysis demonstrates that countries that received the most aid over the past decade also made the most progress in improving the lives of children. Key insights are summarised in Box 9, and the literature does suggest that there is aggregate evidence for a positive effect of aid on social well-being indicators, whether through promoting economic growth or through financing social expenditure (Gomanee et al., 2003). See Appendix 2 for full methodological details and a more in-depth analysis.

Our case studies illustrate that the contribution of aid has been significant in individual countries and in particular sectors (see Case Studies 1, 3, 6 and 7). In some sub-Saharan African countries, such as Ethiopia, aid funds constitute 25% of the education budget (see Section 3.6). In others (such as Bangladesh's health sector), it has provided additional funding that has enabled quality improvements in service delivery to contribute to better policy outcomes, as has also been the case in Ethiopia.

In many middle-income countries, the role of aid is essentially catalytic, helping to promote knowledge sharing on good practices. One example is the dissemination of experiences of CCTs, which originated in Latin America (see Case Study 2) and which have contributed significantly to improving monetary poverty reduction and child development outcomes (Barrientos and de Jong, 2006). Some of these initiatives have been scaled up, with donors providing financial support and technical support for design and impact evaluations. Donors have also provided support to establish CCTs in other developing countries (eg, Malawi, Morocco and Uganda).

In both contexts, aid is most effective for children where national commitments to child well-being already exist and can strengthen and facilitate the implementation of effective programmes and services (see Case Studies 1, 6, 8 and 9).

### BOX 9: KEY INSIGHTS FROM PRIMARY DATA ANALYSIS: HAVE COUNTRIES THAT RECEIVED THE MOST AID ALSO MADE THE MOST PROGRESS IN IMPROVING CHILDREN'S LIVES?

To answer this question, we have estimated the relationship between the average annual quantity of aid per capita received by each country, over the last decade for which data are available, and the average annual improvement in childhood outcomes over the same period.

These are descriptive statistics, not an attempt to determine a causal relationship, and thus must be treated with appropriate caution. Moreover, there is considerable variation around these averages. Our analysis was restricted to sub-Saharan Africa. See Appendix 2 for full details.

We found that the average high-aid country reduced **childhood malnutrition**<sup>98</sup> by an additional 2 percentage points over the course of the decade, compared with the average low-aid country. If malnutrition rates fell by an additional 2 percentage points across all of sub-Saharan Africa, 2.7m children would no longer be malnourished.

The average high-aid country reduced **infant mortality** by an additional 4 deaths per 1,000 over the course of the decade, compared with the average low-aid country. If the rate of infant mortality fell by an additional 4 per 1,000 across all of sub-Saharan Africa that would save 63,000 infant lives each year.

The case of childhood HIV is more nuanced. In Southern Africa, child infection rates have recently stabilised after a sharp rise. Aid has been particularly important in Botswana (see Case Study 3). Comparing high- and low-aid countries in the rest of sub-Saharan Africa, we found that the typical high-aid country had reduced the number of children living with HIV by 1.4 children by 1,000 population over the past seven years, as a result of good progress by a group of high-aid countries with initially high infection rates. The average rate of child HIV infection in this group of high-aid countries was 6.5 children per 1,000 population.

<sup>98</sup> The prevalence of underweight in children under 5 was used as an indicator of child malnutrition.



## 4.4 ROLE OF GROWTH

Economic growth can play an important role in both reducing income poverty and financing greater investment in social services, which can result in important progress for children. However, for growth to reduce poverty, it must also avoid increasing inequality. In countries with less income inequality, a 10% rise in economic growth reduced those below the poverty line by 9%, but in countries with wide income inequality, the same growth only produced a 3% reduction (Hanmer et al., 2000). Growth must therefore be pro-poor; achieving this requires active management by governments. Economic growth is expected to empower governments with a sustainable source of financing, which can be directed at social investment if government policy is pro-poor, increasing social welfare (Durairaj and Evans, 2010). The role of economic growth and its relationship with human development is thus complex and contested,<sup>99</sup> but most agree that pro-poor policy is at least as important as growth.

Economic growth has been an important driving force for progress in most of the thematic areas analysed and in our case studies. In the main, improvements in children's well-being have occurred during or following periods of economic growth (see Case Studies 1, 2, 6 and 9). However, in all cases, growth has been accompanied by planned, often multifaceted programmes, which have dedicated both financial resources and human resources to achieving goals. Growth has expanded the resource envelope and facilitated these programmes but, without active policies to make use of the fruits of growth, the same level of progress would not have been achieved. Suri et al (2011) argue that human development is necessary to reach sustained growth: without investment in human development, policies aiming to increase economic growth will fail. In Mauritius, for example, which has achieved high and sustained economic growth since independence, the government has also managed to keep inequality and poverty low through redistribution and effective spending on social welfare provision. This in turn has helped maintain a healthy, educated population and underpinned continued growth (ODI, 2011d). As discussed in Section 3.5, improved revenue collection, in tandem with growth, has contributed to

major increases in school enrolment in Ghana, Mali, Mozambique and Rwanda, for example (UNESCO, 2011). Critically, in all these cases, growth has been used to stimulate social progress.

However, much progress can take place without rapid economic growth, particularly in health, where economic growth is estimated to explain less than half the improvements in healthcare in developing countries over the last 50 years (Mishra and Newhouse, 2009). A 1997 study of progress in human development found that ten high-performing countries (Barbados, Botswana, Costa Rica, Cuba, Kerala state (India), Malaysia, Mauritius, the Republic of Korea, Sri Lanka and Zimbabwe) had made advances in health and education over 50 years that took nearly 200 years in the industrialised world. Although their levels of economic growth and success in reducing income poverty varied considerably, these countries made significant progress on child and infant mortality and basic education for almost the entire population (Mehrotra, 2004). Similarly, in Latin America more generally, increased public sector social spending has resulted in positive human development indicators, but no parallel increase in growth, owing to a stagnating labour market and economic volatility (Ocampo and Vallejo, 2012). Furthermore, some countries with high growth rates have seen relatively little progress on some social indicators (eg, in Nigeria the numbers of out-of-school children have grown, in the absence of effective programmes to convert growth into social investment, particularly in the context of high population growth).

Finally, some areas of child well-being, such as malnutrition, are less responsive to growth than others. Several middle-income countries (eg, Egypt, Guatemala, Libya, Peru) have high levels of stunting (UNICEF, 2009c; UNESCO, 2011). Likewise, after two decades of growth, India is still home to half the world's malnourished children and has been reducing its rate more slowly than countries with economic growth rates half of India's (UNESCO, 2011). This highlights the importance of planned interventions that combine medical-nutritional measures with action on the underlying causes of malnutrition, such as poverty, food insecurity and gender inequality.

<sup>99</sup> For example, Filmer and Pritchett (1997) argued that public spending has little effect on child mortality, and that health outcomes can be explained entirely by each country's particular combination of per capita income, distribution of income, women's education, level of ethnic fragmentation and religion, while Masud and Yontcheva (2005) argue that health expenditure per capita is directly related to reduced infant mortality and better overall health outcomes.

While the role of growth in development progress continues to be debated (and it is clear that stagnation or economic decline are likely to undermine progress), the evidence suggests that, as far as child well-being is concerned, a commitment to evidence-based policies and programmes is equally critical (Save the Children, 2011b).

## 4.5 SOCIAL CHANGE

Increased gender equality has had a strong positive effect across different areas of child well-being. For example, improvements in girls' education rates have been critical to improvements in child health, nutrition and child protection (eg, Brazil, Bangladesh). Indeed, each additional year of girls' education can reduce child mortality by 9% (Caldwell, 1986, in UNESCO, 2011). Empowerment of women can also contribute to reducing gender differentials in children's well-being, as shown in Case Study 1 on Bangladesh.

Broader social change has also facilitated progress in some contexts. For example, Victora et al. (2011b) attribute part of Brazil's notable improvements in health outcomes, nutrition and poverty reduction to underlying processes of urbanisation and fertility reduction that have made it easier to reach the majority of the population.

## 4.6 INCREASED AVAILABILITY OF KEY TECHNOLOGY AND DISSEMINATION OF IDEAS

Finally, in some areas, the spread of technology and ideas has played an important role. Very cheap health technologies that can dramatically reduce mortality have spread rapidly across the world (Kenny, 2011) and have played a critical role in reducing HIV/AIDS, mortality from malaria and immunisation-preventable diseases. Increasing access to information and capacity to use it through increased levels of education have also been vital (ibid.). Technological advances have contributed to extending services to remote areas, such as banking via mobile phone (pioneered by the Grameen Bank) and biometric smart cards for cash transfer delivery in southern Africa, improving programme efficiency by eliminating corruption.

# 5 CONCLUSIONS AND RECOMMENDATIONS

There has been much progress in children's well-being globally over the past 20 years. Child mortality rates have fallen by over one-third and there has been a significant decline in the numbers of children affected by HIV. The numbers of children receiving at least primary education and, in many countries, also junior secondary education have increased greatly. There has also been some progress in reducing severe and moderate malnutrition, extending access to water and sanitation, and reducing children's risk of abuse and exploitation. The scale of progress has varied considerably, however, from region to region, country to country.

Nevertheless, child mortality rates globally remain very high: 7.6 million children under five died in 2010. There are 67 million primary school-age children still out of school. Progress on reducing malnutrition has been slow. An estimated 2.5 billion people are still without improved sanitation, with major implications for child health. The world as a whole is not on track to meet most of the child-related MDGs, although certain regions and countries are on track to meet particular goals.

