

The Brookings Institution

February 7, 2011

International STEM Students:

U.S. Doctoral Degree Attainment

*Robert V. Hamilton, Wyle Inc./NASA GSFC and
Connie L. McNeely, George Mason University*

This Presentation Will...

- Characterize foreign S&E doctoral attainment at US universities
- **96,466** foreign S&E doctorates attained
 - ◆ From 1994 to 2005
 - ◆ Population: 181 nations/regions
 - ◆ 5 S&E Fields
 - ◆ Thanks to (NSF) Survey of Earned Doctorates (SED)

Conceptual Framework

- A case of highly-skilled migration
 - ◆ Measurement problems: “large error bar” (Hart 2006, Myers 1972)
- Foreign S&E doctoral pipelines
 - ◆ Home nation S&E education pipelines
 - ◆ Foreign student pipelines
 - ◆ One component of a global network
 - ◆ Doctoral attainment not just a data point!

Role of U.S. Universities

- Global recruitment function
 - ◆ Including quality control
- “PhD Factory”
 - ◆ Workforce education and training
 - ◆ Benefits to the university
- Science community role (Merton, Kuhn)
 - ◆ Agents of “creative destruction”

Role of U.S. Government

- Highly subsidized by U.S. government to promote “general training” public goods (Becker)
- Administrative role, not an executive one (Friedman)
- Demonstrated interest in supporting these foreign student pipelines (House Science Committee, 2004)

Foreign S&E Doctoral Attainment by year...

...and by percent of the total for both U.S. citizens and foreign students

- 1980: **2,842** (16 percent)
- 1994: **6,950** (26 percent)
- 2005: **11,109** (38 percent)

S&E Foreign Doctorate Share by Field, 2005

- **Physical Sciences:** 43%
- **Life Sciences:** 28%
- **Engineering:** 61%
- **Mathematics and
Computer Sciences** 54%
- **Social and
Behavioral Sciences** 21%

Four Nations Dominate: 1994 to 2005

<u>Nation</u>	<u>Total SE Doctorates</u>	<u>Share</u>
China	23,375	24%
India	10,836	11%
South Korea	10,500	11%
Taiwan	8,242	9%
Four Nations	52,953	55%
<hr/>		
Total	96,466	100%

Growth in Foreign S&E Doctoral Attainment

- China
 - ◆ Large increase
- India and South Korea
 - ◆ Slow or no growth
- Taiwan
 - ◆ Large decrease

Chinese Doctoral Attainment Growth

S&E Field	<u>1994</u>	<u>Share</u>	<u>2005</u>	<u>Share</u>
Physical	<u>113</u>	11%	<u>646</u>	36%
Life	<u>124</u>	8%	<u>781</u>	32%
Engineering	<u>136</u>	6%	<u>1,519</u>	39%
Mathematics and Computer Sciences	<u>36</u>	5%	<u>527</u>	39%
Social and Behavioral Sciences	<u>26</u>	3%	<u>181</u>	13%

Research Findings

- 1994-1997 (Model)
 - ◆ Foreign doctorates tended to come from higher income nations in some S&E fields
- 1998-2005 (Model)
 - ◆ National income variable no longer significant--except Soc/Beh. Sciences
 - ◆ Representation roughly based on national populations

Conclusions

- Foreign S&E doctorates represent an increasing component of the American S&T human capital base
- U.S. public policy should focus on maximizing the benefits associated with this group of talented people
- These foreign S&E pipelines merit continued study

Backup Slides

Indian Doctoral Attainment Growth

S&E Field	<u>1994</u>	<u>Share</u>	<u>2005</u>	<u>Share</u>
Physical	<u>128</u>	12%	<u>143</u>	8%
Life	<u>182</u>	11%	<u>352</u>	14%
Engineering	<u>464</u>	19%	<u>564</u>	14%
Mathematics and Computer Sciences	<u>130</u>	18%	<u>130</u>	10%
Social and Behavioral Sciences	<u>76</u>	7%	<u>71</u>	5%

South Korean Doctoral Attainment Growth

S&E Field	<u>1994</u>	<u>Share</u>	<u>2005</u>	<u>Share</u>
Physical	<u>172</u>	16%	<u>139</u>	8%
Life	<u>174</u>	11%	<u>174</u>	7%
Engineering	<u>338</u>	14%	<u>479</u>	12%
Mathematics and Computer Sciences	<u>79</u>	11%	<u>117</u>	8%
Social and Behavioral Sciences	<u>214</u>	21%	<u>196</u>	14%

Taiwanese Doctoral Attainment Growth

S&E Field	<u>1994</u>	<u>Share</u>	<u>2005</u>	<u>Share</u>
Physical	<u>137</u>	13%	<u>56</u>	3%
Life	<u>249</u>	16%	<u>151</u>	6%
Engineering	<u>562</u>	23%	<u>170</u>	4%
Mathematics and Computer Sciences	<u>97</u>	14%	<u>40</u>	3%
Social and Behavioral Sciences	<u>96</u>	9%	<u>54</u>	4%