## HOW DO WE MOVE FORWARD?

### Government commitment

Significant progress has usually been driven by several factors simultaneously. Strong political leadership (often from the president) has been crucial. This has led to a particular issue being institutionalised as a policy priority, and to governments being given mandates and resources (financial and human) to achieve clear goals. Coherent, widely accepted sector strategies, behind which donors have aligned (in aid-recipient countries), have been of fundamental

importance. Our case studies of reducing child mortality in Bangladesh (1), expanding access to and quality of education in Ethiopia (6), eliminating hunger in Brazil (2) and extending ECD provision, particularly for disadvantaged young children, in Chile (5) exemplify this confluence of factors.

### Greater investment, facilitated by economic growth and development assistance

Progress has required significant financial investment, usually through a combination of increased investment by national governments, and more aid. Increased government commitments have been facilitated by economic growth (Bangladesh, Brazil), and by prioritising particular areas within government expenditure (eg, education in Ethiopia received an increasing share of the budget over a key period). Aid has been important in lower-income and lower middle-income countries, and also in some upper middle-income countries for specific issues (eg, in helping to address the particularly high HIV rates in Botswana). Our quantitative analysis found some evidence of the potential contribution of aid to improving nutrition, reducing child mortality and tackling HIV. Effective aid has often been aligned with government-led sector development (eg, in Bangladesh and Ethiopia).

Development assistance plays a key role in improving children's well-being, particularly in low-income countries. Our analysis demonstrates that those countries in sub-Saharan Africa that received the most aid over the last decade also made the most progress in child well-being. Countries that received large amounts of aid typically reduced childhood malnutrition by an additional two percentage points over ten years, and infant mortality by four deaths per 1,000. Replicating this progress across all of sub-Saharan Africa would prevent 2.7m children from becoming malnourished and save 63,000 young children's lives each year.<sup>[1]</sup>

<sup>100</sup> These findings are based on a correlation and are not proof that aid caused these improvements. Nevertheless, there is clearly an association between aid and improved child well-being.

Many low- and lower-income countries are unable to afford to allocate enough resources to make significant progress across different sectors. In some low-income African countries, aid provides as much as 25% of the education budget. By contrast, in many middle-income countries, aid is essentially catalytic, helping to promote knowledge sharing on good practices.

In both contexts, development assistance is most effective for children where national commitments to child well-being already exist. In these circumstances, development assistance can strengthen and facilitate the implementation of effective programmes and services.

Investing in child well-being has significant payoffs in terms of economic growth. It develops human capital and can lead to greater productivity among the population, which is a critical component of stronger economies. Indirect impacts on economic growth include reduced population growth through women's education and lower child and infant mortality.

There are significant gaps in financing and action to meet the child-related MDGs in health, nutrition, education and sanitation. Varied methodologies for estimating the gaps have generated different results, so figures are best ascertained by country. Policy planning has often not accounted for synergies across sectors, which improve outcomes: specific budgets are needed to support coordination between sectoral action plans.

Development assistance can continue to finance governments to make investments, in modalities that have been shown to be most effective (eg, budget or sector support). Well-designed policies and budget plans with a strong child focus can contribute to making investments more manageable and to maximising the use of development assistance.

Because the MDGs are in many ways modest (eg, aiming only to halve child mortality rather than to achieve a more ambitious improvement), there must be a greater mobilisation of resources and action beyond that required for the MDGs. The reasons for this are compelling, on the one hand from an ethical standpoint – a child rights perspective – and on the other because the economic pay-offs are enormous. The goal should not be to reduce the problems faced by children 'by half' but rather to work to ensure that achievements reach all children.

Continued commitment and enhanced actions by governments, NGOs, communities, international agencies and multilateral and bilateral donors can

lead to positive outcomes, drawing on good-practice lessons of how achievements have been made. Evidence-based consensus on priorities for action (as in the health and nutrition fields, where WHO and *The Lancet* have identified packages of interventions) are widely accepted as critical ways forward. In some areas, such as child protection, better lesson-learning and sharing and enhanced capacity to act on emerging knowledge are crucial.

### Multi-dimensional and well-planned, targeted, and implemented programmes

Investment in several aspects of child wellbeing, either in sequence or simultaneously, has had strong payoffs. For example, Brazil's progress in nutrition was facilitated by its prior investment in education, which led to an educated cohort of mothers able to act on nutritional and child health advice, and contributed to poverty reduction. Vietnam shows the payoffs from simultaneous investment in education, health care and water and sanitation. These cross-cutting investments are optimal for progress in the multiple dimensions of child wellbeing and for the achievement of many rights simultaneously.

Well-designed investment in child wellbeing – one which focuses resources on the most marginalised – can contribute to reducing inequality and foster greater social cohesion. It can also help break intergenerational poverty cycles.

### A greater focus on the most disadvantaged children is not only a moral imperative: it can also be cost-effective

Child 'ill-being' is becoming increasingly concentrated among the most disadvantaged. For example, as many countries have made major strides in increasing primary enrolment, children not attending primary school are increasingly concentrated in conflict-affected countries or live in remote rural areas, sometimes among mobile or minority ethnic populations. In many countries, urban slum children are also notoriously underserved by all social services. The gender gap in child health, nutrition and access to education has narrowed significantly in many countries. However, girls remain disadvantaged globally, particularly with respect to education, and in some countries (particularly in south Asia) they are still at a notably greater risk of dying before the age of five. Worldwide, the poorest children are at the most risk of early death, malnutrition and not attending school, and in many countries they have been left behind by progress among middle-income

and better-off groups. Finally, the poorest children are often at the greatest risk of severe violations of the rights to protection, such as abuse and exploitation.

Progress in child well-being has often been greatest where there has been an explicit emphasis on directing resources to and improving the situation of the poorest and most marginalised groups. The conventional wisdom has been that more lives are saved, protected and enhanced in poor countries by focusing on those individuals who are closer to the poverty line, or a particular development target. However, there is evidence that this is not always the case. Important gains can be achieved by focusing on the most vulnerable and marginalised because, for example, excluded populations within countries generally have a large proportion of children, owing to higher fertility rates, or a larger proportion of children in these groups die of preventable or treatable diseases. Examples in the report of equitable development strategies where improvements have been concentrated among the poorest include Brazil and Vietnam.

Where the majority lack a basic service, investment in extending that service is critical (as in the example of education in Ethiopia). Once the vast majority of children are served, it is likely that the focus will need to shift to particularly disadvantaged children who require additional support, such as children in the remotest areas or marginalised ethnic

groups. Our case studies of Bangladesh (1) and Brazil (2) provide concrete examples of equitable development strategies, where improvements have been concentrated among the poorest; our Vietnam case study (9) outlines some targeted approaches to improving educational participation among minority ethnic communities.

### New technology and innovation

Policy and programmatic innovations have contributed to monetary poverty reduction and improvement across other areas of wellbeing, particularly education, health and nutrition. These innovations include wider coverage of better-designed social protection policies, such as the scaling-up of cash transfer programmes focused on promoting human development, particularly of women and children.

Technology has also offered the opportunity for multiplied impact, and for greater efficiencies and accountability along the spectrum of development work. The rapid growth of telecommunications, in particular, has promoted the dissemination of key ideas, such as the value of investing in children, and of self-help on, for example, health. It has also helped improve livelihoods – particularly in the most remote and underserved communities. The growing capacity for local innovation and local development solutions to global problems is a true, scalable driver of change.



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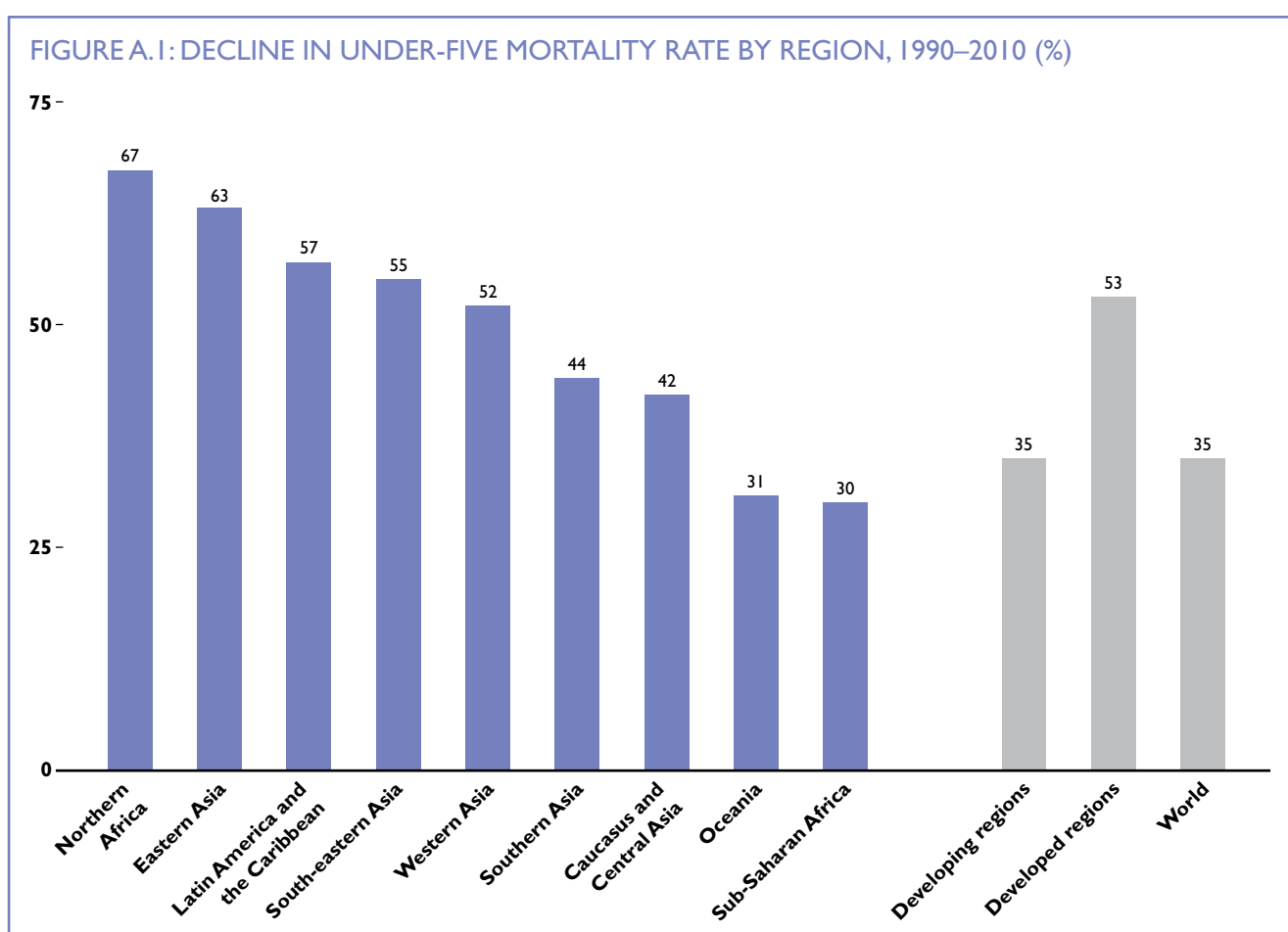
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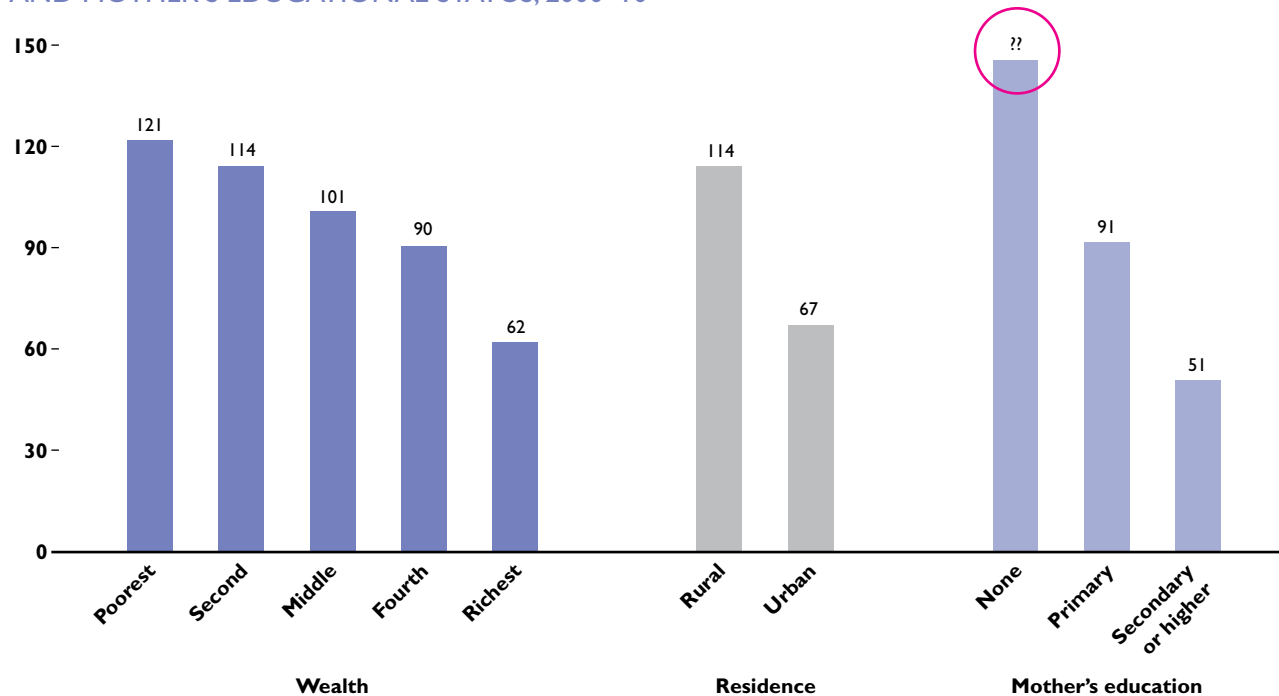
# APPENDIX I

## Critical drivers of change for child-focused development, additional figures and tables



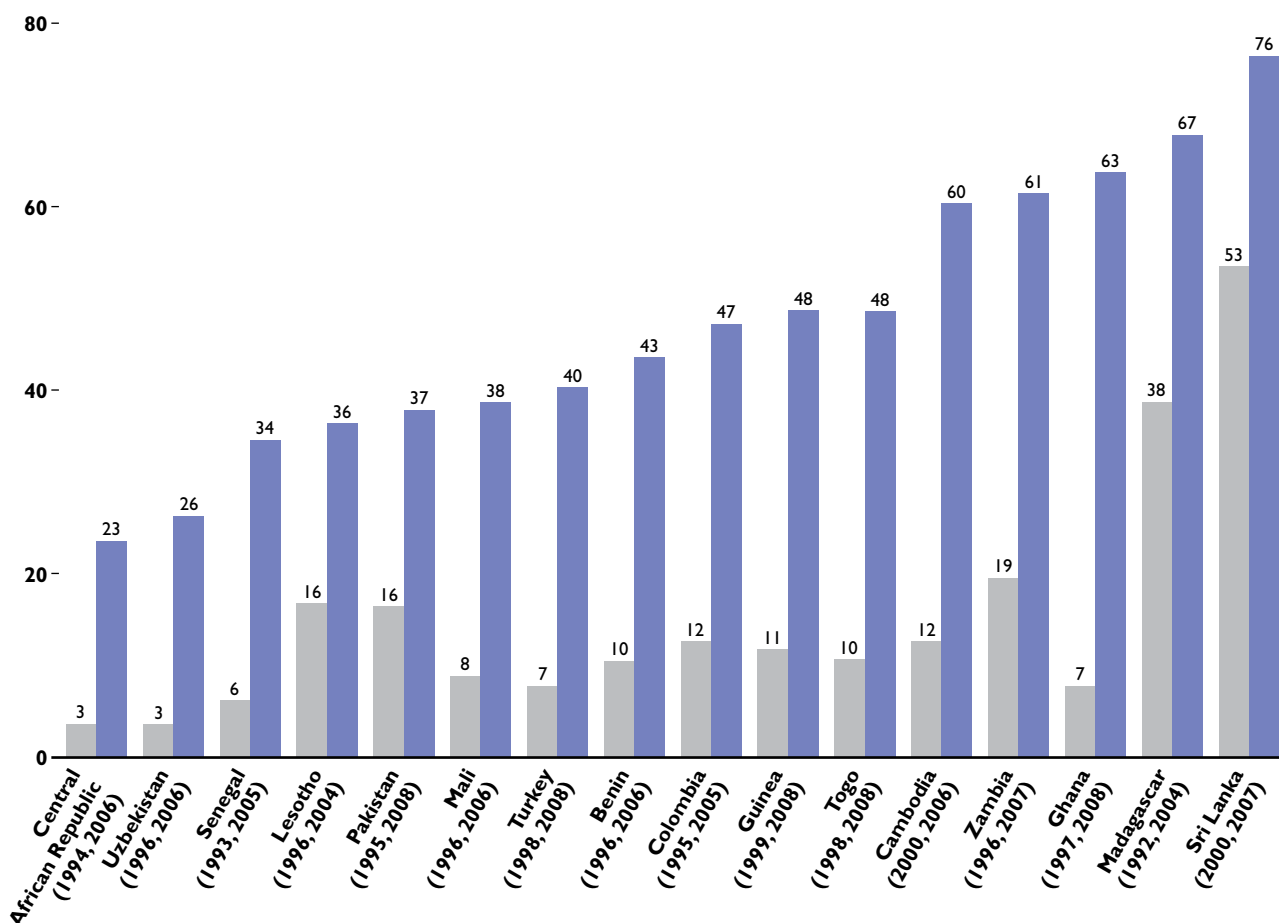
Source: UNIAGCME (2011).

FIGURE A.2: UNDER-FIVE MORTALITY RATE BY SOCIO-ECONOMIC QUINTILE, LOCATION AND MOTHER'S EDUCATIONAL STATUS, 2000–10



Source: UNIAGCME (2011)

FIGURE A.3: COUNTRIES WITH SIGNIFICANT INCREASES IN EXCLUSIVE BREASTFEEDING OF INFANTS UNDER SIX MONTHS (%)



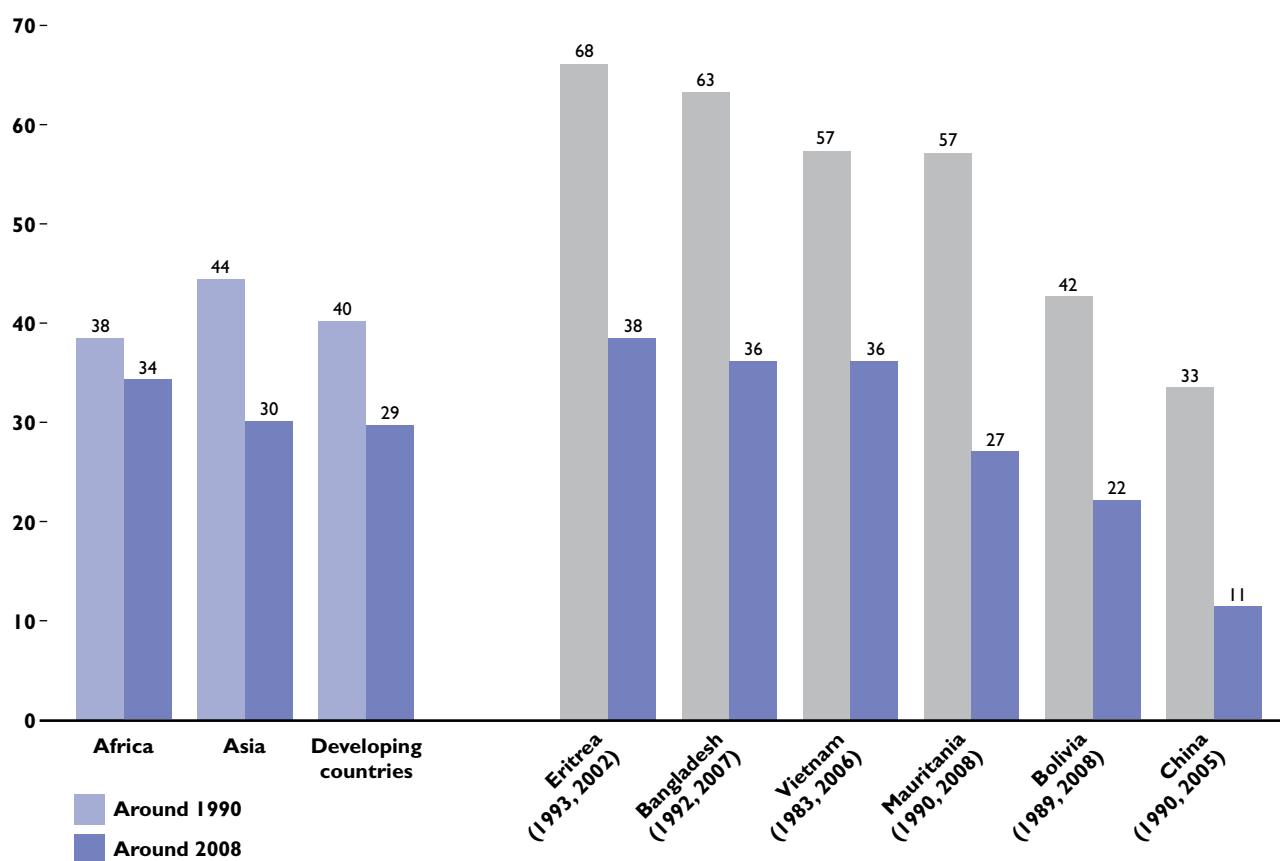
Source: MICS, DHS and other national surveys

FIGURE A.4: TARGETING OF AID FOR MNCH BY INCOME AND UNDER-FIVE MORTALITY RATE

<b>GDP per capita (\$)</b>	<b>ODA for maternal, newborn and child health per capita (\$)</b>
Less than 500	0.02–6.40
500–1,000	0.24–6.90
More than 1,000	0.04–28.40
<b>Under-five mortality rate (per 1,000)</b>	<b>ODA for child health per child under age 5 (\$)</b>
More than 200	4.0–28.7
150–200	4.5–225.7
100–149	2.7–18.9
Less than 100	0.3–12.9

Source: Greco et al. (2010) in Countdown (2010).

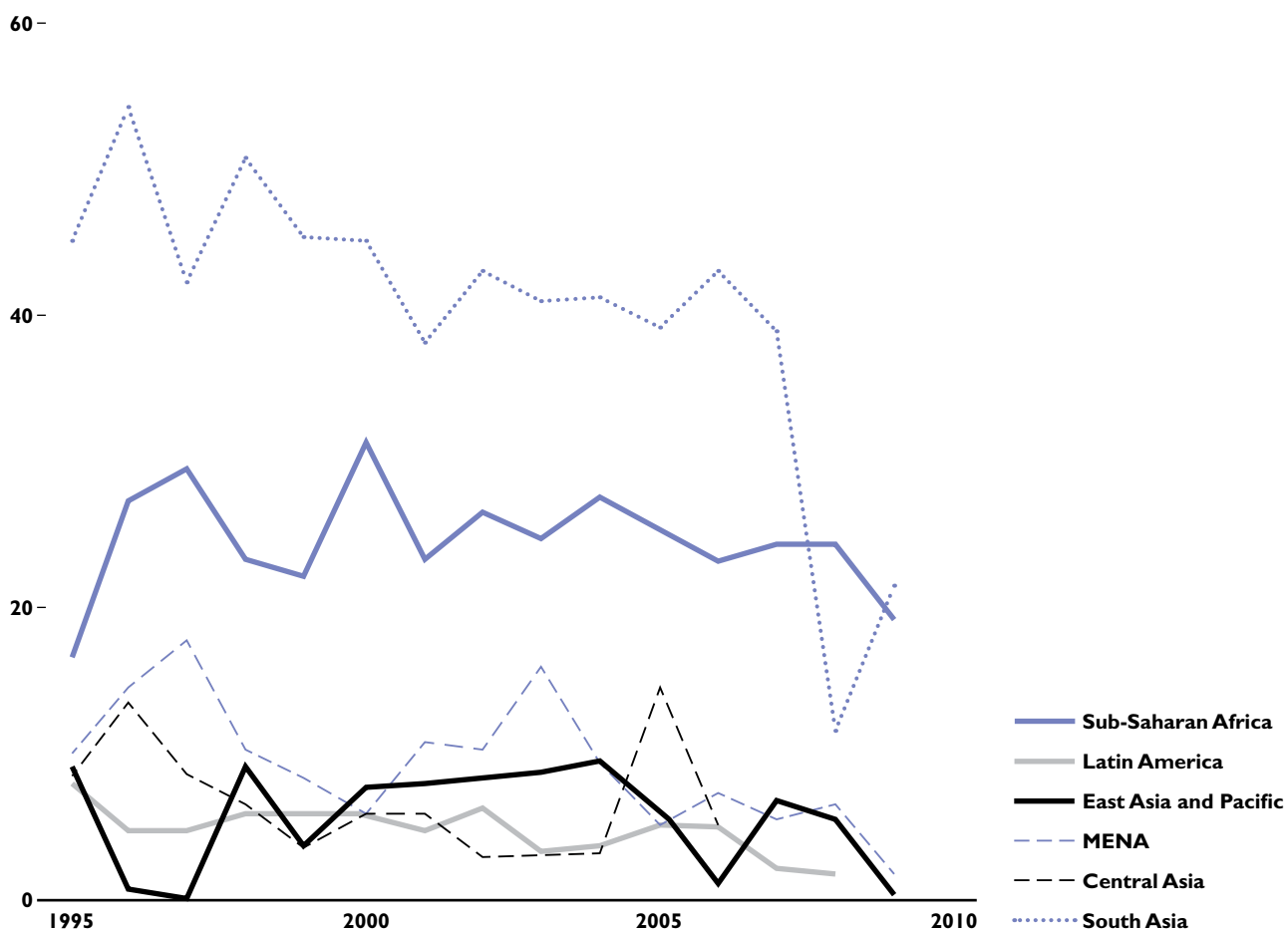
FIGURE A.5: TRENDS IN STUNTING PREVALENCE IN AFRICA AND ASIA, AND EXAMPLES OF COUNTRIES WHERE PREVALENCE HAS DECREASED BY OVER 20 PERCENTAGE POINTS



Note: the trend analysis is based on a subset of 80 countries with trend data, including 75 developing countries covering 80% of the under-five population. All trend estimates are calculated according to the NCHS/WHO reference population.

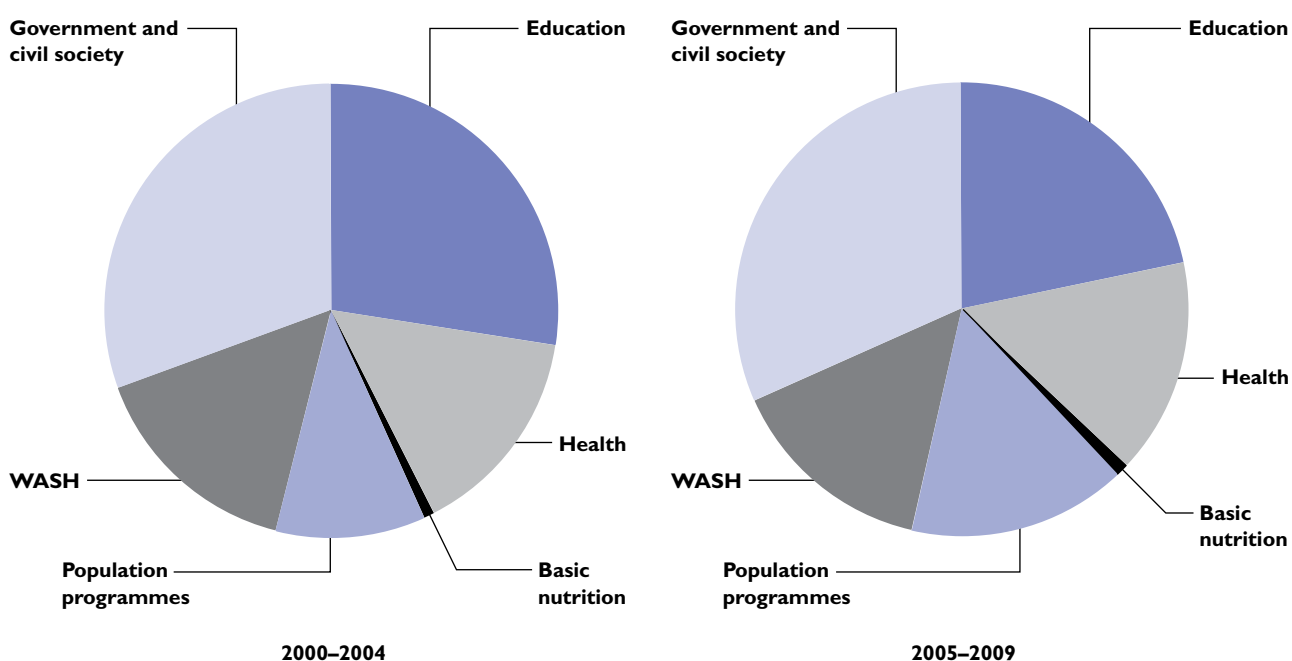
Source: MICS, DHS and other national surveys around 1990 to around 2008

FIGURE A.6: TRENDS IN UNDERWEIGHT PREVALENCE IN CHILDREN UNDER FIVE, GLOBALLY AND BY REGION, 1995–2010 (%)



Source: Authors' calculations based on World Bank data.

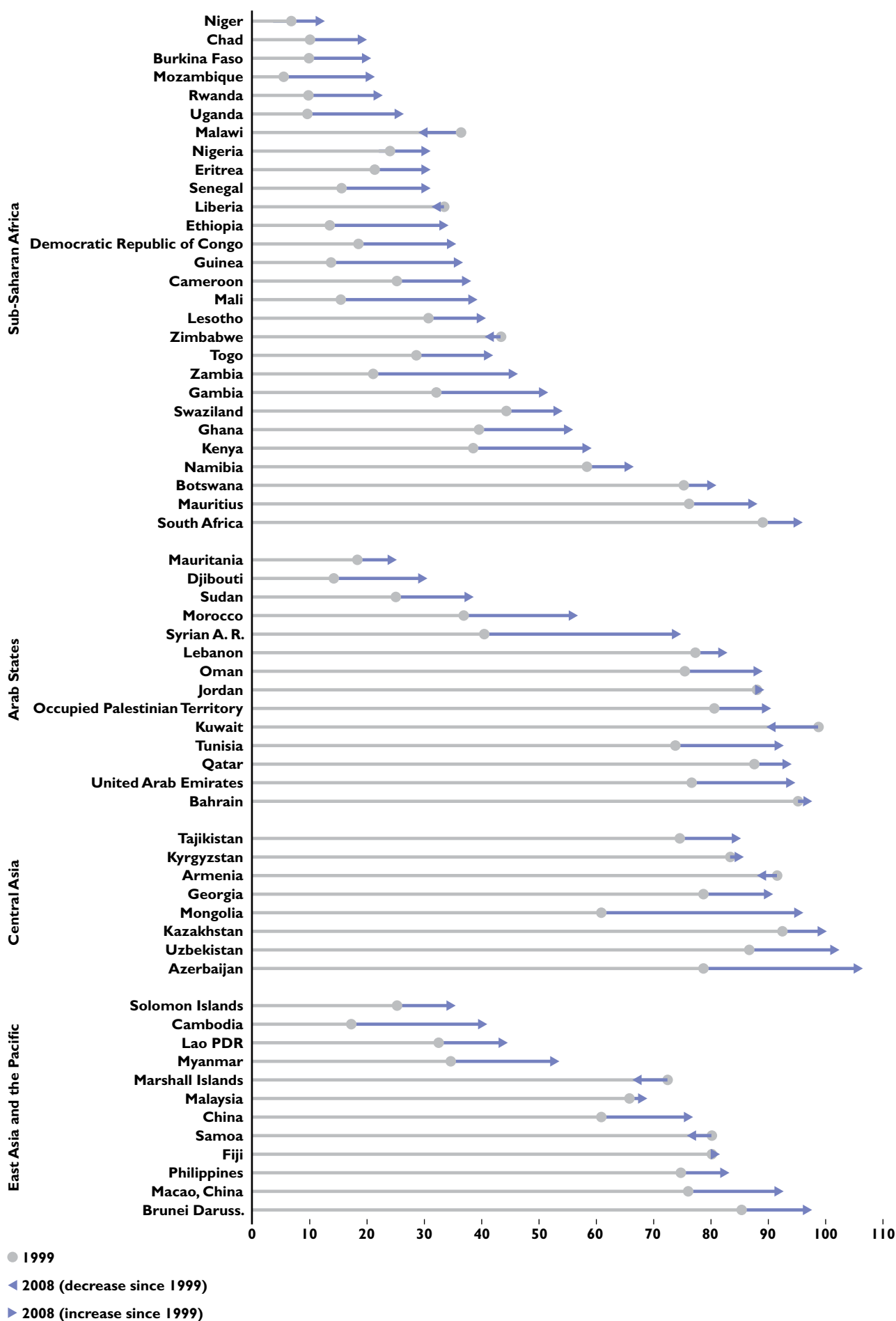
FIGURE A.7: AID ALLOCATIONS TO DIFFERENT SOCIAL SECTORS, 2000–04 AND 2005–09



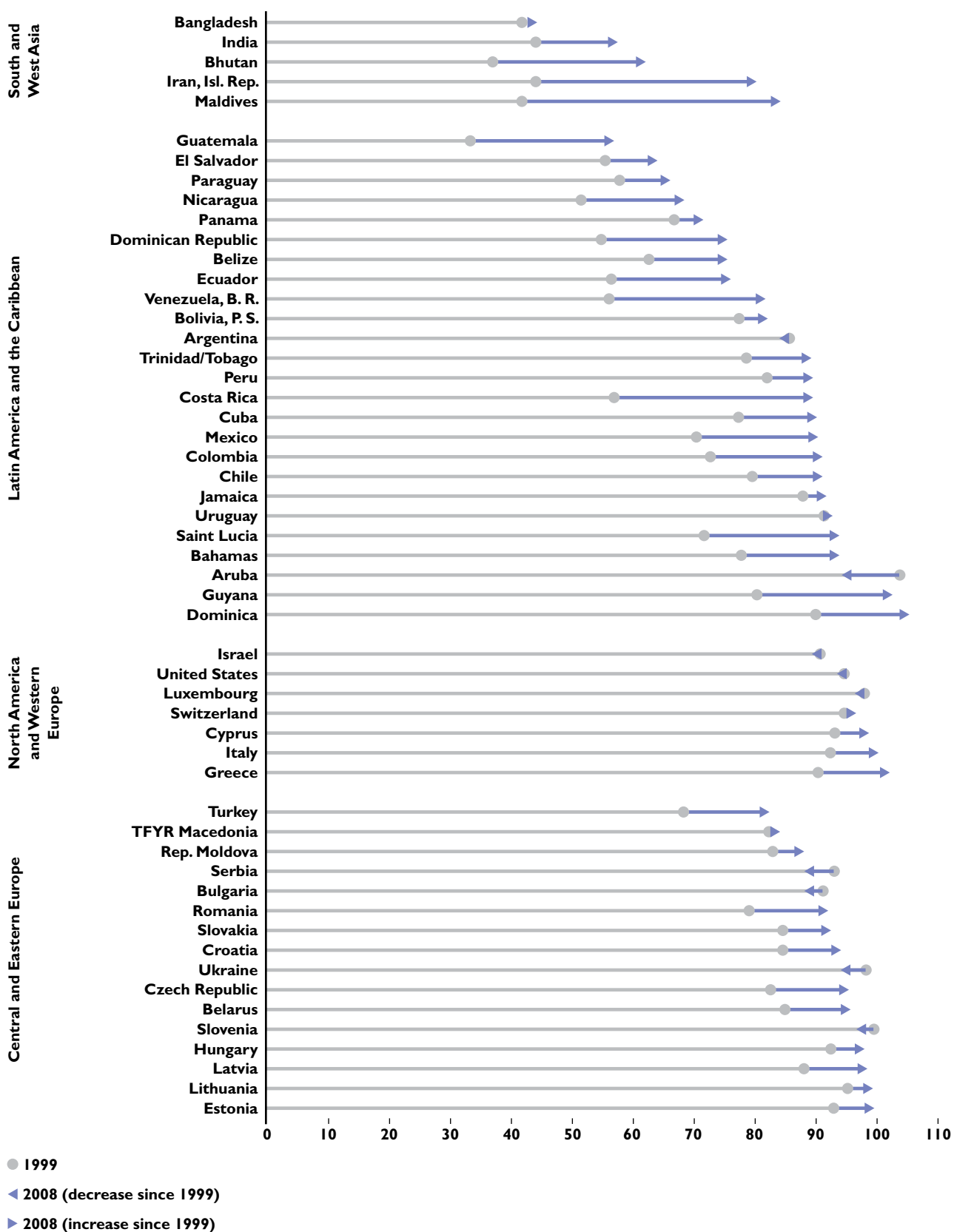
Note: 'Population programmes' includes aid for HIV

Source: Author calculations; based on OECD-DAC CRS database.

FIGURE A.8: EXPANSION IN SECONDARY ENROLMENTS, BY REGION (%)



continued overleaf

FIGURE A.8: EXPANSION IN SECONDARY ENROLMENTS, BY REGION (%) *continued*


Note: Only those countries with gross enrolment ratios below 97% in either 1999 or 2008 are included.

Source: UNESCO (2011).



# APPENDIX 2

## Primary data analysis

Our primary data analysis seeks to answer the question: have countries that received the most aid also made the most progress improving the lives of children?

To answer this question, we have calculated the relationship between the average annual quantity of aid per capita received by each country, over the last decade for which data are available, and the average annual improvement in childhood outcomes over the same period. We have restricted our analysis to sub-Saharan Africa because other regions lack sufficient available data or have too few countries for convincing cross-country analysis.

We report the relationship between aid and three childhood outcomes: infant mortality, childhood malnutrition and the number of children living with HIV.<sup>101</sup>

Rather than base our analysis on total ODA or the subset of aid targeted specifically at the outcome in question, for example using aid allocated to maternal and child health in the case of infant mortality, we have used a broader measure of aid, intended to capture all forms of aid that are mostly likely to affect the outcome in question. Infant mortality is likely to respond to access to clean water and investments in strengthening civil society, for example, and excluding aid for these purposes from the analysis would yield misleading results.

We decided to weight the data by population. The rationale for this was that we wanted to avoid multivariate analysis, yet suspected that country size may affect the relationship between per capita aid and outcomes. We wanted to report relationships between aid and outcomes that represent the experience of the majority of people living in Africa, rather than a relationship driven by the experience of very small countries. This is not standard: most analysis weights countries equally. In some cases, we

could have reported more statistically significant result had we not done this.

We performed population-weighted cross-country regressions of the average annual change in the outcome over the period 1999–2008 on the average annual quantity of aid per capita over the same period.

Our data on child outcomes come from the World Bank Development Indicators dataset. For malnutrition, we used weight for age, defined as the percentage of children under age five whose weight for age is more than two standard deviations below the median for the international reference population aged 0–59 months. Infant mortality is defined as the number of infants dying before reaching one year of age per 1,000 live births in a given year and children living with HIV refers to the number of children aged 0–14 who are infected with HIV.

When analysing childhood malnutrition and infant mortality, we used a constructed aid variable intended to capture total aid resources mostly likely to affect these outcomes. This was the sum of aid allocated to the following OECD-DAC sectors: health, reproductive health, water, sanitation and hygiene, support to NGOs, food security, general budget support, government and civil society and social infrastructure. In our analysis of childhood HIV, we used just aid allocated to reproductive health, which is where all efforts to combat HIV are accounted for. Controlling for other categories of aid in our regression does not qualitatively alter our results. Population data came from the Penn World Table.

So, we have chosen to report correlations and leave interpretation to the reader. To illustrate what this means, consider again the positive correlation between aid and the average annual reductions in infant mortality across countries in sub-Saharan Africa. This relationship certainly fits with the story

<sup>101</sup> We judged these to be the most direct indicators of childhood well-being with sufficient data to perform the analysis. We have not cherry-picked the best-looking results – some of the relationships we report are not statistically significant, and we found positive significant relationships between aid and indirect outcome indicators like access to sanitation and immunisation. We found no relationship between aid and primary schooling outcomes, but positive relationships between aid and secondary school enrolment.

## CORRELATION AND CAUSATION

Researchers looking for evidence of a causal relationship between foreign aid and child development face many problems; the greatest of these is non-random allocation. If donors target aid where need is greatest, the data will show aid is associated with low levels of child development. A variety of techniques to deal with this problem exist, but especially when seeking evidence of aid effectiveness at the country level, their use is contentious and often unconvincing.<sup>102</sup>

In this report we have taken the simple approach of looking at correlations. Correlation does not

demonstrate causation. But correlations may still be informative, when combined with other beliefs about the world. For example, if the data reveal a positive correlation between aid and reductions in infant mortality, this might be regarded as evidence of aid effectiveness when combined with the belief that donors are targeting countries in need of help, and are not simply giving more aid to countries that would be doing well in the absence of aid. Of course, correlations should never be regarded as proof of effectiveness, but they can restrict the set of feasible hypotheses and may shift the perceived balance of probabilities.

that aid has helped countries to make progress on reducing infant mortality, but it is also consistent with most aid having been given to the poorest countries, and these countries having seen the greatest improvements because they have experienced 'catch-up' growth, for reasons unrelated to aid. Attempting to differentiate between these stories is beyond the scope of this report. Our intention here is to provide some informative descriptive data analysis: have countries that have received the most aid in recent years also made the most progress in terms of improving the lives of children?

## CHILDHOOD MALNUTRITION

Over the past decade, countries that have received more aid per capita have tended to make more progress in reducing childhood malnutrition, but the relationship is not statistically significant. Two countries, Sudan and Ethiopia (the two bubbles in the bottom-left corner of the graph) made good progress without receiving much aid in per capita terms, although both are major aid recipients in absolute terms. Removing these two countries from the analysis would leave a stronger, statistically significant relationship between aid and reductions in childhood malnutrition, but there is no particular justification for doing so.

The relationship we estimate suggests a typical country receiving an additional \$20 annual aid per

capita (roughly the difference between a high-aid and a low-aid country in the data) reduced the prevalence of childhood malnutrition by an additional 0.2 percentage points per year. So, over the course of a decade, the typical country receiving an additional \$20 per capita annually will have reduced the prevalence of child malnutrition by an additional 2 percentage points.<sup>103</sup> In 2009, there were roughly 137 million children under five in sub-Saharan Africa (UNICEF data): on average 24% of children were malnourished (our analysis). If malnutrition rates fell by an additional 2 percentage points across the region, 2.7 million children would no longer be malnourished.

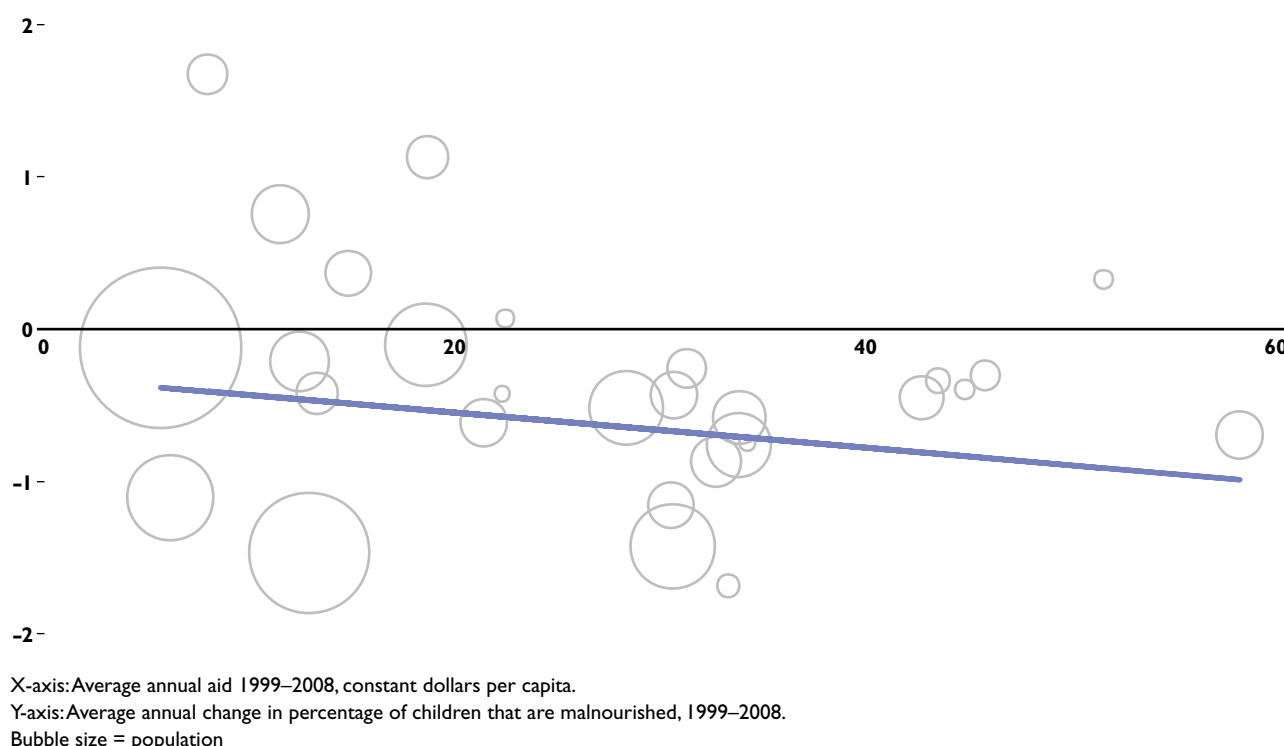
## INFANT MORTALITY

At first glance, there does not appear to be a relationship between aid and infant mortality. But the population-weighted relationship is influenced heavily by two large countries, Nigeria and Ethiopia, which both received little aid in per capita terms yet have made good progress in reducing infant mortality (in the bottom-left corner of the graph). It is interesting to speculate that economies of scale may be at work here; although aid to these countries was small relative to their large populations, it was large in absolute terms. In this case, we think it would be misleading to leave our analysis of aid and infant mortality so heavily skewed by the experience of

<sup>102</sup> Clemens et al. (2011) is an up-to-date accessible review of these problems in the context of aid growth research.

<sup>103</sup> The estimated coefficient from a population-weighted regression was -0.011 with a standard error of 0.010. So an additional \$1 of aid was associated with 0.011 percentage point change in the average annual reduction in childhood malnutrition.

FIGURE A2.1: SUB-SAHARAN AFRICA – AID AND TRENDS IN CHILDHOOD MALNUTRITION



Source: World Bank, WHO, OECD DAC, PWT7.0

just two countries. If we repeat the analysis without weighting by population, or if we remove Ethiopia and Nigeria from the sample, a strong statistically significant relationship emerges between aid and infant mortality in the remaining countries.<sup>104</sup>

Based on this estimated relationship, a typical (smaller) country receiving an additional \$20 of aid per year, roughly the difference between a high-aid and a low-aid country in the data, reduced infant mortality each year by around an additional 0.4 deaths per 1,000 births so, over the course of a decade, will have reduced infant mortality by an additional 4 deaths per 1,000. In 2009, there were roughly 15.7 million births in sub-Saharan Africa (UNICEF data), and the (unweighted) average rate of infant mortality was 46 deaths per 1,000 (our analysis). If the rate of infant mortality fell by an additional 4 per 1,000 across the region, this would save 63,000 infant lives each year.

## CHILDHOOD HIV

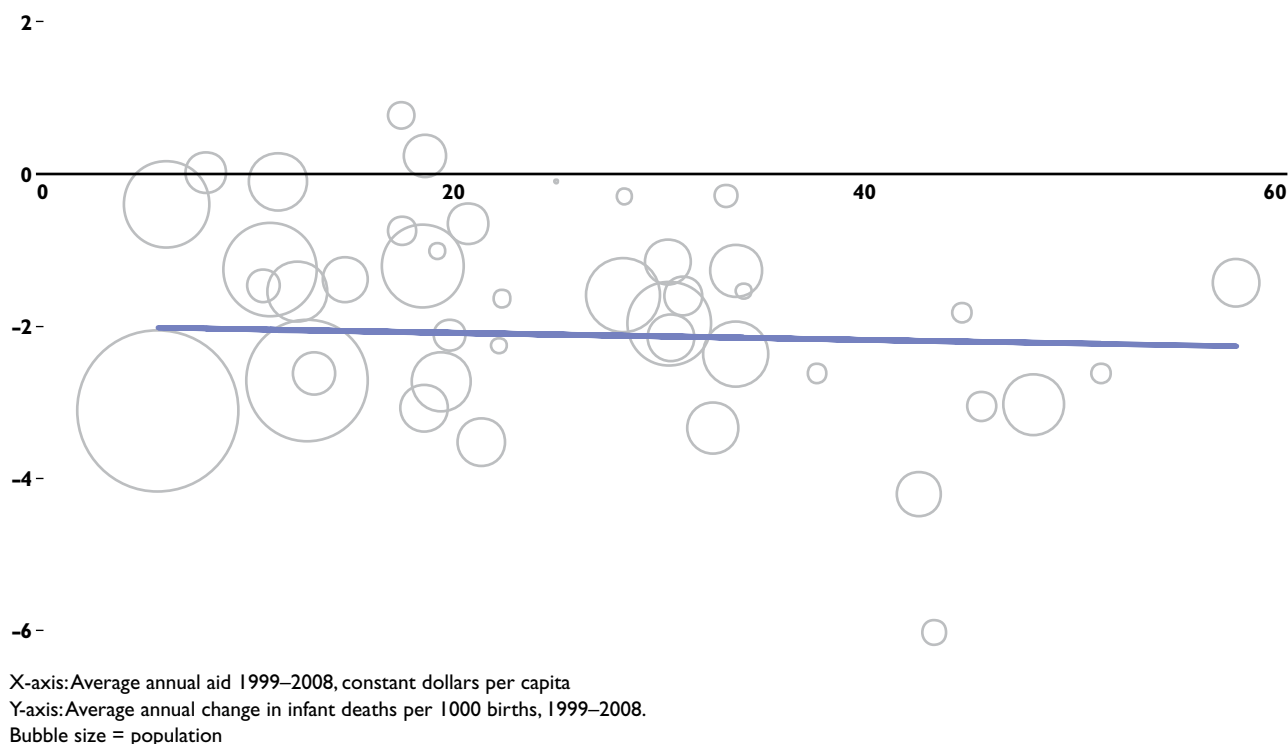
Southern Africa has been in the grip of a dreadful HIV epidemic, with infection rates only recently starting to stabilise (as illustrated in our Botswana case study). These countries are coloured red in Figure A2.4. As can be seen, infection rates have been rising, and there is no clear relationship with aid in these countries. Botswana is the red circle in the bottom-right, the only southern African country to have reduced child HIV infection rates and the recipient of the most aid.

Throughout the rest of sub-Saharan Africa, progress has been more encouraging, and a strong relationship between aid and reductions in infection rates is evident. In this case, we have looked at changes in infection rates between 2002 and 2009 and we also use aid specifically targeted at fighting HIV.<sup>105</sup>

<sup>104</sup> The estimated slope coefficient in an unweighted regression is -0.039 with a standard error of 0.014, and the estimated coefficient from a population-weighted regression, as illustrated in Figure A2.3, is -0.046 with a standard error of 0.011.

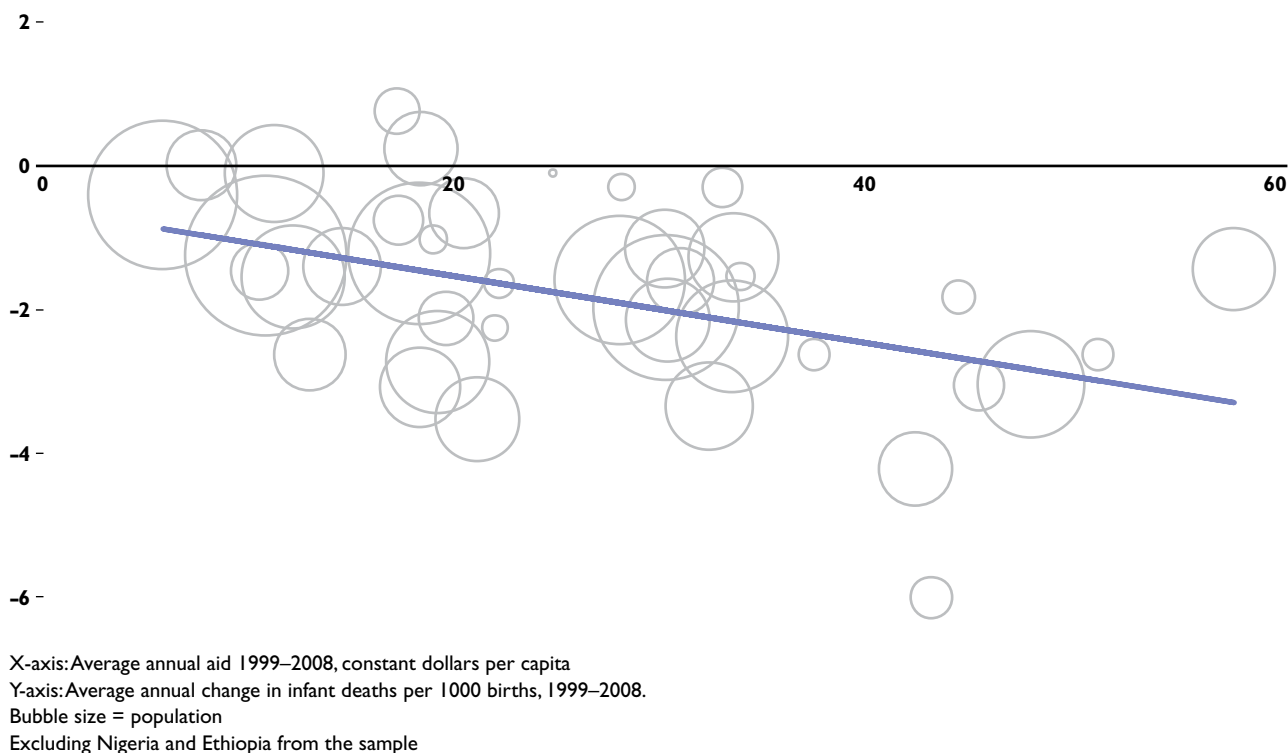
<sup>105</sup> Trends in infections rates have tended to follow an inverted U shape, so had we looked at the whole decade, the simple linear trend estimates we use would be misleading. HIV infection rates started to stabilise or trend downwards in most countries around 2002, meaning a linear approximation is likely to give an acceptable estimate of trends since then, which is why we look at whether countries that received the most aid have made the most progress since 2002.

FIGURE A2.2: SUB-SAHARAN AFRICA – AID AND TRENDS IN INFANT MORTALITY



Source: World Bank, WHO, OECD DAC, PWT7.0

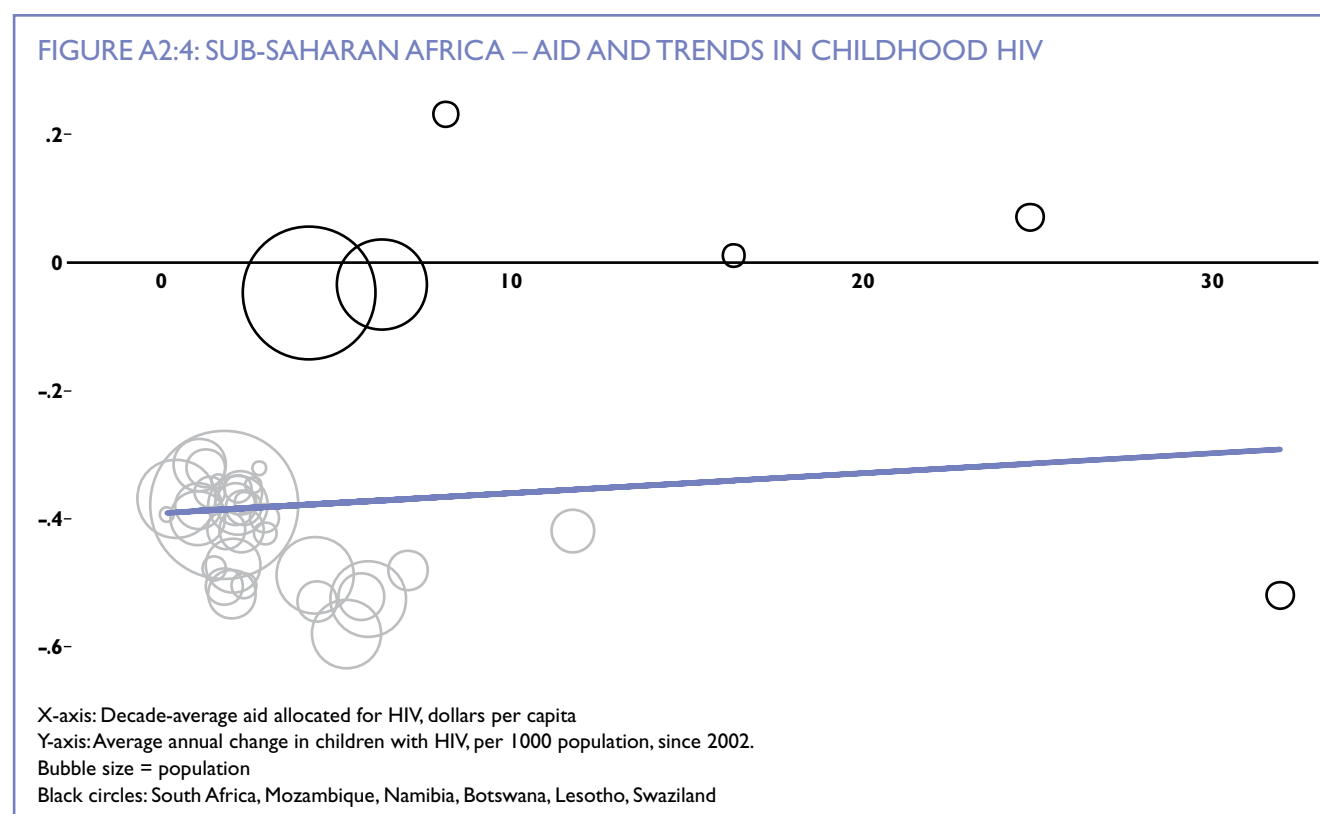
FIGURE A2.3: SUB-SAHARAN AFRICA EXCLUDING ETHIOPIA AND NIGERIA – AID AND TRENDS IN INFANT MORTALITY



Source: World Bank, WHO, OECD DAC, PWT7.0

The estimated relationship between aid and childhood HIV infection rates, excluding southern Africa, suggests that a typical country, outside of southern Africa, receiving \$10 additional annual aid per capita, roughly the difference between a high-aid and a low-aid country in the data, reduced childhood infection rates by 0.2 children per 1,000 population (not per 1,000 children; we could not find these data), so between 2002 and 2009 reduced HIV infection by an additional 1.4 children per 1,000.<sup>106</sup> This is a big number in this context: in 2009, the average rate of infection in the group of southern African countries was 9 children per 1,000 population; in the rest of sub-Saharan Africa, it was 2.5 children per 1,000 population and 6.5 in the cluster of high-aid countries.

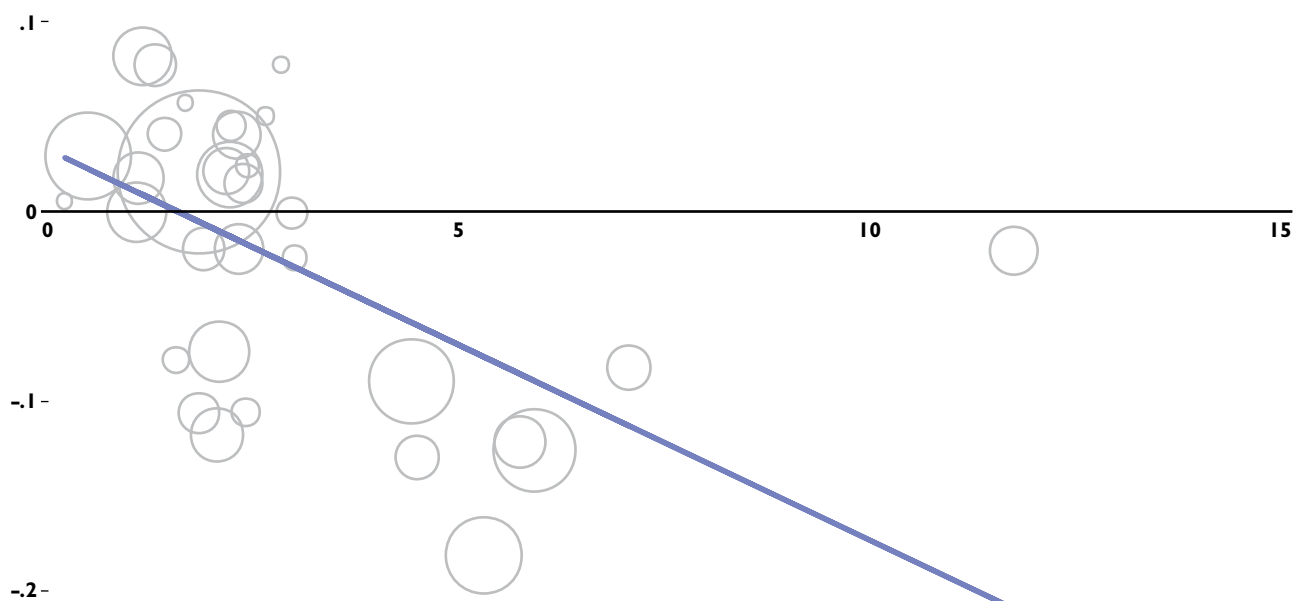
Figure A2.5 reveals two loose clusters of countries; those that received under about \$4 per capita annually, and those that received above this. Figure A2.6 plots the time trend of average infection rates within these two clusters, and for southern Africa. The pattern is clear: infection rates have rocketed in southern Africa. Donors have targeted aid at countries with initially high infection rates, which have made good progress since around 2002, whereas the group of countries that received less aid had lower infection rates to begin with. The average annual per capita quantity of aid targeted directly at fighting HIV over the decade 1999–2008 was \$6.3 in the high-aid cluster with high infection rates and \$1.8 in the low-aid cluster with low infection rates.



Source: UNAIDS, WHO, OECD DAC, PWT7.0

<sup>106</sup> The estimated slope coefficient from a population-weighted regression, excluding southern Africa, was -0.02 with a standard error of 0.004.

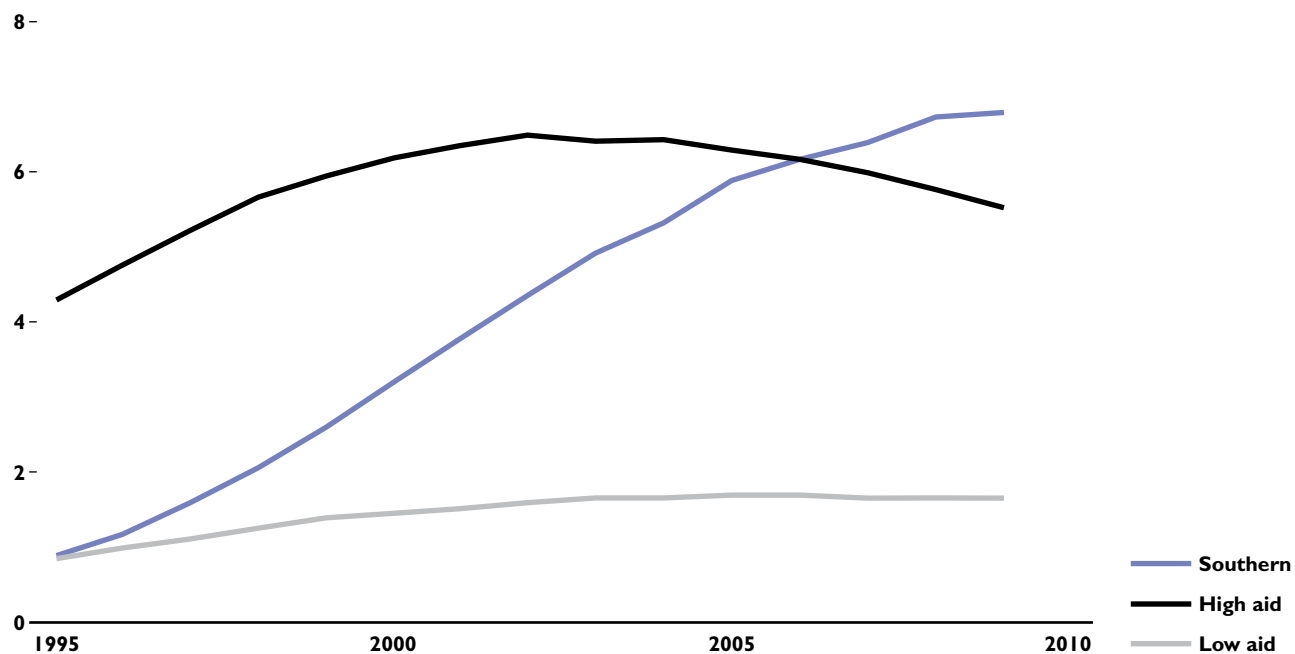
FIGURE A2.5: EXCLUDING SOUTHERN AFRICA – AID AND TRENDS IN CHILDHOOD HIV



X-axis: Decade-average aid allocated for HIV, dollars per capita  
Y-axis: Average annual change in children with HIV, per 1000 population, since 2002.  
Bubble size = population  
Excluded from sample: South Africa, Mozambique, Namibia, Botswana, Lesotho, Swaziland

Source: UNAIDS, WHO, OECD DAC, PWT7.0

FIGURE A2.6: SUB-SAHARAN AFRICA – CHILDREN WITH HIV



Y-axis: Number of children living with HIV per 1000 of population.  
Population-weighted means  
Southern Group is South Africa, Botswana, Lesotho, Swaziland, Namibia, Mozambique

Source: UNAIDS, WHO